

Emission mechanisms

Part I

Some reference textbooks + pubs:

- Bradt, *“Astrophysics Processes”*, Cambridge University Press
- Rybicki & Lightman, *“Radiative processes in astrophysics”*, Wiley
- Ghisellini, *“Radiative processes in High-Energy Astrophysics”* (arXiv:1202.5949)
& Lecture Notes in Physics 873 (Springer)

Outline of part I

- Radiative transfer: basics
- Black body radiation
- Bremsstrahlung emission
- Synchrotron emission
- Compton scattering (Inverse Compton)
- Cherenkov radiation

Radiative transfer: basics. I

absorption

$$dI_\nu = -\alpha_\nu I_\nu ds$$

Decrement of radiation from a source while passing through an infinitesimal path of length ds

$$\alpha_\nu = n \sigma_\nu$$

Absorption coefficient [length⁻¹]: density of absorbers times the cross section of the absorbing process

$$d\tau_\nu = \alpha_\nu ds = n \sigma_\nu ds$$

Optical depth (opacity)
 $\tau_\nu \gg 1$: optically thick case

emission

$$dI_\nu = j_\nu ds$$

Contribution from the emitters along ds

$$S_\nu = j_\nu / \alpha_\nu \rightarrow j_\nu = \alpha_\nu S_\nu$$

Source function: emissivity/opacity ratio
Thermal equilibrium: S_ν =Planck function
→ **Kirchhoff's Law**

Absorption+Emission

$$\frac{dI_\nu}{ds} = -\alpha_\nu I_\nu + j_\nu$$

Radiative transfer: basics. II

Only absorption

$$dI_v = -\alpha_v I_v ds \rightarrow \int_{I_0}^I \frac{1}{I_v} dI_v = -\int_0^\tau d\tau'_v$$
$$[\ln I_v]_{I_0}^I = -[\tau'_v]_0^\tau \Rightarrow I_v = I_{0,v} e^{-\tau_v}$$

Absorption coefficient assumed constant across the width of the layer

$I_{0,v}$ =intensity of the radiation before passing the absorbing layer

Only emission

$$dI_v = j_v ds \rightarrow I_v = I_{0,v} + \int_0^S j_v ds$$

S=total emitting path

$I_{0,v}$ =intensity of the radiation before passing the emitting layer

Radiative transfer: basics. III

Both terms and thermal equilibrium

$$\Rightarrow \frac{dI_v}{ds} = -\alpha_v I_v + j_v \rightarrow \frac{dI_v}{\alpha_v ds} = \frac{dI_v}{d\tau_v} = -I_v + \frac{j_v}{\alpha_v} = -I_v + S_v$$

$$I_v(\tau_v) = I_{v,0} e^{-\tau_v} + \int_0^{\tau_v} e^{-(\tau_v - \tau'_v)} S_v(\tau'_v) d\tau'_v = I_{v,0} e^{-\tau_v} + S_v(1 - e^{-\tau_v})$$

$$\frac{dI_v}{d\tau_v} = -I_v + S_v : \text{if TE, LTE: } \frac{dI_v}{d\tau_v} = 0 \rightarrow I_v = S_v = \text{Planck function}$$

$$\Rightarrow \frac{dI_v}{ds} = -\alpha_v I_v + j_v \rightarrow I_v = I_{v,0} e^{-\tau_v} + S_v(1 - e^{-\tau_v})$$

Radiative transfer: basics. IV

Detailed
integration

$$d\tau_v = \alpha_v ds \quad S_v = j_v / \alpha_v$$

$$dI_v = -\alpha_v I_v ds + j_v ds = -I_v d\tau_v + S_v d\tau_v$$

$$dI_v + I_v d\tau_v = S_v d\tau_v$$

$$e^{\tau_v} (dI_v + I_v d\tau_v) = e^{\tau_v} (S_v d\tau_v)$$

$$d(e^{\tau_v} I_v) = e^{\tau_v} dI_v + I_v e^{\tau_v} d\tau_v$$

$$\rightarrow d(e^{\tau_v} I_v) = e^{\tau_v} S_v d\tau_v$$

starting point for the integration: τ_v , $I_{v,0}$

$$e^{\tau_v} I_v - I_{v,0} = \int_0^{\tau_v} e^{\tau'_v} S_v d\tau'_v$$

$$e^{-\tau_v} (e^{\tau_v} I_v - I_{v,0}) = I_v - I_{v,0} e^{-\tau_v} = \int_0^{\tau_v} e^{-(\tau_v - \tau'_v)} S_v d\tau'_v = S_v - S_v e^{-\tau_v} = S_v (1 - e^{-\tau_v})$$

$$\rightarrow I_v(\tau_v) = I_{v,0} e^{-\tau_v} + \int_0^{\tau_v} e^{-(\tau_v - \tau'_v)} S_v d\tau'_v = I_{v,0} e^{-\tau_v} + S_v (1 - e^{-\tau_v})$$

Radiative transfer: basics. V

$$I_{\nu,0} = 0 \rightarrow I_{\nu}(\tau_{\nu}) = S_{\nu}(1 - e^{-\tau_{\nu}}) = \frac{j_{\nu}S_0}{\alpha_{\nu}S_0}(1 - e^{-\tau_{\nu}}) = j_{\nu}S_0 \frac{1 - e^{-\tau_{\nu}}}{\tau_{\nu}}$$

$$\tau_{\nu} \gg 1 \rightarrow I_{\nu} = \frac{j_{\nu}S_0}{\tau_{\nu}}$$

Optically thick regime
typically at low ν

$$\tau_{\nu} \ll 1 \rightarrow I_{\nu} = j_{\nu}S_0$$

Optically thin regime
typically at high ν

From a thick source we are seeing the emission from a layer of width S_0/τ_{ν} , which is optically thin.

→ We always collect radiation from a layer of the source, down to the depth at which the radiation can escape without being absorbed ($\tau_{\nu}=1$)

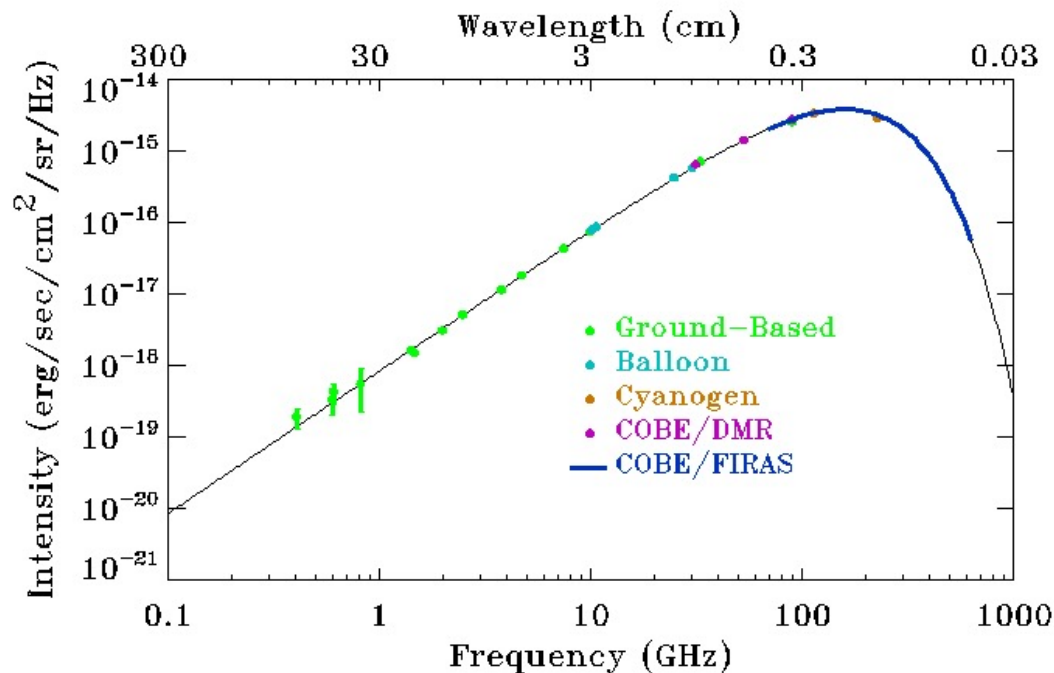
Blackbody radiation

Black body radiation arises when matter is optically thick and photons scatter many times before encountering the observer.

Particles and photons share their kinetic energy in a continuous way.

In perfect thermal equilibrium: $(3/2) kT = \langle 1/2 mv^2 \rangle = h \langle \nu \rangle$

Local thermodynamic equilibrium (LTE) in the atmospheres of stars.



CMB spectrum measured
by COBE (adapted from
Mather+1990)

$$I(\nu, T) = \frac{2h\nu^3}{c^2} \frac{1}{e^{h\nu/kT} - 1}$$

Planck radiation law (Planck function)

Specific intensity
[W/m²/Hz/sr]

Rayleigh-Jeans approximation

$h\nu \ll kT$ (Taylor expansion:
 $e^{h\nu/kT} \approx 1 + h\nu/kT$)

$$I(\nu, T) = \frac{2\nu^2}{c^2} kT \propto \nu^2 T$$

Wien approximation (law)

$h\nu \gg kT$, $e^{h\nu/kT} \gg 1$

$$I(\nu, T) = \frac{2h\nu^3}{c^2} e^{-h\nu/kT}$$

Peak frequency

$$h\nu_{\text{peak}} = 2.82kT$$

$$\nu_{\text{peak}} (\text{Hz}) = 5.88 \times 10^{10} T(\text{K})$$

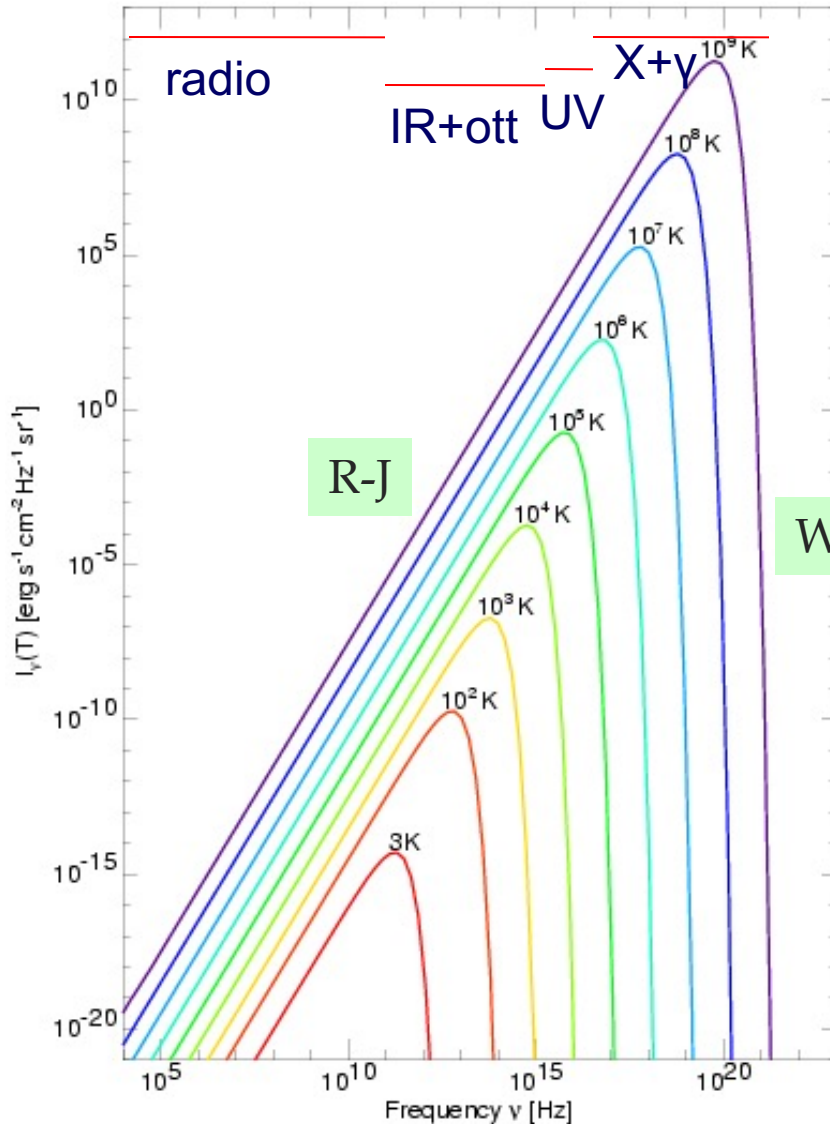
CMB peak = 1.06 mm

$$\int_0^\infty I(\nu, T) d\nu = \frac{\sigma_{SB}}{\pi} T^4$$

Integration over
frequencies

$$\sigma_{SB} = \frac{2\pi^5 k^4}{15c^2 h^3}$$

σ_{SB} : Stefan-
Boltzmann
constant



Log-Log plot of the black body law

$h\nu \ll kT$: R-J approx.:
power-law with slope=2

$h\nu \gg kT$: Wien approx.:
 $I \approx \nu^3 e^{-h\nu/kT}$

Brightness temperature

$$T_b = \frac{c^2 I(\nu)^{RJ}}{2k\nu^2}$$

$$\mu(\nu, T) = I(\nu, T) \frac{4\pi}{c} = \frac{8\pi h\nu^3}{c^3} \frac{1}{e^{h\nu/kT} - 1}$$

Spectral energy density

sum of the energies of photons contained in a volume per unit of time [J/m³/Hz]

Total energy density

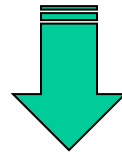
spectral energy density integrated in frequency [J/m³]

$$\mu(T) = \int_0^\infty \mu(\nu, T) d\nu = \frac{4\pi}{c} \int_0^\infty I(\nu, T) d\nu = \frac{4}{c} \sigma_{SB} T^4 = a T^4$$

$$a = \frac{4\sigma_{SB}}{c}$$

Real objects never behave as full-ideal black bodies, and instead the emitted radiation at a given frequency is a fraction of what the ideal (black body) emission would be.

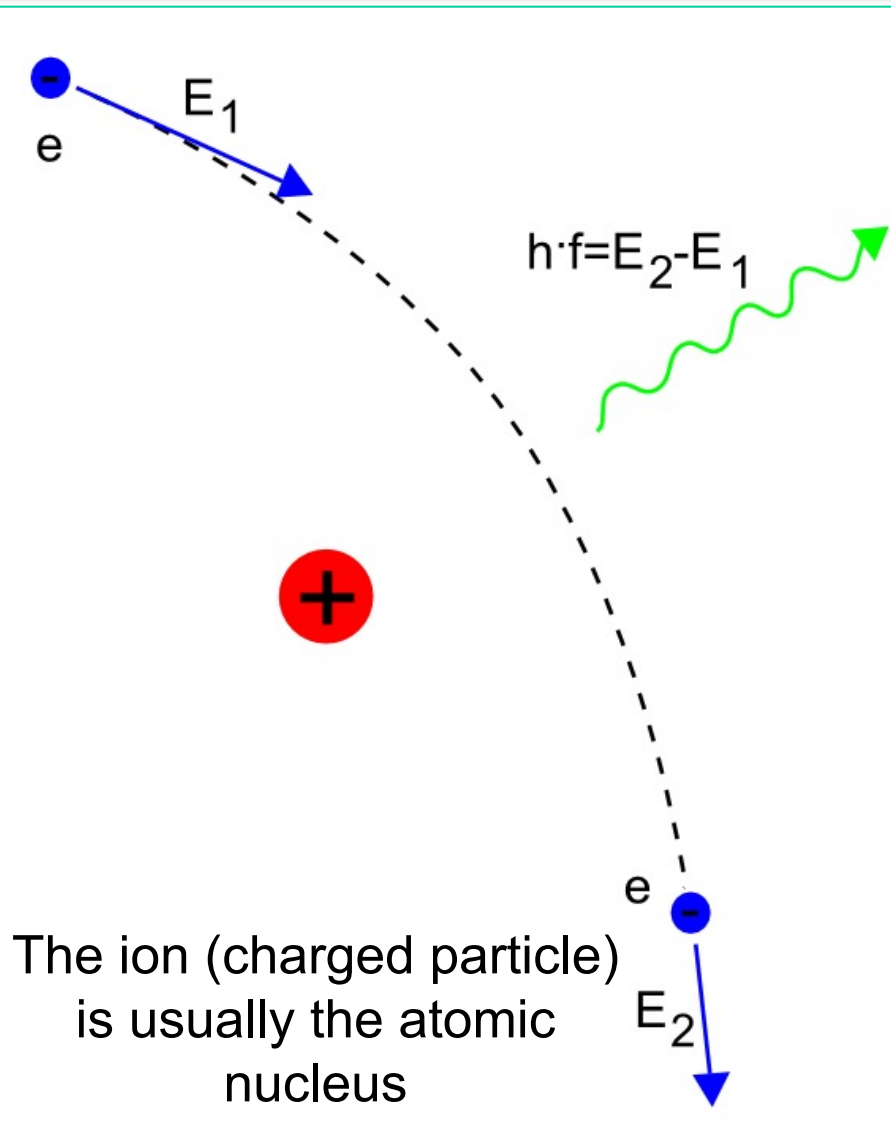
Black body emission occurs when $\tau \rightarrow \infty$, deviations are due to finite opacities and surface layers effects



Grey body (capacity of emission and absorption at any frequency less than 100%): $S(\nu) \approx \nu^{3+\beta}$, where β =emissivity index $\approx 1-2$

Bremsstrahlung

(“braking radiation” – free-free emission)



Coulomb collisions between electrons and ions in a plasma produce photons. Electrons are accelerated by the Coulomb forces and lose energy via radiation.

This is the **bremsstrahlung** (or **free-free**) **emission** (because the electron is free before and after the collision)
→ **deflection** (acceleration) of the e^-
If the gas is in thermal equilibrium, ion and electron velocities obey the Maxwell-Boltzmann distribution.

$$j_\nu(\nu, T) \propto g(\nu, T) n_e^2 T^{-1/2} e^{-h\nu/kT}$$

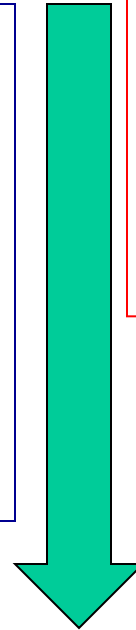
h =Planck constant

k =Boltzmann constant

$G(\nu, T)$ =Gaunt factor: takes into account exact quantum mechanics calculations (quantum effects+Debye screening) \approx unity for a large interval of param.

$$\approx \sqrt{3/\pi} \ln(2.25 kT/h\nu)$$

if $Z=1$, $T > 3 \times 10^5$ K and $h\nu \ll kT$



$$I(\nu, T) \propto g(\nu, T) n_e^2 T^{-1/2} e^{-h\nu/kT} \Lambda$$

Λ =thickness of the plasma along the line of sight

$$4\pi I = j_\nu \Lambda$$

Volume emissivity:

power emitted per unit volume of the plasma at some frequency in unit of frequency interval

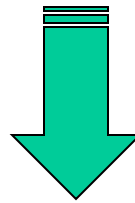
hydrogen plasma
[W/m³/Hz]

Specific intensity

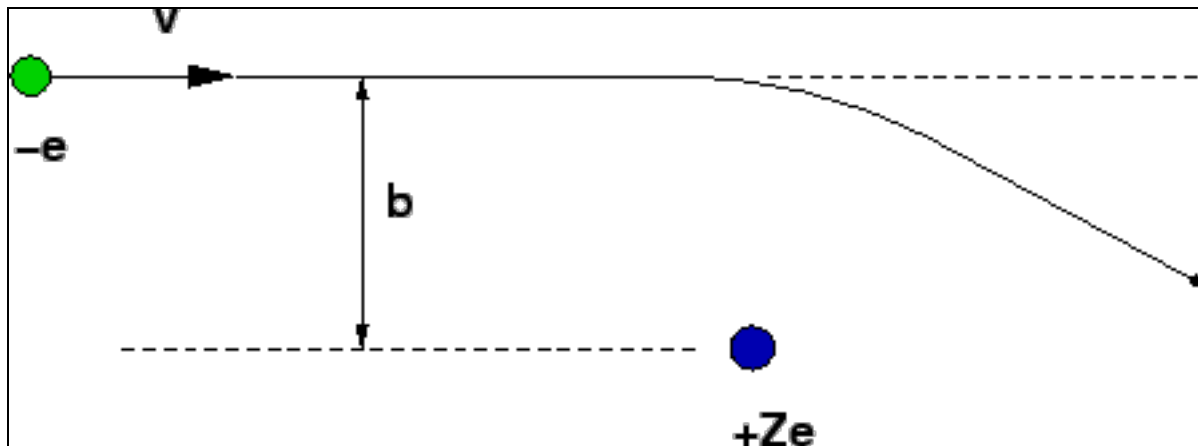
hydrogen plasma
[W/m²/Hz/sr]

Classical approximation: the energy loss of an electron, integrated over an entire collision, is only a small fraction of its kinetic energy

- τ =optical depth $\ll 1$ (optically thin plasma)
- ion acceleration \ll electron acceleration:
e⁻ traveling through a region of static electric field (ions move slowly)



The interaction can be treated as elastic collision of duration $\Delta t \approx (2)b/v$
The power radiated by the accelerating particle is given by the *Larmor formula*

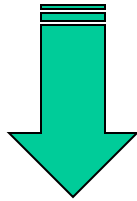


b=impact parameter:
projected distance
of the closest approach
of the e⁻ to the ion at
a given encounter

Larmor formula: emitted power (integrated over all angles)

$$P(t) = \frac{1}{6\pi\epsilon_0} q^2 a(t)^2 / c^3$$

ϵ_0 =permittivity of the vacuum
q=charge of the particle
a(t)=acceleration of the particle



$$Q(b, v) = \frac{1}{(4\pi\epsilon_0)^3} \frac{2}{3} \frac{Z^2 e^6}{c^3 m^2 b^3 v}$$

Total power [W=J/s=energy/time]
 $v \ll c$

Total energy (J/collision) radiated
by a single electron of speed v
as it passes an ion of charge Ze
(Z =atomic number) with impact
parameter b
*Faster e^- spend less time close to
the ion*

Some details

$$F = \frac{q_1 q_2}{4\pi\epsilon_0} \frac{1}{r^2}$$

Coulomb force

→

$$a = \frac{F}{m} = \frac{1}{4\pi\epsilon_0} \frac{(Ze)e}{mr^2}$$

Small deflection: the e⁻ loses only a
small part of its E_{kin}
Closest approach ≈ b →

$$a_{\max} \approx \frac{1}{4\pi\epsilon_0} \frac{Ze^2}{mb^2}$$

Collision time: $\tau_b \approx b/v$
 assuming constant acceleration = max
 all happens at small distances (but Debye screening from other electrons...)

$$Q(b, v) = \int_{-\infty}^{\infty} P(t) dt = \frac{1}{6\pi\epsilon_0} \frac{e^2}{c^3} \int_{-\infty}^{\infty} a^2(t) dt \approx \frac{1}{6\pi\epsilon_0} \frac{e^2}{c^3} a_{\max}^2 \tau_b$$

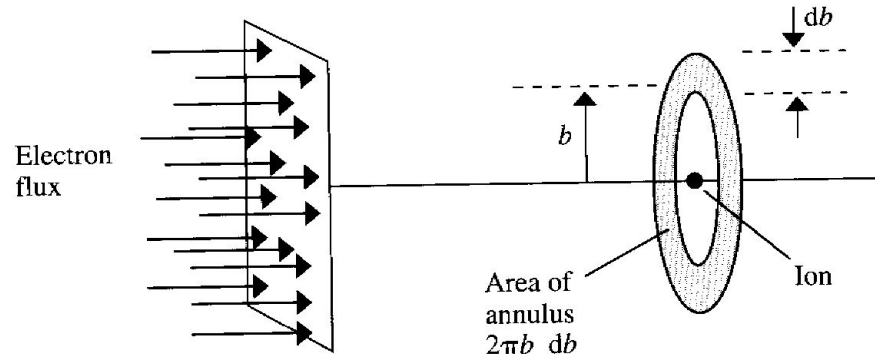


$$Q(b, v) = \frac{1}{(4\pi\epsilon_0)^3} \frac{2}{3} \frac{Z^2 e^6}{c^3 m^2 b^3 v}$$

Energy radiated per collision

Case of single-speed electron beam

Case of one ion and a parallel beam of electrons of speed v intersecting a narrow annulus of radius b and width db



Electron flux (electrons/m²/s)

$$n_e v$$

Number of e⁻ per second striking this area

$$n_e v 2\pi b db$$

Emitted power/ion

$$Q(b, v) n_e v 2\pi b db$$

Angular frequency ω

$$\omega \approx \frac{1}{\tau_b} = \frac{v}{b} \rightarrow v = \frac{\omega}{2\pi} = \frac{v}{2\pi b} \rightarrow db = -\frac{v}{2\pi v^2} dv$$

Case of electrons of many speeds

Maxwell-Boltzmann distribution describes the probability of having e^- of speed v for a non-degenerate gas

$$P(v) = \left(\frac{m}{2\pi kT} \right)^{3/2} e^{-mv^2/2kT}$$

Probability of an e^- having speed v
in the interval dv

$$P(v) \times (\text{volume of a shell of velocity } v) \\ = P(v) \times 4\pi v^2 dv$$

Total power summed over all speeds =
power emanating from each ion at frequency ν in the band $d\nu$...

$$Q(b, \nu) n_e \nu 2\pi b db \approx \frac{1}{(4\pi\epsilon_0)^3} \frac{2}{3} \frac{Z^2 e^6}{c^3 m^2 b^3 \nu} n_e \nu 2\pi \frac{\nu}{2\pi\nu} \frac{\nu}{2\pi\nu^2}$$

... \times Maxwell-Boltzmann distribution of velocities

Spectrum of emitted photons

Multiple ions and electrons, having a Maxwell-Boltzmann distribution of speeds

$$j_\nu(\nu) d\nu = g(\nu, T, Z) \frac{1}{(4\pi\epsilon_0)^3} \frac{32}{3} \left(\frac{2\pi^3}{3m^3k} \right)^{1/2} \frac{Z^2 e^6}{c^3} n_e n_i \frac{e^{-h\nu/kT}}{T^{1/2}} d\nu$$

Volume emissivity [W/m³/Hz] – power emitted in all directions

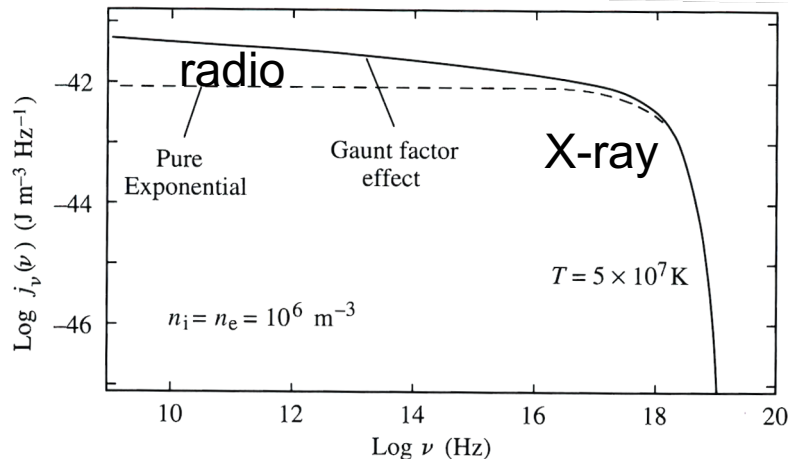


Figure 5.5. Theoretical continuum thermal bremsstrahlung spectrum. The volume emissivity (37) is plotted from radio to x-ray frequencies on a log-log plot with the Gaunt factor (38) included. The specific intensity $I(\nu, T)$ would have the same form. Note the gradual rise toward low frequencies due to the Gaunt factor. We assume a hydrogen plasma ($Z = 1$) of temperature $T = 5 \times 10^7$ K with number densities $n_i = n_e = 10^6 \text{ m}^{-3}$.

**Theoretical continuum
thermal
bremsstrahlung emission**
(from H. Bradt, "Astrophysics
Processes")

If $\tau \gg 1 \rightarrow$ black body emission

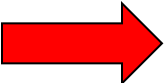
Integrated volume emissivity

Total power from unit volume integrated over frequencies

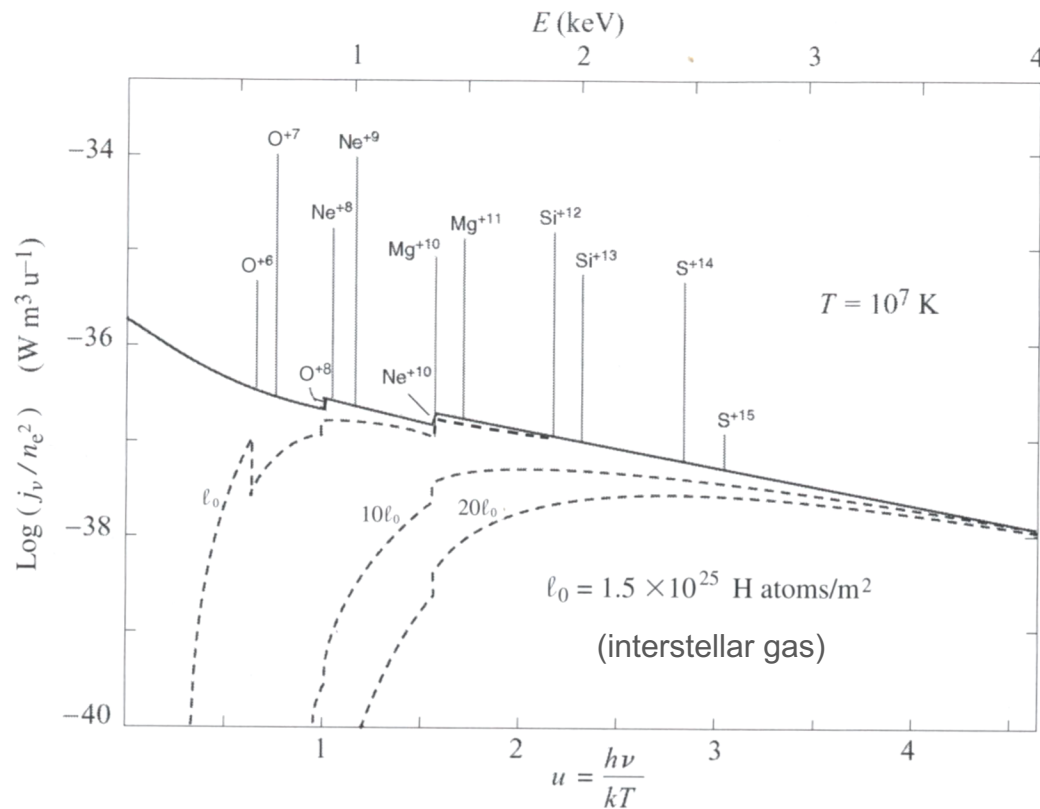
$$j_\nu(\nu, T) \propto g(\nu, T, Z) Z^2 n_e n_i e^{-h\nu/kT} T^{-1/2}$$

Integration over frequencies

$$j(T) = \int_0^\infty j_\nu(\nu) d\nu = \text{cons} \times \bar{g}(T, Z) Z^2 n_e n_i T^{-1/2} \int_0^\infty e^{-h\nu/kT} d\nu$$


$$j(T) = \int_0^\infty j_\nu(\nu) d\nu \propto \bar{g}(T, Z) Z^2 n_e n_i T^{1/2} \quad [\text{W/m}^3]$$

An example of bremsstrahlung spectrum



Theoretical calculation of the volume emissivity/ n_e^2 bremsstrahlung emission
(from H. Bradt, "Astrophysics Processes")

Free-free absorption

A photon can be absorbed by a free electron in the Coulombian field of an atom: it is the **free-free absorption**, which is the absorption mechanism corresponding to bremsstrahlung. Thus, for thermal electrons (equilibrium):

Kirchoff law...

$$j_\nu = \alpha_\nu S_\nu = \alpha_\nu B_\nu$$

Kirchhoff's Law (relating emission to absorption for a thermal emitter) if the underlying particle distribution is Maxwellian & Planck function (B_ν)

$$\alpha_\nu(\nu, T) = j_{br}(\nu, T) / B(\nu, T) \propto Z^2 n_e n_i T^{-1/2} (1 - e^{-h\nu/kT}) \nu^{-3}$$

Absorption coefficient for a plasma emitting via Bremsstrahlung (how effectively the gas absorbs its own radiation)

$$h\nu \gg kT$$

$$\alpha_\nu \propto \nu^{-3} T^{-1/2}$$

At low frequencies, matter in thermal equilibrium is optically thick to free-free absorption, becoming thin at high frequencies

$$h\nu \ll kT$$

RJ regime

$$\alpha_\nu \propto \nu^{-2} T^{-3/2}$$

optical depth

$$\tau_\nu = \int \alpha_\nu dl$$

Bremsstrahlung + Equation of transport (I)

$$I_{\nu, \text{brem}} = B_{\nu}(T)(1 - e^{-\tau_{\nu}})$$

BB emission

$$\tau_{\nu} \gg 1 \rightarrow I_{\nu} = B_{\nu}$$

Opt. thick regime

$$\tau_{\nu} \ll 1 \rightarrow I_{\nu} = B_{\nu} \tau_{\nu}$$

Opt. thin regime

$h\nu/kT \ll 1$, opt. thick

$$I_{\nu} = B_{\nu} \propto \nu^2$$

RJ approx.

$h\nu/kT \ll 1$, opt. thin

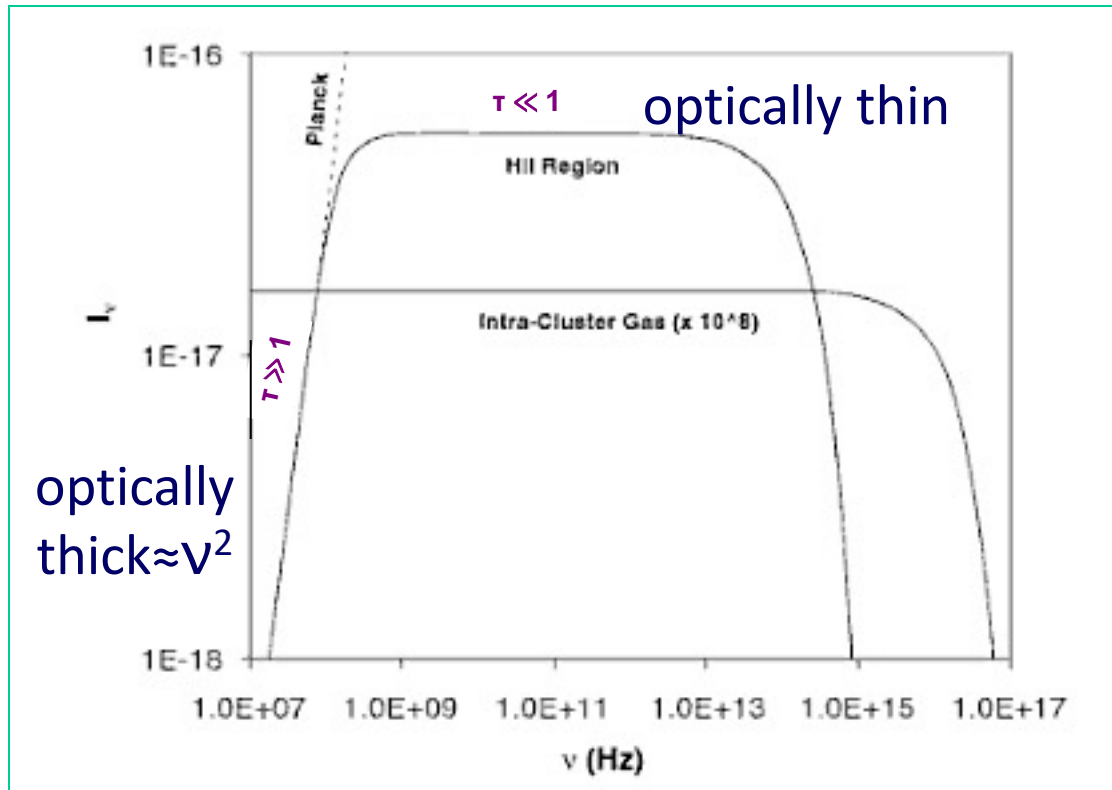
$$I_{\nu} = B_{\nu} \tau_{\nu} \propto \nu^2 \tau_{\nu} \propto \nu^2 (\nu^{-2} T^{-3/2}) = \text{cons.}$$

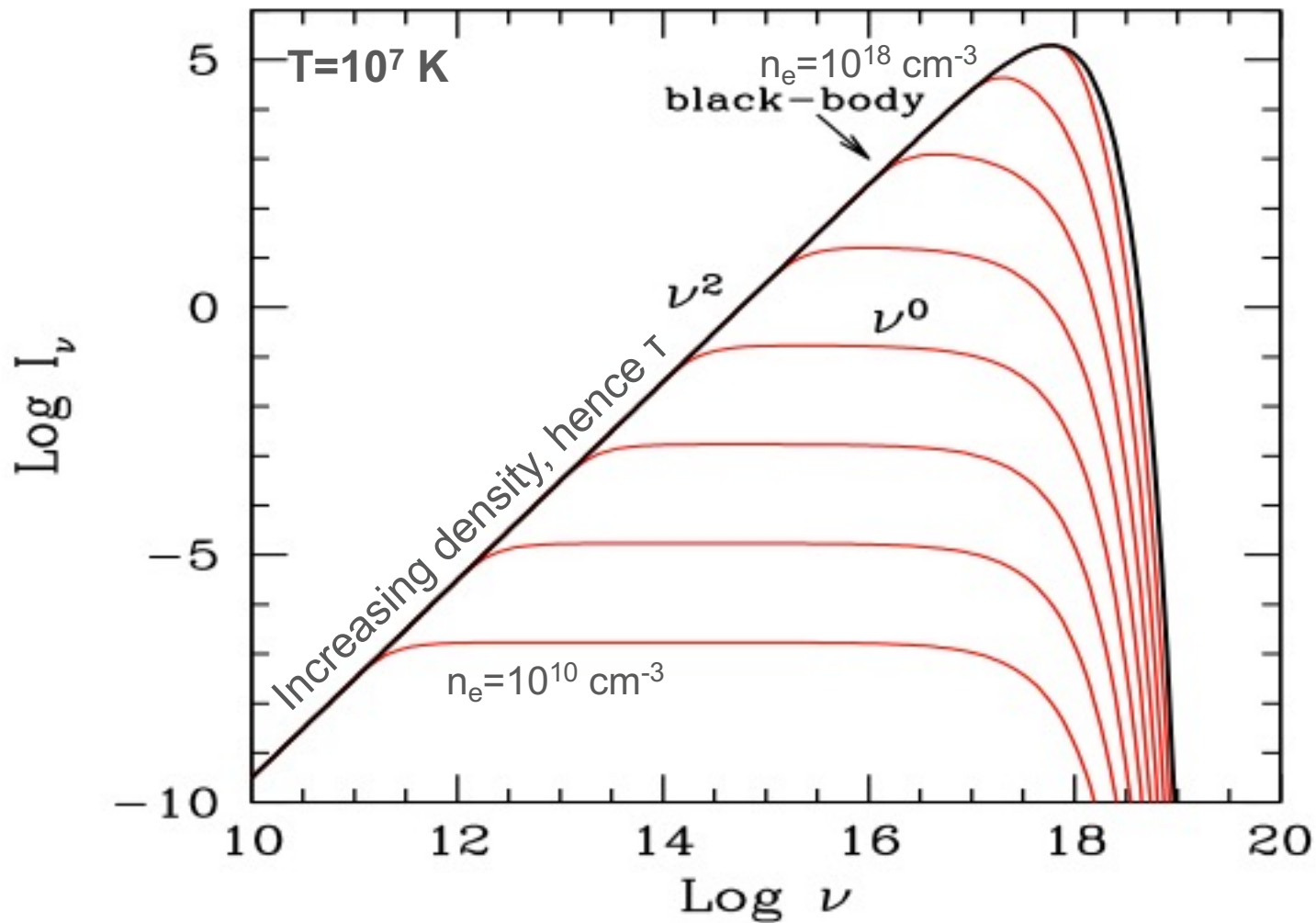
$h\nu/kT \gg 1$, opt. thin

$$I_{\nu} \approx B_{\nu}(T) \tau_{\nu} \approx (\nu^3 e^{-h\nu/kT}) (\nu^{-3} T^{-1/2}) \propto e^{-h\nu/kT}$$

τ_{ν} in the two regimes from previous slide

Bremsstrahlung + Equation of transport (II)





Absorption coefficient for a plasma emitting via Bremsstrahlung (how effectively the gas absorbs its own radiation)

At increasing densities, the spectrum is self-absorbed up to larger and larger frequencies

Bremsstrahlung: cooling time

For any emission mechanism, the cooling time is defined as:

$$t_{cool} = \frac{E}{|dE/dt|} = \frac{3/2(n_e + n_i)kT}{j_\nu(T)}$$

where **E** is the energy of the emitting particle (thermal) and **dE/dt** the energy lost by radiation.

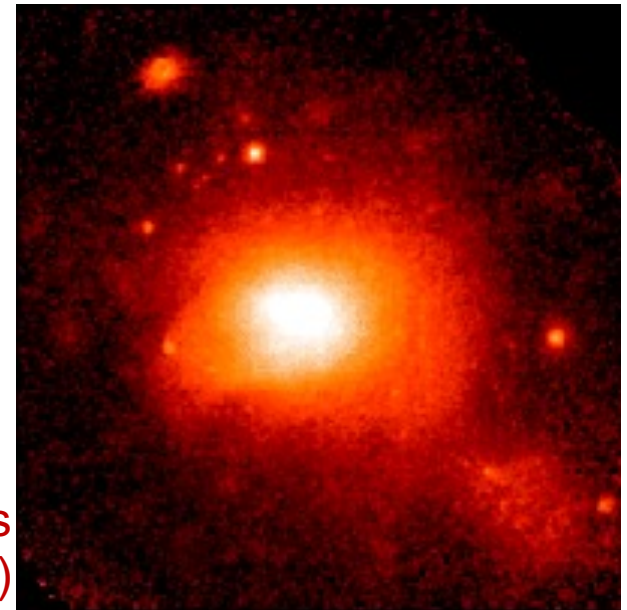
$$t_{cool} \approx \frac{6 \times 10^3}{n_e Z^2 g_{ff}} T^{\frac{1}{2}} \text{yr}$$

Bremsstrahlung
emission



← Radio image of the
Orion Nebula

X-ray emission of the
Coma Cluster →



HII regions: $t_{cool} \approx 1000$ years
($n_e \approx 100-1000 \text{ cm}^{-3}$, $T \approx 1000-10000 \text{ K}$)

Clusters of galaxies: a few times 10^{10} years
($n_e \approx 10^{-3} \text{ cm}^{-3}$, $T \approx 10^8 \text{ K}$)

Bremsstrahlung: polarization

Bremsstrahlung photons are polarized with the electric vector perpendicular to the plane of interaction.

In most astrophysical situations, and certainly in case of thermal bremsstrahlung, the planes of interaction are randomly distributed, resulting in **null net polarization**.

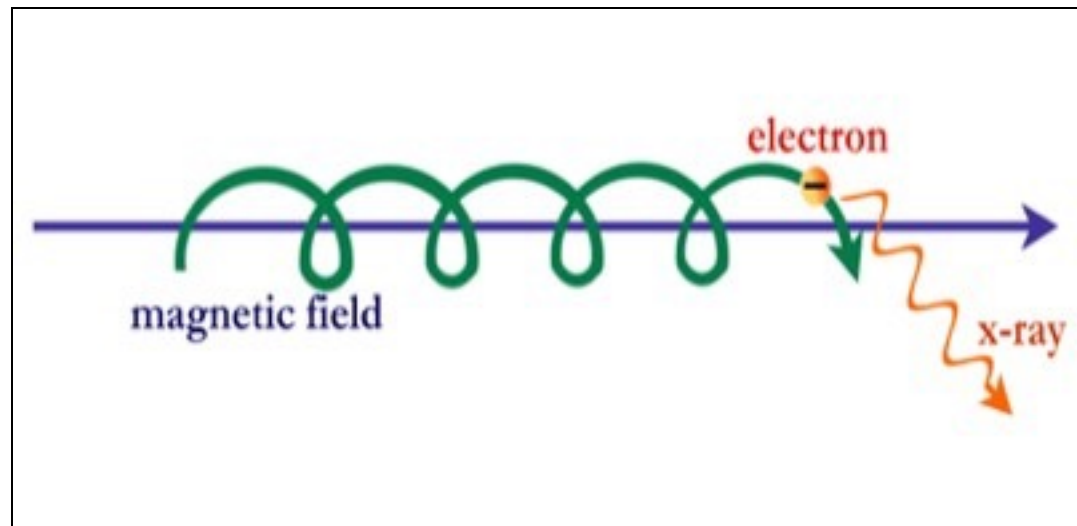
For an anisotropic distribution of electrons, however, bremsstrahlung emission can be polarized.

Synchrotron emission

Synchrotron radiation (or magnetic bremsstrahlung) is due to relativistic electrons spiraling around magnetic fields. The magnetic force $q(\vec{v} \times \vec{B})$ causes the electrons to be accelerated, then to lose some of their kinetic energy via photon emission. While in bremsstrahlung emission the electric fields (Coulombian forces) provide the accelerating forces, in synchrotron emission magnetic fields do the same.

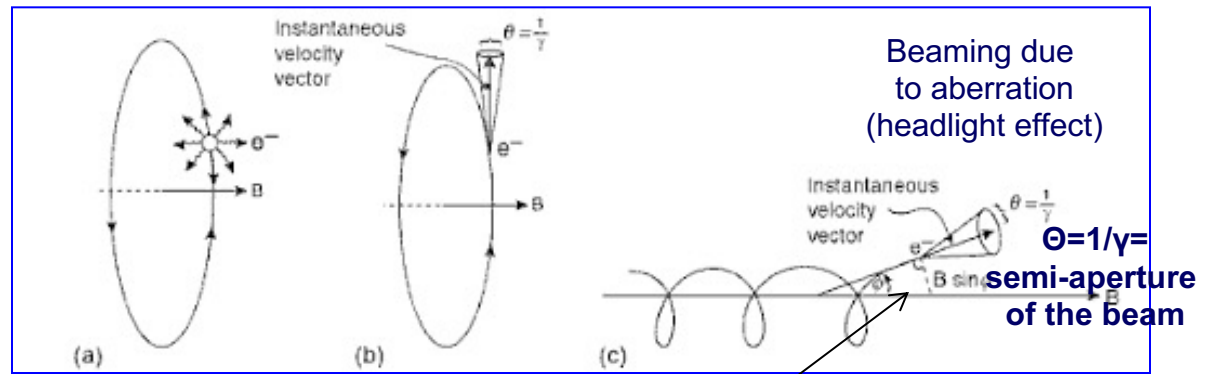
$$\vec{F} = q(\vec{v} \times \vec{B})$$

Lorentz
force



$$\vec{F} = q(\vec{v} \times \vec{B})$$

(S.I. system)



φ : pitch angle between v and B

The force is always perpendicular to the particle velocity, so it does not do work. Therefore, the particle moves in a *helical path with constant $|v|$* (if energy losses by radiation are neglected). The orbit is characterized by

$$r_B = \frac{\gamma m v_{\perp}}{qB} = \frac{\gamma m v \sin \varphi}{qB}$$

Radius of gyration

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} = \frac{1}{\sqrt{1 - \beta^2}}$$

Lorenz factor

$$\omega_B = \frac{v \sin \varphi}{r_B} = \frac{qB}{\gamma m} \text{ [rad/s]}$$

Gyro-frequency (cyclotron frequency)

Synchrotron properties

This emission depends on the electrons' energy properties

It is not possible to associate a temperature to the electrons → **non-thermal emission**

The originating electrons are not in thermal equilibrium with the surroundings

Cyclotron radiation

Radiation from a non-relativistic electron in a magnetic field
(centripetal force)

$$P(t) = -dE / dt = (2/3) \frac{q^2}{c^3} a(t)^2$$

Larmour formula

$$a(t) = F / m = qv_{\perp} B / cm$$

(c.g.s. system)

Lorentz force

$$-dE / dt = (2/3) \frac{q^4}{m^2 c^5} v_{\perp}^2 B^2$$

Synchrotron radiation

Radiation from a *relativistic* electron in a magnetic field

$$P(t) = -dE / dt = (2/3) \frac{q^2}{c^3} \gamma^2 a(t)^2$$

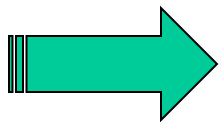
Larmour (relativistic case)

$$-dE / dt = (2/3) \frac{q^4}{m^2 c^3} \beta^2 \gamma^2 B_{\perp}^2$$

$$\beta = v/c, B_{\perp} = B \sin(\varphi)$$

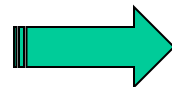
Thomson cross-section:

$$\sigma_T = (8/3) \pi r_0^2 = (8/3) \pi \left(\frac{e^2}{m_e c^2} \right)^2$$



$$-dE / dt = (2/3) \frac{q^4}{m^2 c^3} \beta^2 \gamma^2 B_{\perp}^2 = (c / 4\pi) \sigma_T \beta^2 \gamma^2 B_{\perp}^2$$

$$\langle \sin^2_{\varphi} \rangle_{av} = \frac{1}{4\pi} \int_{sphere} \sin^2_{\varphi} d\Omega = 2/3$$



$$-dE / dt = \frac{c}{6\pi} \sigma_T \beta^2 \gamma^2 B^2$$

Energy loss by a relativistic electron
in terms of magnetic energy density

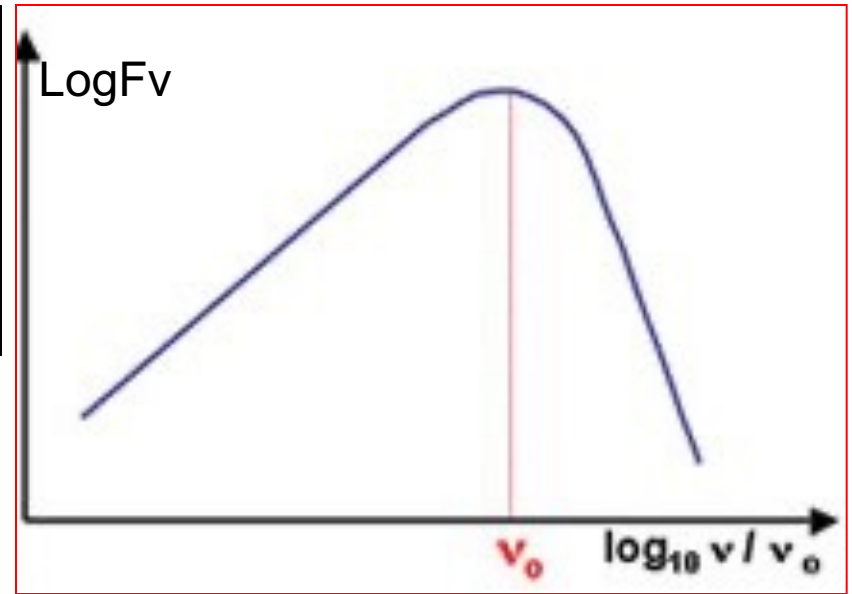
$$P = -dE / dt = \frac{4}{3} \sigma_T c \beta^2 \gamma^2 U_B$$

$$U_B = B^2 / 8\pi \quad \text{magnetic energy density (J/m}^3\text{)}$$

Critical (characteristic) frequency:

the shortest time period (the pulse duration) sets the maximum frequency as seen by the observer

→ at $\nu > \nu_{\text{crit}}$ there is “negligible” emission



$$E = \gamma m_e c^2$$

$$\nu_{\text{crit}} = \frac{3}{4\pi} \gamma^2 \frac{eB_{\perp}}{m_e c} = \frac{3}{4\pi} \frac{eB_{\perp}}{m_e^3 c^5} E^2$$



$$\nu_{\text{max}} = \nu_0 = (1/3) \nu_{\text{crit}}$$

Synchrotron emission from an ensemble of e⁻

Radiation from a *multiple relativistic* electrons in a magnetic field

Emission from one electron

$$-dE / dt = \frac{4}{3} c \sigma_T \beta^2 \gamma^2 U_B \propto E^2 B^2$$

Number of particles (e⁻) as a function of energy (energy distribution)

$$N(E) = N_0 E^{-\delta}$$

[$\delta \approx 2.5$ for cosmic rays over a large range of ν]

Approximation: $\nu \approx \nu_{crit}$

$$\nu_{crit} \approx \nu \propto E^2 B \rightarrow E \propto \left(\frac{\nu}{B} \right)^{1/2}$$

Emission from multiple electrons

$$\begin{aligned} j_E(E) dE &= j_\nu(\nu) d\nu = \\ &= (-dE / dt) \times N(E) dE \propto \\ &\propto B^2 E^2 N_0 E^{-\delta} dE \end{aligned}$$



$$j_E(E) \propto B^2 E^2 N_0 E^{-\delta} = N_0 B^2 E^{(2-\delta)} \propto N_0 B^{\frac{2+\delta}{2}} \nu^{\frac{2-\delta}{2}}$$

$$j_E(E)dE = j_\nu(\nu)d\nu$$

1:1 relation energy-frequency of the photons

$$\frac{dE}{d\nu} = \frac{d}{d\nu} \left(\frac{\nu}{B} \right)^{1/2}$$

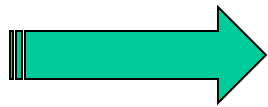
$$dE = B^{-1/2} \nu^{-1/2} d\nu$$

relation between dE and dν



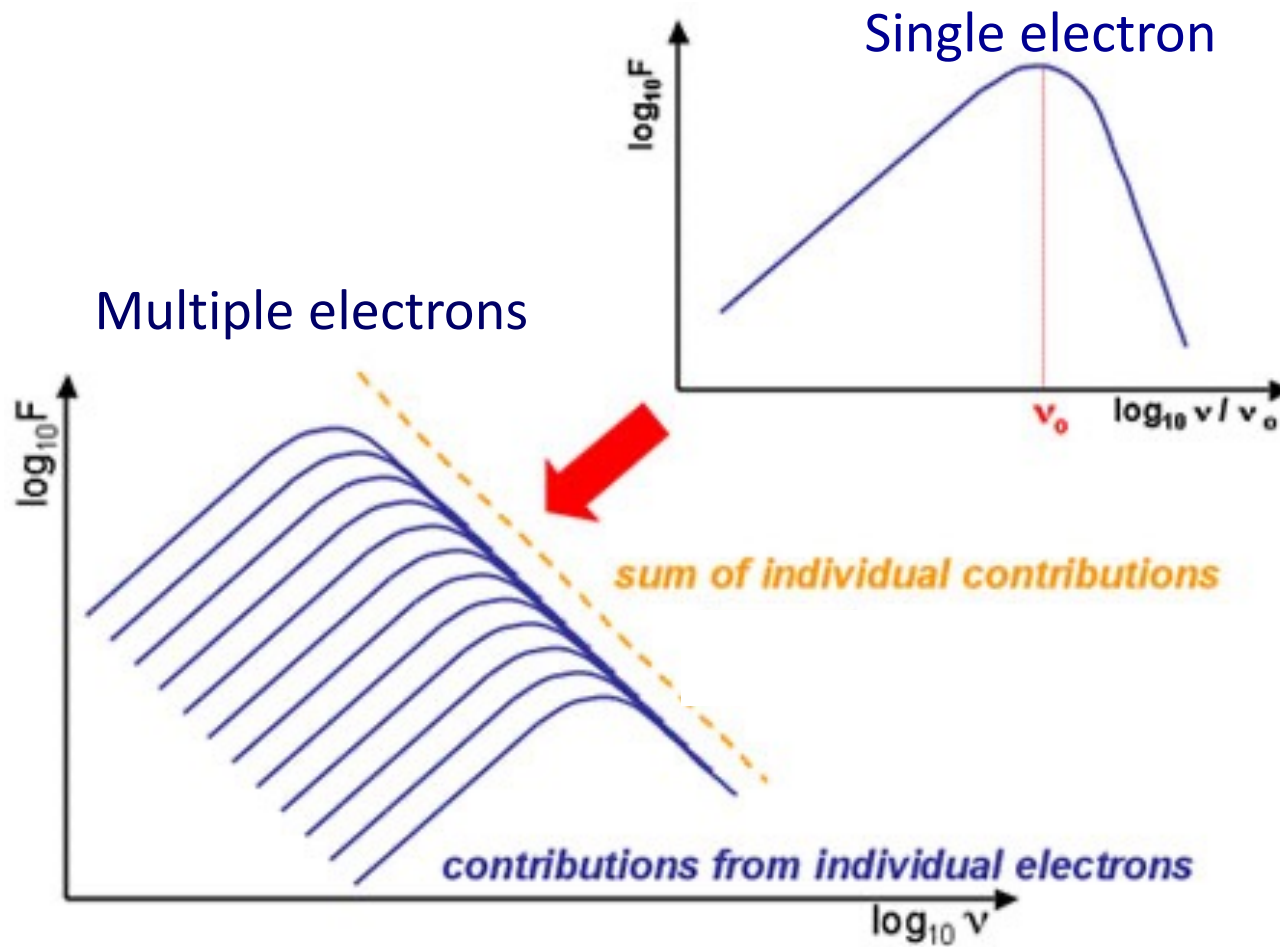
$$j_E(E) \propto N_0 B^{\frac{2+\delta}{2}} \nu^{\frac{2-\delta}{2}}$$

Volume emissivity per Joule [W/m³/J]



$$\begin{aligned} j_\nu(\nu) &= j_E(E) \frac{dE}{d\nu} \propto N_0 B^{\frac{2+\delta}{2}} \nu^{\frac{2-\delta}{2}} B^{-1/2} \nu^{-1/2} = \\ &= N_0 B^{\frac{\delta+1}{2}} \nu^{\frac{1-\delta}{2}} = N_0 B^{\alpha+1} \nu^{-\alpha} \end{aligned}$$

where $\alpha = (\delta - 1)/2 = \text{spectral index} = \text{log slope of the photon-energy spectrum}$



$$I(\nu) \propto N_0 B^{(\delta+1)/2} \nu^{-\alpha} = N_0 B^{\alpha+1} \nu^{-\alpha}$$

Synchrotron self-absorption

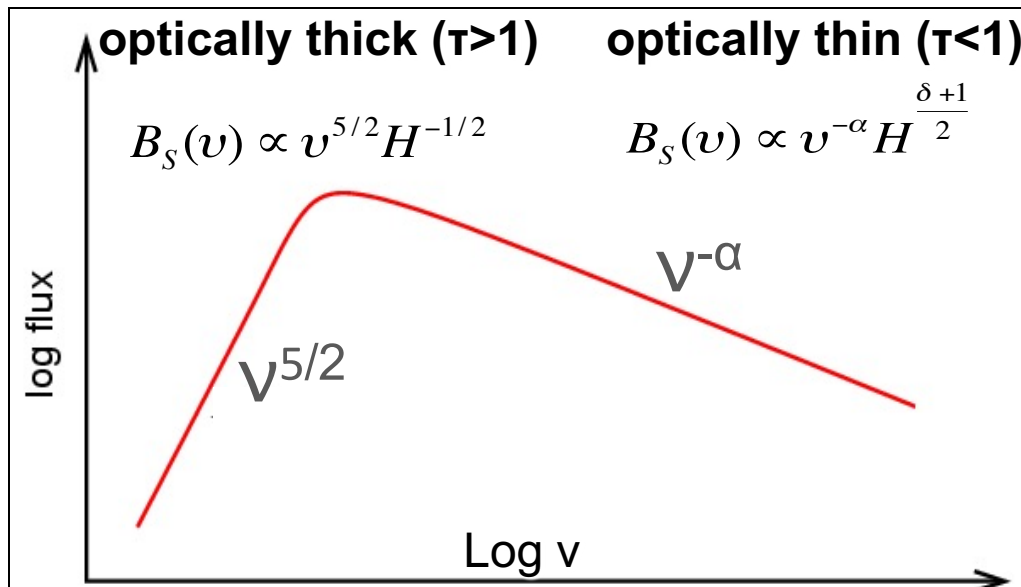
If the energy distribution of the electrons is non-thermal, e.g. a power law, $N(E)=N_0E^{-\delta}$, the **absorption coefficient** cannot be derived from the Kirchhoff's law. The direct calculation needs Einstein's coefficient yields (describing the transitions among energy levels in atoms), and leads to:

$$\mu_s(\nu) \propto N_0 B^{\frac{\delta+2}{2}} \nu^{-\frac{\delta+4}{2}}$$

Radiative transfer

$$B_s(\nu) = \frac{J_s(\nu)}{4\pi\mu_s(\nu)} (1 - e^{-\tau_s(\nu)})$$

Brightness of a synchrotron source



In the optically thick region, the spectrum is independent of δ

The transition frequency (thick/thin regime) is related to B and can be used to determine it

Optically-thick regime

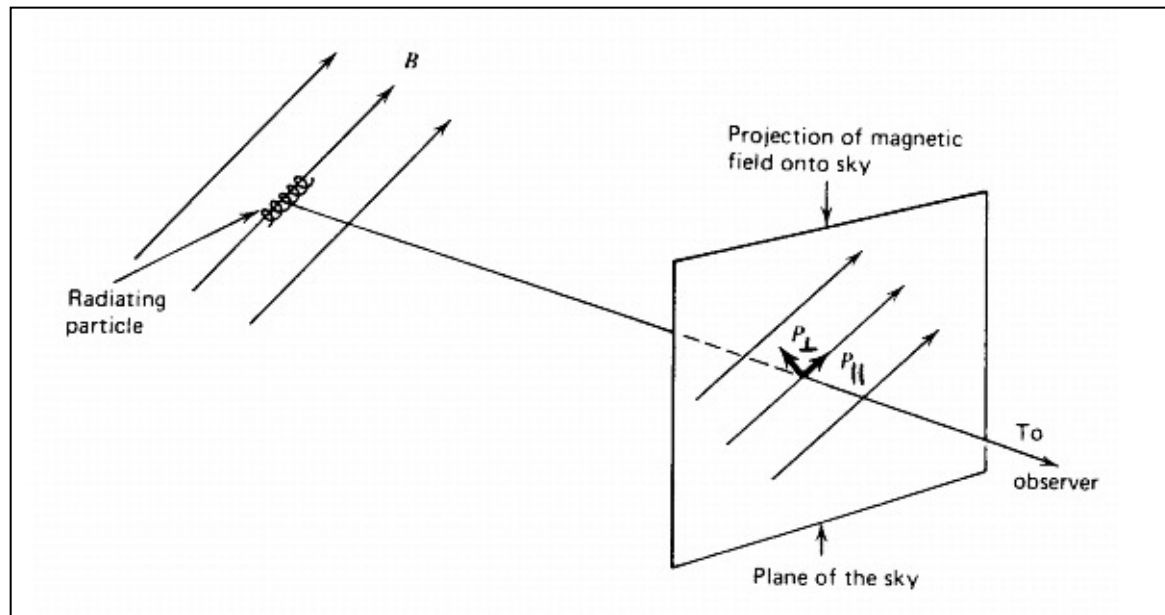
$$\tau_\nu \gg 1 \rightarrow B_S(\nu) = I_\nu \propto \frac{B^{\alpha+1} \nu^{-\alpha}}{B^{\frac{\delta+2}{2}} \nu^{-\frac{\delta+4}{2}}} = \frac{B^{\frac{\delta+1}{2}} \nu^{\frac{1-\delta}{2}}}{B^{\frac{\delta+2}{2}} \nu^{-\frac{\delta+4}{2}}} = B^{-1/2} \nu^{5/2}$$

Optically-thin regime

$$\tau_\nu \ll 1 \rightarrow B_S(\nu) = I_\nu \propto \frac{B^{\alpha+1} \nu^{-\alpha}}{\mu_S(\nu)} \tau_S(\nu) = B^{\frac{\delta+1}{2}} \nu^{-\alpha}$$

$$\alpha = \frac{\delta-1}{2}$$

Synchrotron polarization



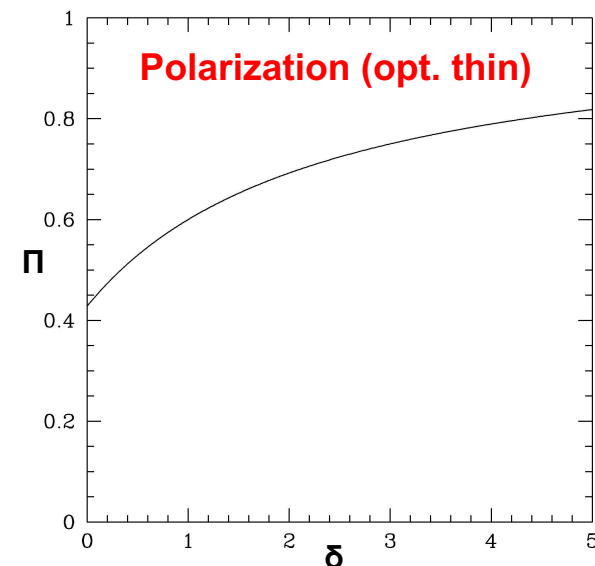
The radiation is (linearly) polarized perpendicularly to the projection of B on the plane of the sky

For a power law distribution of emitting particles, the degree of polarization is

$$\Pi = P/I = (3\delta + 3)/(3\delta + 7) \text{ for the optically thin region}$$

This is actually an upper limit, because the magnetic field is never perfectly ordered.

$$\Pi = 3/(16\delta + 13) \text{ for the optically thick region}$$



Synchrotron cooling time

(Electron's rest mass
is irreducible)

The cooling time is:

$$t_{cool} \approx \frac{(\gamma - 1)mc^2}{\frac{4}{3}\sigma_T c U_B \gamma^2 \beta^2} \approx (\gamma \gg 1) \approx \frac{7.75 \times 10^8}{B^2 \gamma} s$$

For the **interstellar matter**
($B \sim$ a few μG , $\gamma \sim 10^4$): $\tau \sim 10^8$ yr



For a radio galaxy ($B \sim 10^3$ G, $\gamma \sim 10^4$):
 $\tau \sim 0.1$ s \rightarrow continuous acceleration

Thomson scattering

Thomson scattering: elastic scattering of photons from free electrons in an ionized or partially ionized gas. The *scattering* is *elastic* provided the energy of the incoming photon is much less the rest-mass energy of the electron, otherwise some energy would be imparted to the electron. Thomson scattering cross-section is independent of wavelength (“grey”).

$$E_{ph} \ll m_e c^2$$

Condition for Thomson scattering

Thomson cross-section

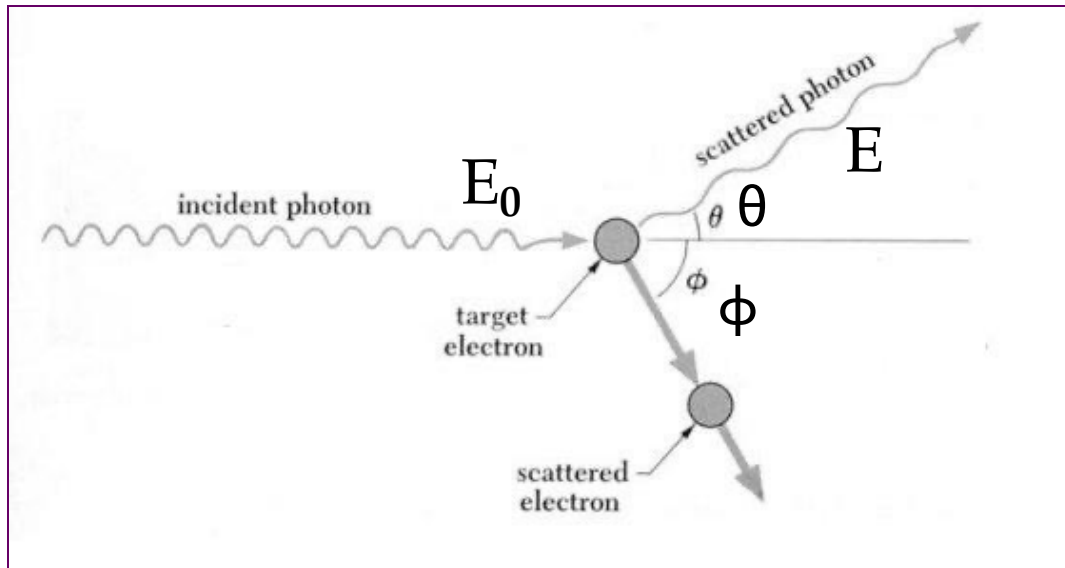
$$\begin{aligned}\sigma_T &= (8/3)\pi r_0^2 = (8/3)\pi \left(\frac{e^2}{m_e c^2} \right)^2 = \\ &= 6.65 \times 10^{-25} \text{ cm}^2\end{aligned}$$

Compton scattering

Compton scattering treats the collision between an assumed stationary electron and a photon, which provides energy to the electron. The photon moves to longer wavelengths because it loses energy via the interaction.

$$E_{ph} \approx m_e c^2$$

Condition for Compton scattering



Wavelength shift
(energy/momentum conservation)

$$\lambda - \lambda_0 = \frac{h}{m_e c} (1 - \cos \vartheta)$$

Compton wavelength=
 $=2.43 \times 10^{-14} \text{ cm}$

Fractional shift $(\lambda - \lambda_0)/\lambda$ substantial only at short incoming wavelengths

Energy conservation (where the electron rest energy is $m_e c^2$, and its recoil energy is $\gamma m_e c^2$)

$$h\nu_0 + m_e c^2 = h\nu + \gamma m_e c^2$$

Longitudinal momentum conservation (where the momentum of the electron is $p = \gamma m_e v = \gamma \beta m_e c$)

$$\longrightarrow \frac{h\nu_0}{c} = \frac{h\nu}{c} \cos \vartheta + \gamma \beta m_e c \cos \Phi$$

Transverse momentum conservation

$$0 = \frac{h\nu}{c} \sin \vartheta - \gamma \beta m_e c \sin \Phi$$

In the electron rest frame, the photon changes its energy as:

$$E = \frac{E_0}{1 + \frac{E_0}{m_e c^2} (1 - \cos \vartheta)}$$

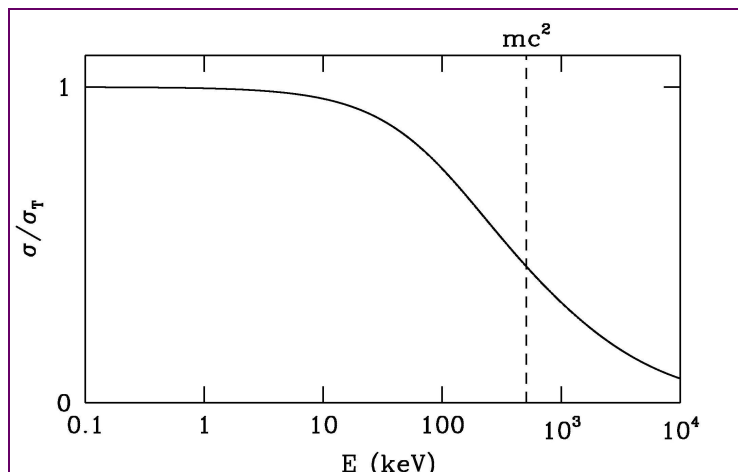
← before scattering

← larger E_0 (comparable to $m_e c^2$),
larger scattering

The cross section is the **Klein-Nishina**

$$\sigma_{KN} = \sigma_T \frac{3}{4} \left[\frac{1+x}{x^3} \left\{ \frac{2x(1+x)}{1+2x} - \ln(1+2x) \right\} + \frac{\ln(1+2x)}{2x} - \frac{1+3x}{(1+2x)^2} \right]$$

$$x \equiv \frac{E}{m_e c^2}$$



$$\sigma_{KN} \rightarrow \sigma_T \text{ for } x \rightarrow 0$$

$$\sigma_{KN} \approx \frac{3}{8} \sigma_T \frac{1}{x} (\ln 2x + 1/2) \text{ for } x \gg 1$$

$$\Rightarrow \sigma_{KN} \propto 1/E$$

Inverse Compton scattering

Inverse Compton scattering: a high-energy electron inelastically scatters off a lower energy photon, the result being a loss of kinetic energy for the electron and a gain of energy for the photon (moving to higher frequencies)
→ *photon upscattering* (mechanism at work in e.g. binaries and AGN)

$$E_{ph} \ll \gamma m_e c^2$$

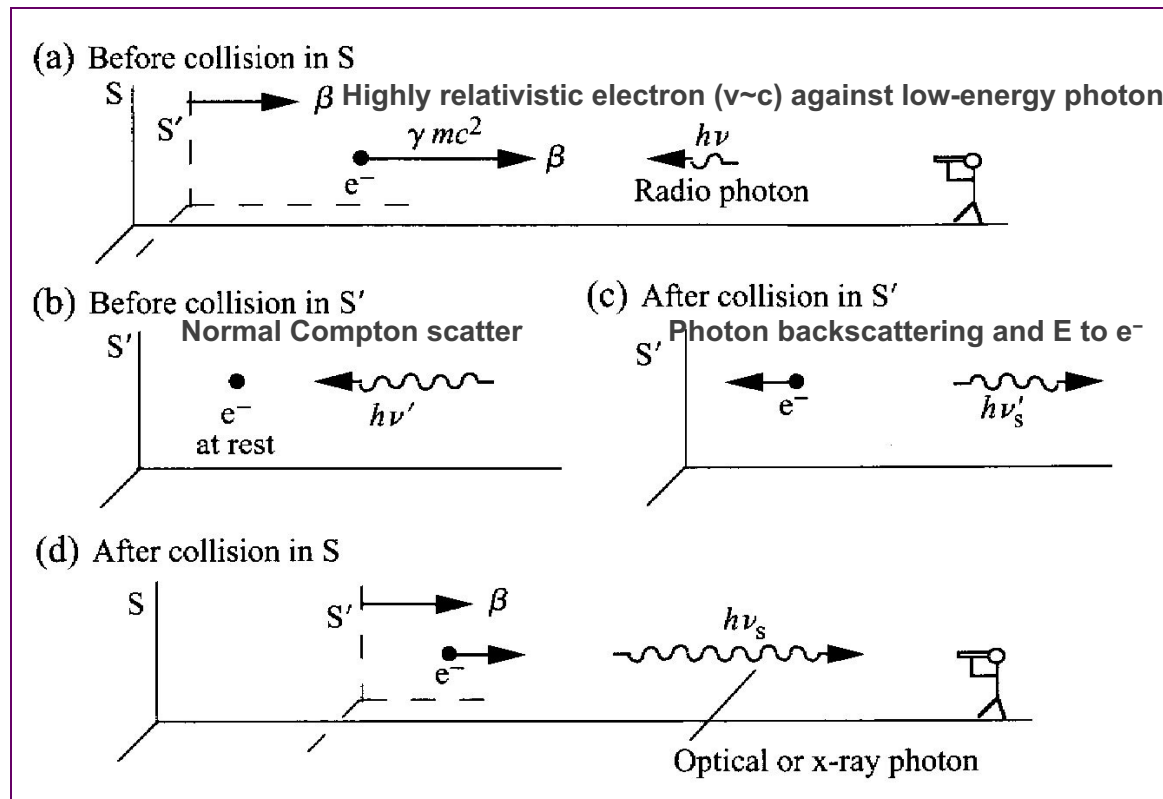
Condition for Inverse Compton scattering

Electron rest-frame (S') – moves with the electron

→ Energy of the scattered photon determined

Transformation back to the lab rest frame (S)

→ Final energy of the scattered photon



S
before collision

S'
Before/after collision

S
After collision

Electron rest frame

Head-on collision

Photon Doppler shifted

$$h\nu' = \left(\frac{1 + \beta}{1 - \beta} \right)^{1/2} h\nu \quad (b)$$

Electron at rest
Classic Compton scattering
in case of back-scattering
($\Theta = \pi$), energy given to e^-

$$h\nu_s' = \frac{h\nu'}{1 + \frac{2h\nu'}{m_e c^2}} \quad (c)$$

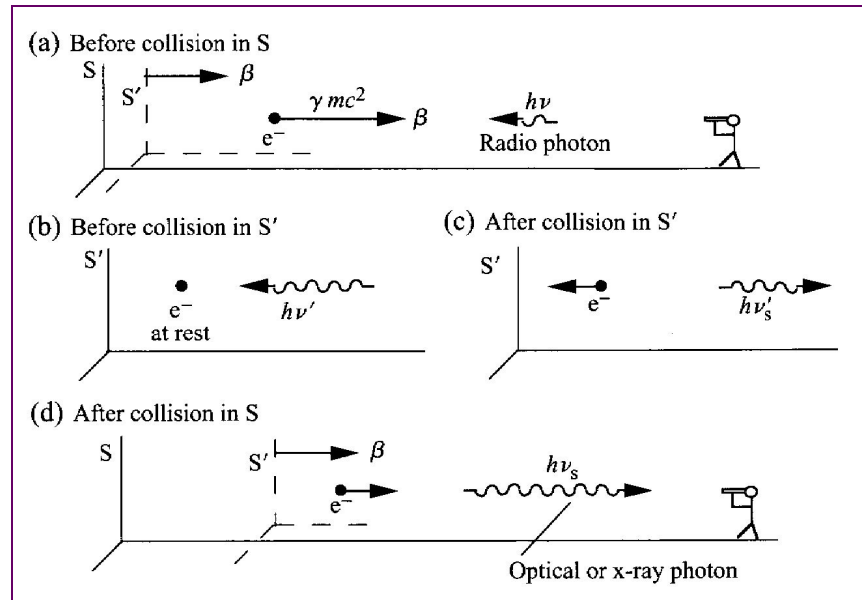
$$h\nu_s = \frac{h\nu}{1 + \frac{h\nu}{m_e c^2} (1 - \cos \vartheta)}$$

$$\xrightarrow{\theta=\pi} h\nu_s = \frac{h\nu}{1 + \frac{2h\nu}{m_e c^2}}$$

$$\Rightarrow h\nu_s' = \frac{h\nu'}{1 + \frac{2h\nu'}{m_e c^2}}$$

(c)

ν_s : frequency of the scattered photon



Lab rest frame

Second Doppler shift
(the scattered photon velocity
is in the direction of S')

$$h\nu_s = \left(\frac{1 + \beta}{1 - \beta} \right)^{1/2} h\nu'_s \quad (d)$$

Energy gain in the two Doppler shifts \gg loss of energy

Highly relativistic electron
($\beta \approx 1$ or $\gamma \gg 1$)

$$\left(\frac{1 + \beta}{1 - \beta} \right)^{1/2} \xrightarrow{\beta \approx 1} 2\gamma$$

Some calculations (I)

$$\left(\frac{1+\beta}{1-\beta}\right)^{1/2} = \frac{(1+\beta)^{1/2}}{(1-\beta)^{1/2}} \frac{(1+\beta)^{1/2}}{(1+\beta)^{1/2}} = \frac{1+\beta}{(1-\beta^2)^{1/2}} = \gamma(1+\beta) \xrightarrow{\beta \approx 1} 2\gamma$$

$$\textcircled{h\nu_s'} = \frac{h\nu'}{1 + \frac{2h\nu'}{m_e c^2}} = \frac{h\nu' m_e c^2}{m_e c^2 + 2h\nu'} = \frac{\left(\frac{1+\beta}{1-\beta}\right)^{1/2} h\nu m_e c^2}{m_e c^2 + 2\left(\frac{1+\beta}{1-\beta}\right)^{1/2} h\nu}$$

$$h\nu_s = \left(\frac{1+\beta}{1-\beta}\right)^{1/2} \textcircled{h\nu_s'} = \left(\frac{1+\beta}{1-\beta}\right)^{1/2} \frac{\left(\frac{1+\beta}{1-\beta}\right)^{1/2} h\nu m_e c^2}{m_e c^2 + 2\left(\frac{1+\beta}{1-\beta}\right)^{1/2} h\nu} =$$

$$= \left(\frac{1+\beta}{1-\beta}\right) \frac{h\nu m_e c^2}{m_e c^2 + 2\left(\frac{1+\beta}{1-\beta}\right)^{1/2} h\nu} \approx 4\gamma^2 \frac{h\nu m_e c^2}{m_e c^2 + 2h\nu 2\gamma} = \frac{4\gamma^2 h\nu m_e c^2}{m_e c^2 + 4\gamma h\nu}$$

(b,c,d)

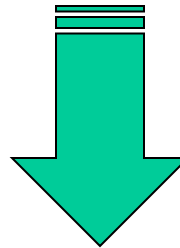
Some calculations (II)

$$\begin{aligned} h\nu_s &= \frac{4\gamma^2 h\nu m_e c^2}{m_e c^2 + 4\gamma h\nu} = 4\gamma^2 h\nu \left(\frac{m_e c^2}{m_e c^2 + 4\gamma h\nu} \right) = \\ &= 4\gamma^2 h\nu \left(\frac{m_e c^2 + 4\gamma h\nu}{m_e c^2} \right)^{-1} = 4\gamma^2 h\nu \left(1 + \frac{4\gamma h\nu}{m_e c^2} \right)^{-1} \end{aligned}$$



$$h\nu_s = 4\gamma^2 h\nu \left(1 + \frac{4\gamma h\nu}{m_e c^2}\right)^{-1}$$

Single IC back-scatter ($\gamma \gg 1$)



$4\gamma h\nu \ll m_e c^2$
often satisfied

$$h\nu_s \approx 4\boxed{\gamma^2} h\nu = 4 \left(\frac{U}{m_e c^2} \right)^2 h\nu$$

Single head-on scatter ($\gamma \gg 1$ and $4\gamma h\nu \ll m_e c^2$)

U = total initial (rest+kinetic) energy of the electron = $\gamma m_e c^2$

The final energy of the photon is increased by a factor γ^2
(Doppler shift transformations)

Average over all directions: in a real source, the electron will collide with many photons with various directions and impact parameters

$$h\nu_{S,iso} = \frac{4}{3} \gamma^2 h\nu$$

Scattered photon average energy
($\gamma \gg 1$ and $4\gamma h\nu \ll m_e c^2$)

Example: *Crab Nebula*

$$\gamma^2 \approx 4 \times 10^8$$

milli-meter photon of $\nu = 300$ GHz

$$\rightarrow \nu_s \approx 1.6 \times 10^{20} \text{ Hz (}\gamma\text{-ray band)}$$

Electron up-scatters (boosts) the photon

Rate of electron energy loss

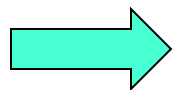
Single electron and many photons

Observer's frame (approximate case)

$$-\left(\frac{dE}{dt}\right)_{IC} \approx \sigma_T n_{ph} c (h\nu_{S,iso})$$

Number of interactions per second

Energy of the photon
(averaged over all angles)

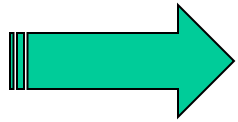


$$-\left(\frac{dE}{dt}\right)_{IC} \approx \frac{4}{3} \gamma^2 h\nu_{av} \sigma_T n_{ph} c$$

σ_T fine until E_{ph} in the electron rest frame $S' \ll m_e c^2$: $2\gamma h\nu \ll m_e c^2$
 $h\nu_{av}$ to consider that photons have a distribution in energy

Energy density of the photons

$$U_{ph} = n_{ph} h \nu_{av}$$



$$-\left(\frac{dE}{dt}\right)_{IC} \approx \frac{4}{3} \sigma_T c \gamma^2 \beta^2 U_{ph}$$

Loss of energy similar to that
of the synchrotron emission

**Rate of energy
loss by electron
due to IC [W]**

$$(\gamma h \nu \ll m_e c^2; \\ h \nu_{av} \ll h \nu_{s,iso})$$

to obtain the 'correct'
expression

Assuming a thermal M-B distribution for the electrons ($E_{kin} = \langle mv^2 \rangle / 2 = 3kT_e / 2$),
the mean percentage energy gain of the photons is

$$\frac{\Delta E}{E_0} = \frac{4kT - E_0}{mc^2}$$

$4kT > E_0 \rightarrow$ Energy transferred from electrons to photons
 $4kT < E_0 \rightarrow$ Energy transferred from photons to electrons

Inverse Compton scattering: volume emissivity

Population of relativistic electrons, each of energy $\gamma m_e c^2$, with $\gamma \gg 1$, in a sea of photons with energy density U_{ph} , and photon energies negligible compared with the IC upscattered energies

$$j_{IC} = \frac{4}{3} \sigma_T c \gamma^2 \beta^2 n_e U_{ph}$$

Integrated volume emissivity
[W/m³]

($\gamma h\nu \ll m_e c^2$, $h\nu_{av} \ll h\nu_{S,iso}$ → significant energy increase)

$$N(E) = N_0 E^{-\delta} \longrightarrow j_{IC}(\nu) \propto \nu^{-(\delta-1)/2} = \nu^{-\alpha}$$

IC cooling time

The formula for the energy losses by a single electron is identical to the synchrotron one, once U_{ph} replaces U_B .

Therefore $P_{IC}/P_{syn} = U_{ph}/U_B$

IC losses dominate when the energy density of the radiation field is larger than that of the magnetic field ($U_{ph} > U_B = B^2/8\pi$) –
“Compton catastrophe”

$T > 10^{12}$ K: the Compton luminosity dominates over the synchrotron luminosity: the source irradiates via Inverse Compton emission and t_{cool} is very short

Cooling time

$$t_{cool} \approx \frac{(\gamma - 1)mc^2}{\frac{4}{3}\sigma_T c U_{ph} \gamma^2 \beta^2 n_e}$$

$U_{rad} = U_{sync} + U_{ph}$, which may be very short for relativistic electrons in a strong radiation field

Comptonization

A population of photons encountering a region of free electrons will find its spectrum modified as a result of IC scatters.

If the electrons are more energetic, the photons will, on average, be scattered to higher energies.

If electrons are less energetic, photons will be down-scattered.

The modification of the photon spectrum by Compton scatters is called **Comptonization**.

Electrons may not be relativistic.

Comptonization parameter

$y = [\text{average fractional energy gain per scatter.}] \times [\text{average \# of scatterings}] =$

$$y = \Delta E / E_0 \times N_{\text{scatt}}$$

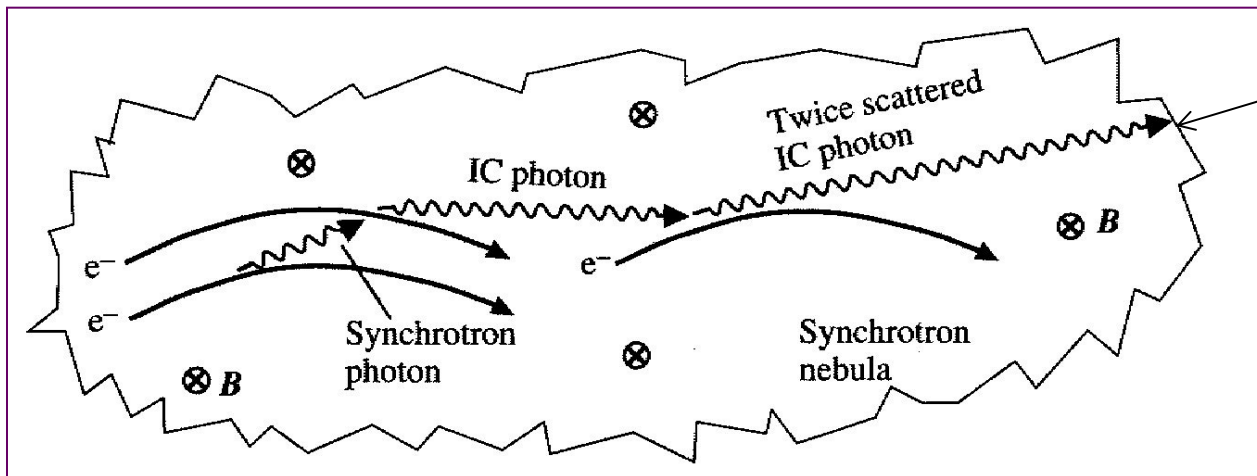
Non-relativistic electrons: the mean energy gain of the photons is $E = E_0 e^y$

To derive the spectral shape, one has to solve the diffusion equation, also known as the *Kompaneets equation*

Some applications of Comptonization in astrophysical contexts

Synchrotron Self-Compton (SSC)

SSC: relativistic electrons in a magnetic field produce synchrotron radiation. If the radiation energy density of synchrotron photons is intense, the photons will undergo IC scattering by the same electrons that emitted them in the first place.

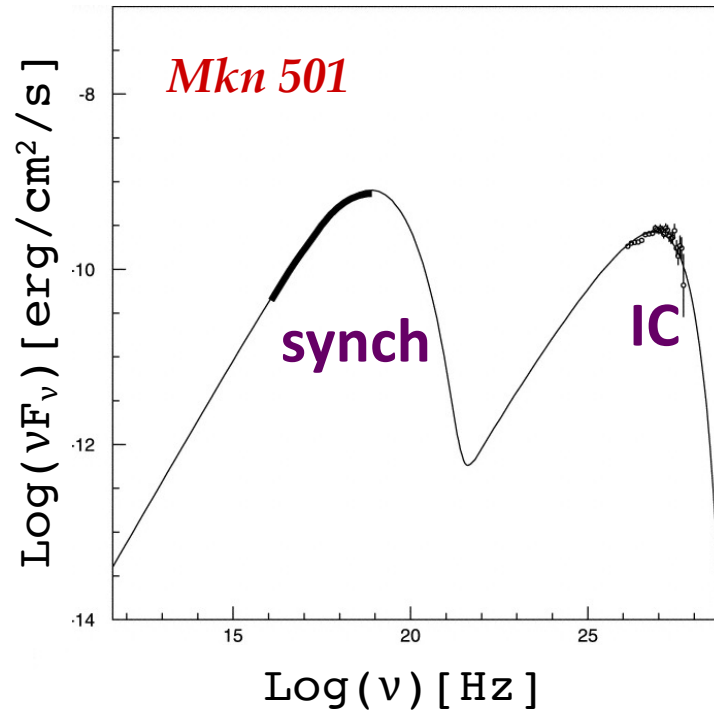
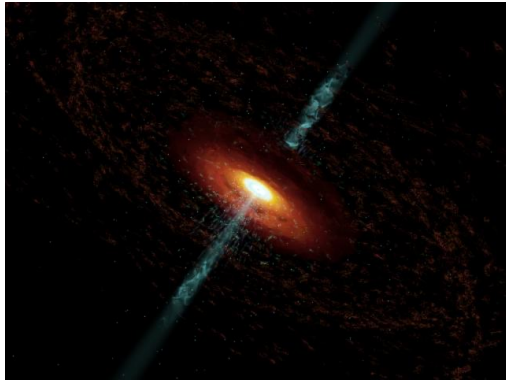


Twice scattered IC photons:
the photon is boosted by a second γ^2 factor

$T_{br}=10^{12}$ K is the maximum allowed T for a compact source emitting via incoherent synchrotron

Limit to the maximum T of e^- in the nebula emitting via synchrotron ($T_{br}=10^{12}$ K) \rightarrow *Compton limit (catastrophe)* \rightarrow Inverse Compton dominates the radiative processes, the source emits most of the emission in X-rays, and the average life of electrons is very short \rightarrow fast cooling

$$\frac{\left(\frac{dE}{dt}\right)_{IC}}{\left(\frac{dE}{dt}\right)_{synch}} = \frac{U_{ph}}{U_B}$$

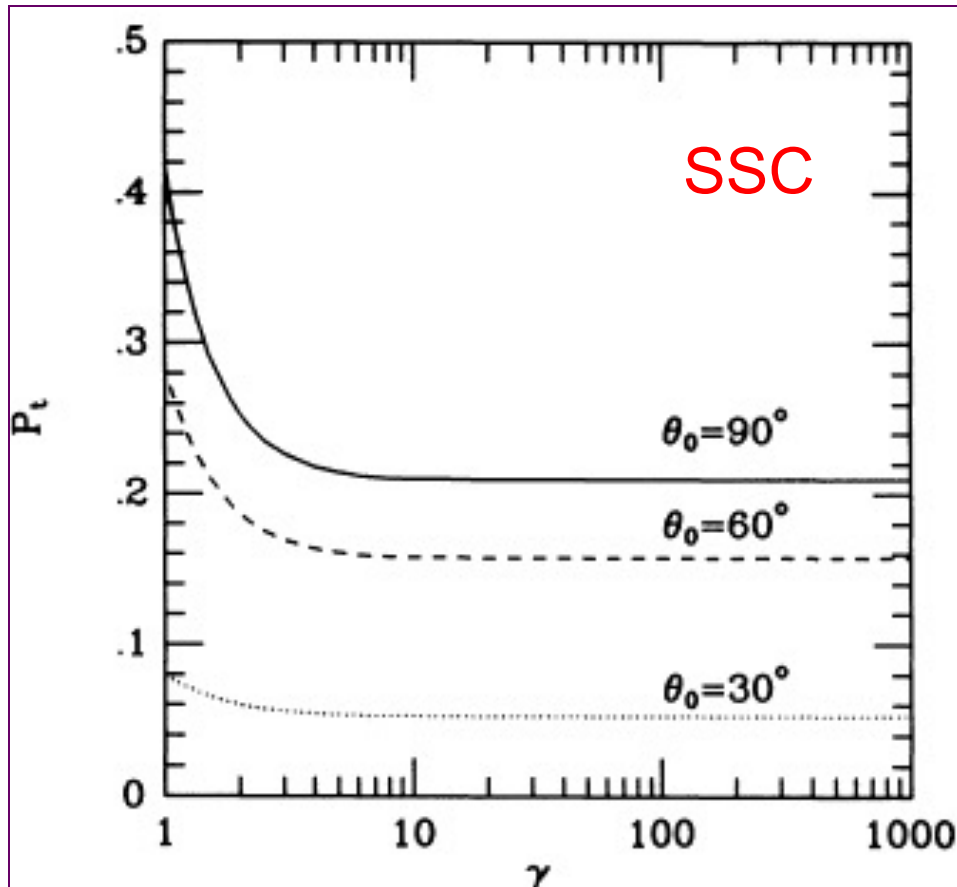


SSC emission may be relevant in *Blazars*, where two peaks are actually observed. The first peak is due to Synchrotron, the second to IC (either *SSC* or *external IC*, i.e., the “nature” of the photons can be varied) – full description later in the course

Compton scattering: polarization

Compton scattering radiation is polarized (*but less than Thomson scattering. Polarization decreases with $h\nu/mc^2$ in the reference frame of the electron*).

The degree of polarization depends on the geometry of the system

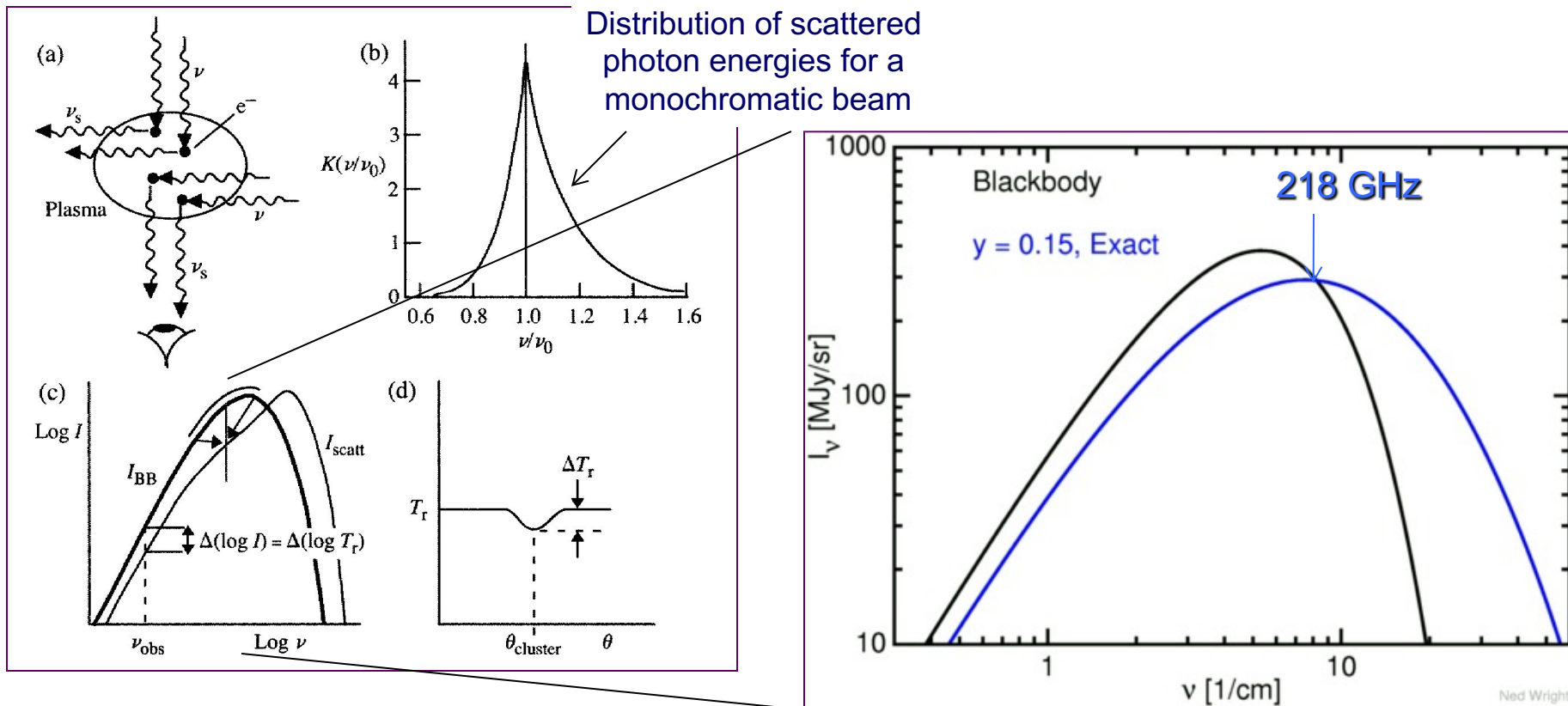


In *Blazars*, the radiation field may be either the synchrotron emission (**SSC**) or the thermal emission from the accretion disc (**external IC**).

The polarization properties are different in the two cases: while in the SSC the pol. angle of IC and Synch. are the same, in the external IC the two are no longer directly related.

Sunyaev-Zeldovich effect

SZ effect: distortion of the black body spectrum of the CMB owing to IC interaction of the low-energy CMB photons with the energetic electrons in the plasma of a cluster of galaxies



CMB photons are Comptonized by the IGM in Clusters of Galaxies. As a result, the CMB spectrum in the direction of a cluster is shifted by
 $\Delta I_\nu / I_\nu \approx -2y$
(R-J regime)

$$\tau \approx \sigma_T \langle n_e \rangle R \approx 10^{-2} - 10^{-3}$$

$$\frac{\Delta \nu}{\nu} \approx \frac{4kT}{mc^2} \approx 5 \times 10^{-2}$$

$$y = \int n_e \sigma_T dz \left(\frac{kTe}{m_e c^2} \right) \approx 10^{-3} - 10^{-4}$$

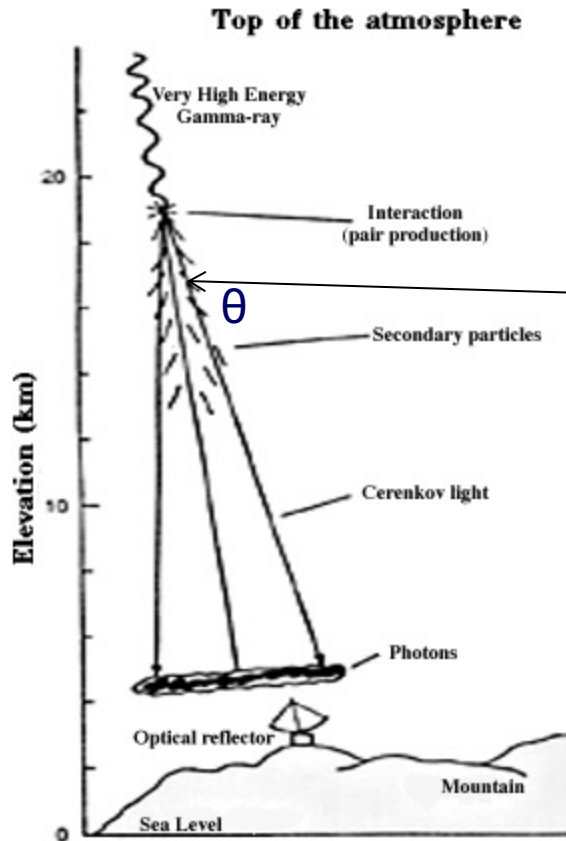
y=Comptonization parameter
measures the strength of Compton scattering

The S-Z effect is potentially a very efficient tool to search for clusters and, when combined with X-ray observations, can be used to estimate the **baryonic mass fraction** and even the **Hubble constant**

Moving to even higher energies (Very High Energy, VHE, and TeV domain)...

Cherenkov radiation. I

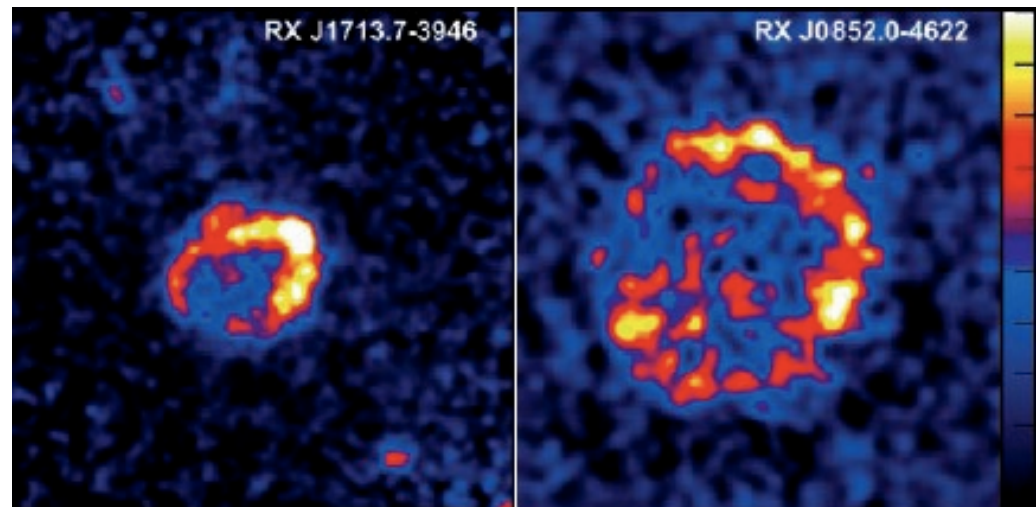
It occurs when a *charged particle passes through a medium at a speed larger than the speed of light in that medium*



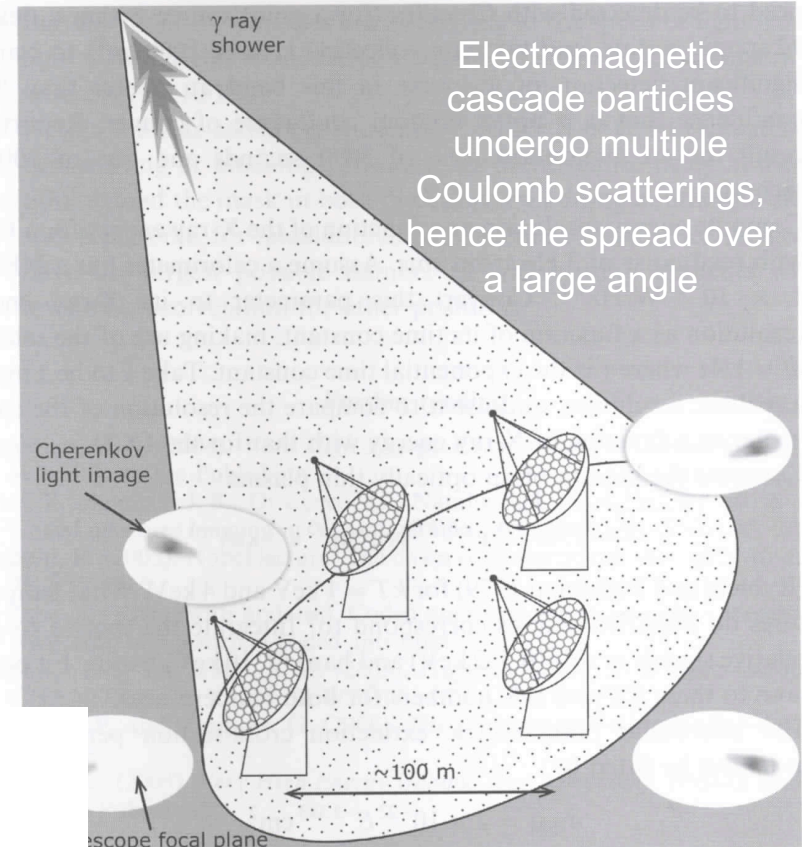
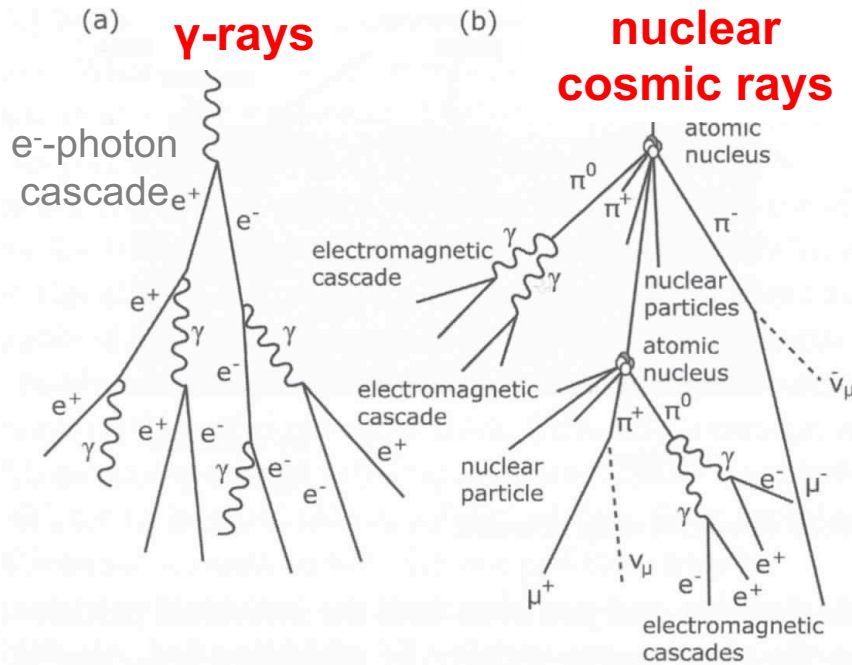
γ -ray \rightarrow *pair production* and secondary particles (*air shower*) \rightarrow faint *short-lasting* (3–5 ns) beam of blue light (Cherenkov radiation) detected by large telescopes on the ground

$$\cos\theta = c/(v \times n) \quad [v = \text{velocity of e.g. the electron, } n = \text{refraction index in the atmosphere}]$$

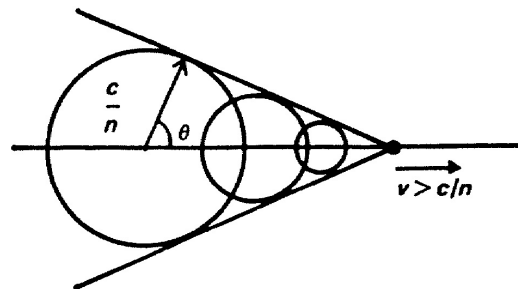
It is used to detect *high-energy* (\sim TeV) γ -rays (e.g., *Veritas*, *HESS* and *Magic telescopes*)



Cherenkov radiation. II



$$\vartheta = \cos^{-1} \left(\frac{1}{n\beta} \right), \quad \beta = v/c$$



Huygens' construction for the wavefront of coherent radiation of a charged particle moving at constant $v > c/n$ (n =refractive index)

n =refraction index of the air (>1); $v_{\text{light(atmosphere)}} = c/n$

$v_{\text{light(atmosphere)}} = v_{\text{phase,light}} < v_{\text{relativistic particle}}$: Cherenkov light

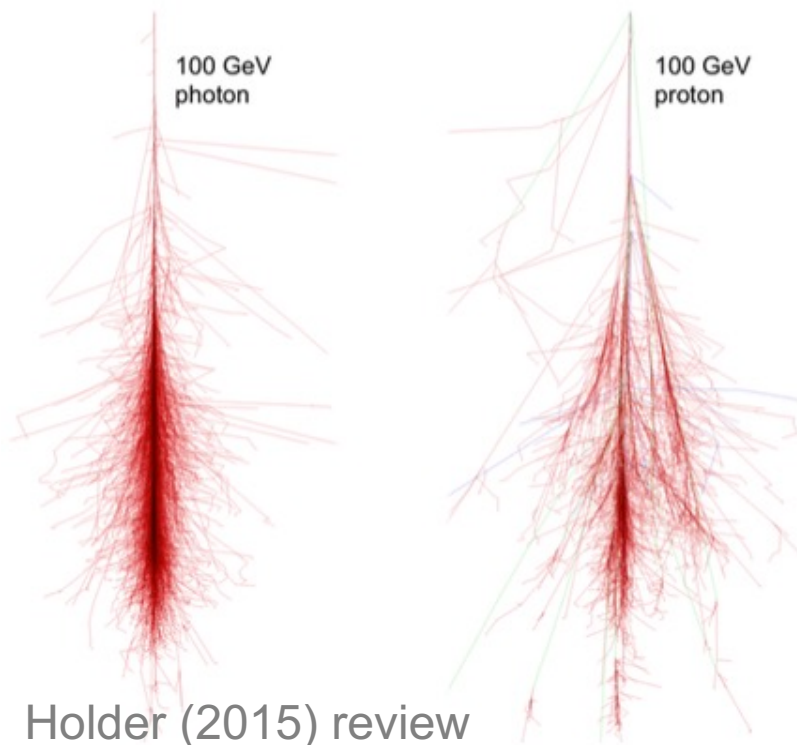
$\theta \approx 1$ deg; shower maximum at ≈ 9 km for TeV photons (≈ 200 m diameter)

Nuclear primary at ≈ 7 km and penetrate closer to the ground

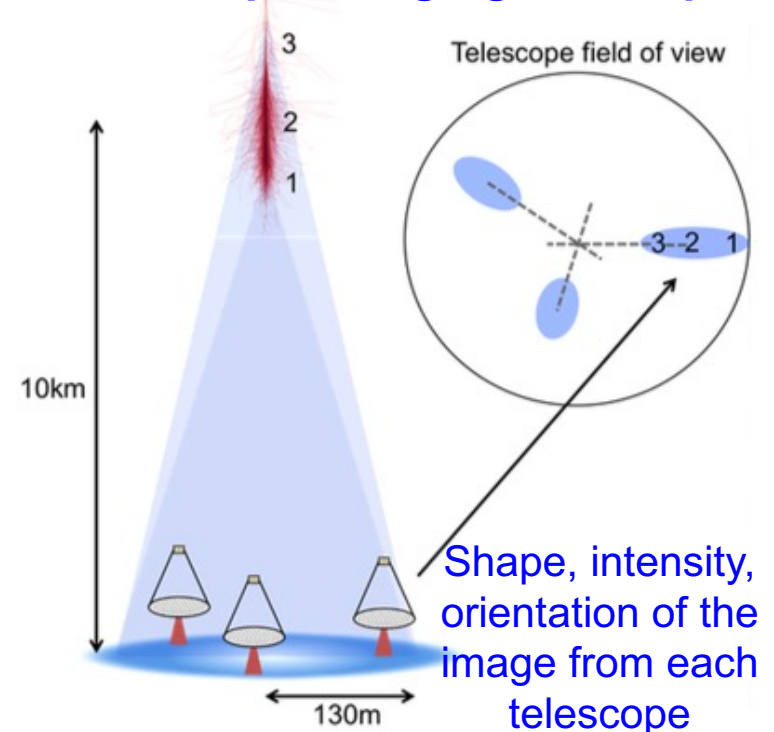
Cherenkov radiation. III

γ -ray photon (E_0) \rightarrow Electron-positron pair \rightarrow Bremsstrahlung + pair production interactions lead to the generation of an electromagnetic cascade
Below $E_C=84$ MeV ionization losses dominate \rightarrow maximum number of particles in a cascade is given by E_0/E_C

Cosmic rays – charged, relativistic protons and nuclei – produce more complex cascade developments in the atmosphere



Stereoscopic imaging technique



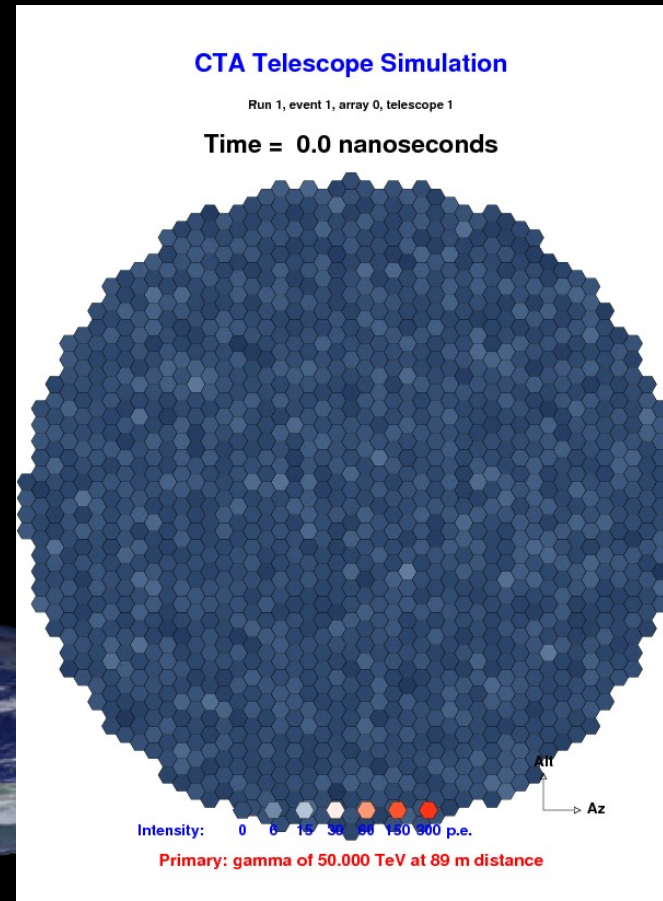
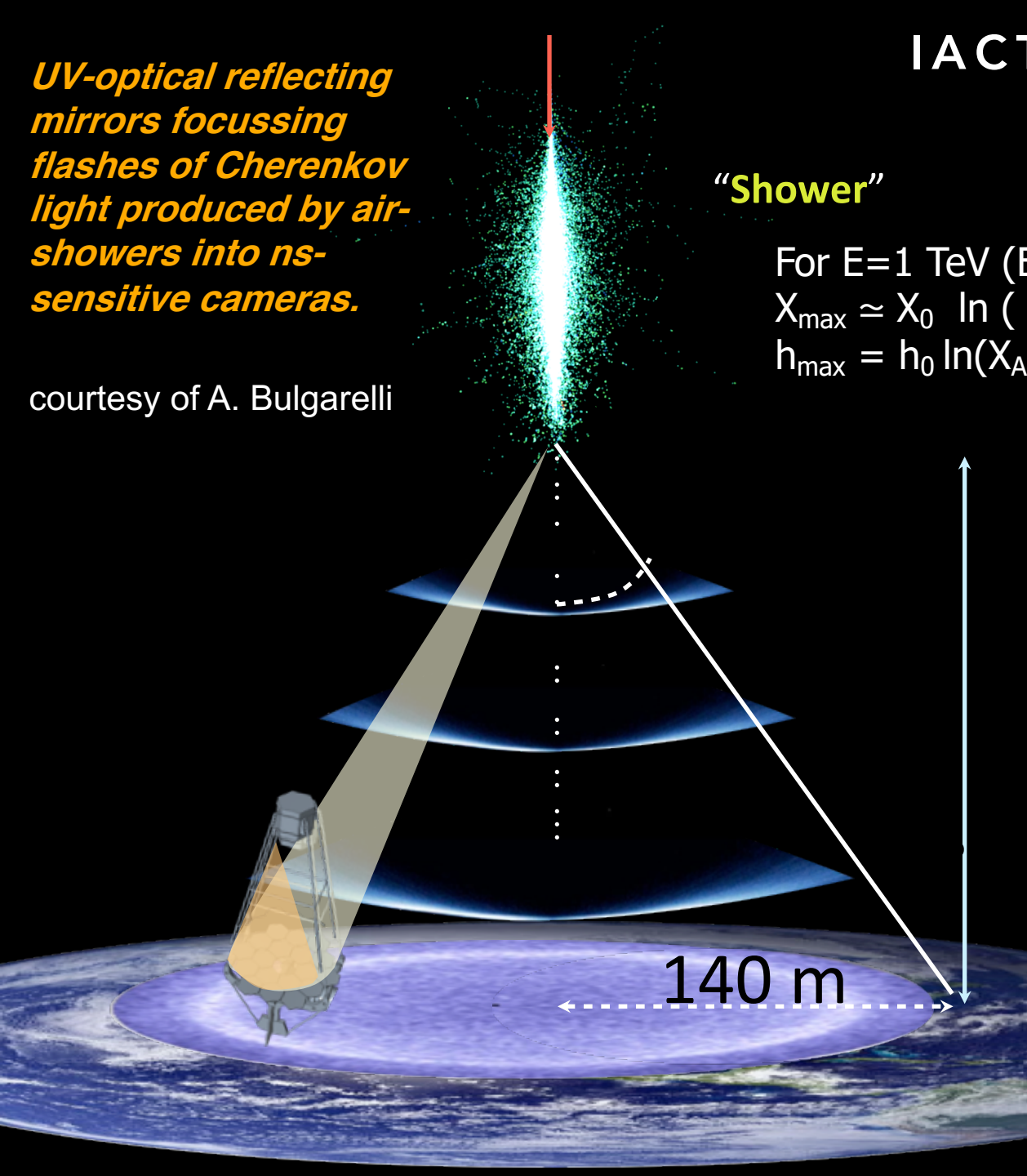
UV-optical reflecting mirrors focussing flashes of Cherenkov light produced by air-showers into ns-sensitive cameras.

courtesy of A. Bulgarelli

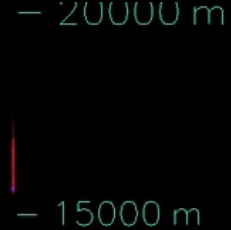
IACT = IMAGING AIR CHERENKOV TELESCOPES

“Shower”

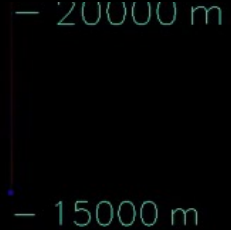
For $E=1 \text{ TeV}$ ($E_C \simeq 80 \text{ MeV}$)
 $X_{\max} \simeq X_0 \ln (E/E_C) / \ln 2$
 $h_{\max} = h_0 \ln(X_A/X_{\max}) \rightarrow 5 \text{ km}$



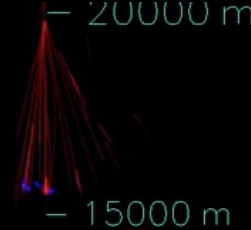
γ -ray



Proton



Carbon-13



10000 m

10000 m

10000 m

5000 m

5000 m

5000 m

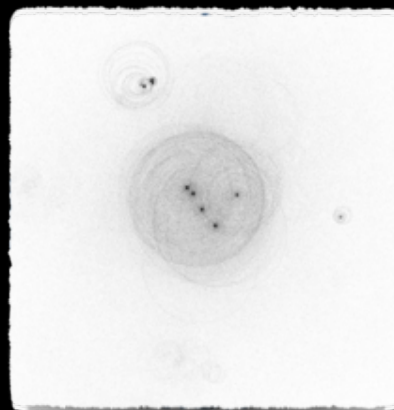
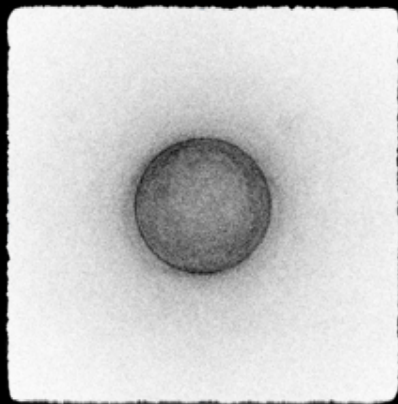
courtesy of A. Bulgarelli

500 m

500 m

500 m

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Intensity of the Image

↳ *Shower Energy*

Orientation of the image

↳ *Shower Direction*

Image Shape

↳ *Particle type*