

# Active Galactic Nuclei – V

## Formation and evolution of AGN at high redshift

[In collaboration with R. Gilli, F. Vito, R. Nanni, C. Circosta, Q. D’Amato, ...]

Recent review by Inayoshi et al. (2020, ARA&A) -  
*“The Assembly of the First Massive Black Holes”*

# Outline

- Where do we stand?

Statistics on high-redshift AGN. Recent results from Pan-STARRS and SHELLQ.  $z > 6$  QSOs as massive and rare systems accreting close to Eddington, with similar properties to lower- $z$  QSOs

- X-ray properties of high-redshift unobscured quasars

Probing (also with X-ray spectra) luminous unobscured QSOs up to the highest redshift, challenging observations

- On the growth of SMBHs: the challenge of massive BHs in  $< 1$  Gyr

Models vs. observations

- Obscured AGN at  $z > 3$ : insights from X-ray surveys. AGN evolution. Analysis of AGN host galaxies from ALMA

Obscured AGN fractions seems to be higher at high redshift, especially at high  $L_x$ . Can the host contribute to obscuration?

- What's next?

Part I:  
Where do we stand?

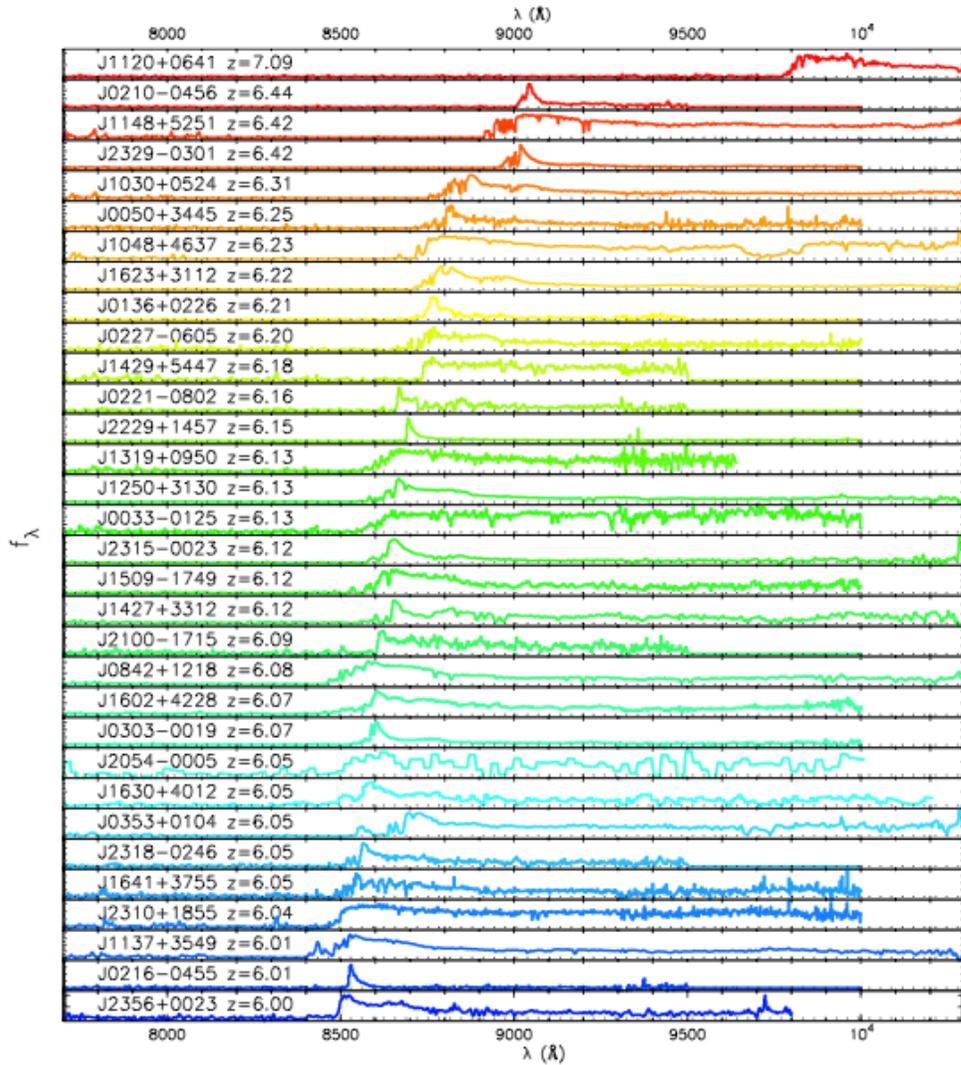
# Where do we stand? I. Quasar statistics

**259 QSOs at  $z > 5.5$  (145 at  $z > 6$ , 21 at  $z > 6.5$ )**  
 (SDSS, CFHQS, Pan-STARRS1, DES, UKIDSS, VISTA-Viking, HSC) - (Fan+00-06; Jiang+08,09; Willott+07,09,10; Banados+14-16; Mortlock+11; Venemans+13, 15, Matsuoka+16,18)

**SELECTION: O/NIR, 2 radio** (McGreer+06, Zeimann+11), **0 X-ray**

About 1/10 with X-ray coverage, 19 X-ray det.

SDSS traces the most luminous QSOs ( $\log L_x \sim 45$ ,  $\log L_{bol} \sim 46.5$ ,  $M_{1450} = [-24, -28]$ )



Fan+12

continuous update of these numbers  
 Inayoshi+20, ARAA: 197 at  $z \geq 6$ , 6 at  $z > 7$

Faint end of the LF still to be achieved

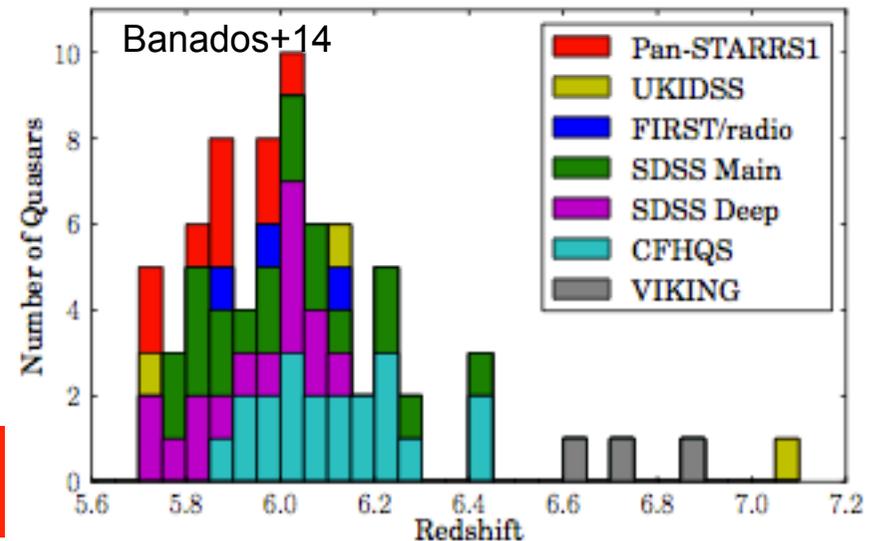


Table 1 List of surveys utilized in the discoveries of high- $z$  quasars at redshift  $z \geq 6$

| Name   | Bands                        | Area (deg <sup>2</sup> ) | Number of quasi-stellar objects | References                                     |
|--|------------------------------|--------------------------|---------------------------------|--|
| Subaru (including SHELLQs + Subaru SC)       | Optical $g, r, i, z, y$      | 1,400                    | 78                              | SHELLQs: Matsuoka et al. 2016, 2018a,b, 2019a; |
|  | Optical $zB, zR$             | 7                        | 2                               | Subaru SC: Kashikawa et al. 2015               |
| Pan-STARRS1                                  | Optical $g, r, i, z, y$      | 31,000                   | 44                              | Chambers et al. 2016                           |
| DELS (including DECaLS, BASS, MzLS)          | Optical $g, r, z$            | 14,000                   | 27                              | Dey et al. 2019                                |
| DES (including DES SV, Yr1, and DR1)         | Optical $g, r, i, z, Y$      | 5,000                    | 18                              | DES Collab. et al. 2005                        |
| SDSS   | Optical $u, g, r, i, z$      | 15,000                   | 26                              | York et al. 2000                               |
| CFHQS (including other CFHTLS)               | Optical $g, r, i, z$         | 500                      | 15                              | Willott et al. 2007, 2010b                     |
| UKIDSS (including ULAS, UKIDSS-DXS, and UHS) | IR $z, Y, J, H, K$           | 7,000 <sup>b</sup>       | 64                              | Lawrence et al. 2007                           |
| VISTA (including VHS and VIKING)             | IR $J, K_s$                  | 20,000                   | 62                              | VHS: McMahon et al. 2013                       |
|  | IR $z, Y, J, H, K$           | 1,500                    | 31                              | VIKING: Edge et al. 2013; Venemans et al. 2019 |
| VST ATLAS                                    | Optical $u, g, r, i, z$ + IR | 4,700                    | 4                               | Shanks et al. 2015                             |
| FIRST + NDWFS + FLAMEX                       | 21 cm + optical + IR         | 4                        | 1                               | McGreer et al. 2006                            |
| WISE (including unWISE + AllWISE)            | mid-IR                       | All sky                  | 71                              | Wright et al. 2010                             |
| 2MASS  | IR $J, H, K_s$               | All sky                  | 26                              | Skrutskie et al. 2006                          |

$z \geq 6$  quasar-finder surveys

Inayoshi+20 review

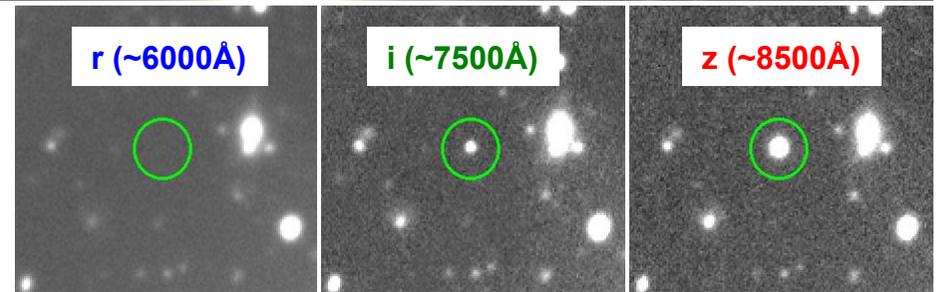
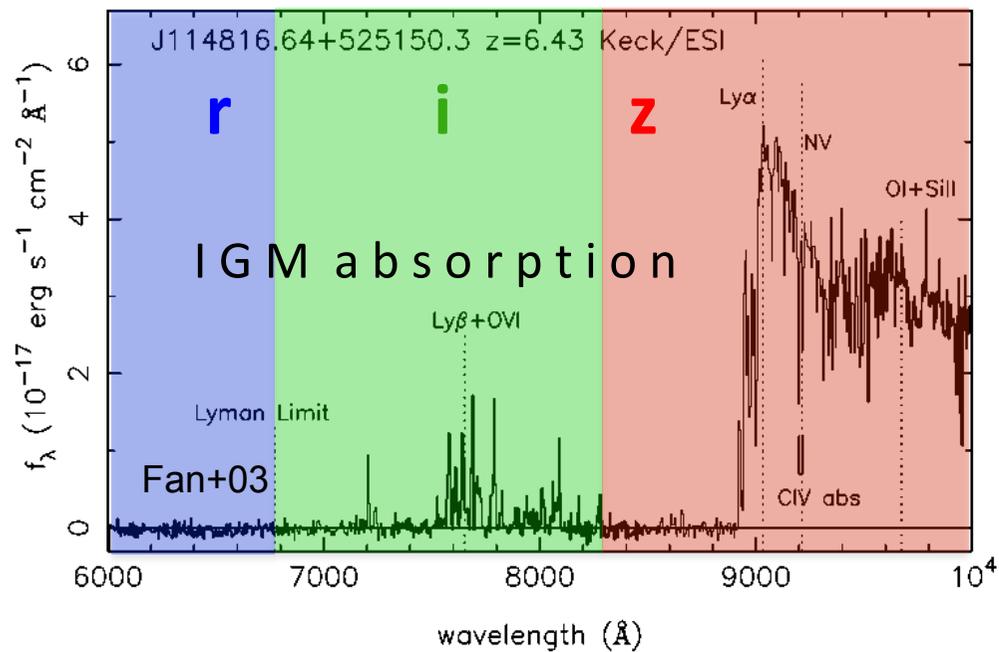
Table 2 List of  $z \geq 7$  quasars

| Name            | Surveys                  | Redshift              | $M_{\bullet}/M_{\odot}^a$          | $f_{\text{Edd}}$       | Reference             |
|-----------------|--------------------------|-----------------------|------------------------------------|------------------------|-----------------------|
| ULAS J1342+0928 | WISE/DELS/UKIDSS         | 7.541 [CII]           | $7.8_{-1.9}^{+3.3} \times 10^8$    | $1.5_{-0.4}^{+0.5}$    | Bañados et al. 2018   |
| HSC J1243+0100  | SHELLQs                  | 7.07 MgII             | $3.3_{-2.0}^{+2.0} \times 10^8$    | $0.34_{-0.2}^{+0.2}$   | Matsuoka et al. 2019b |
| ULAS J1120+0641 | UKIDSS SDSS              | 7.085 SiII/CIII/MgII  | $2.0_{-0.7}^{+1.5} \times 10^9$    | $1.2_{-0.5}^{+0.6}$    | Mortlock et al. 2011  |
| DELS J0038-1527 | DELS/WISE/Pan-STARRS1    | 7.021 MgII/OIII       | $1.33_{-0.25}^{+0.25} \times 10^9$ | $1.25_{-0.19}^{+0.19}$ | Wang et al. 2018      |
| DES J0252-0503  | DES/VHS/ULAS/WISE/VIKING | 7.021 Ly $\alpha$ /NV | $\sim 1.6 \times 10^9$             | Unknown                | Yang et al. 2019      |
| HSC J2356+0017  | SHELLQs                  | 7.01 Ly $\alpha$      | $\sim 5.5 \times 10^8$             | Unknown                | Matsuoka et al. 2019a |

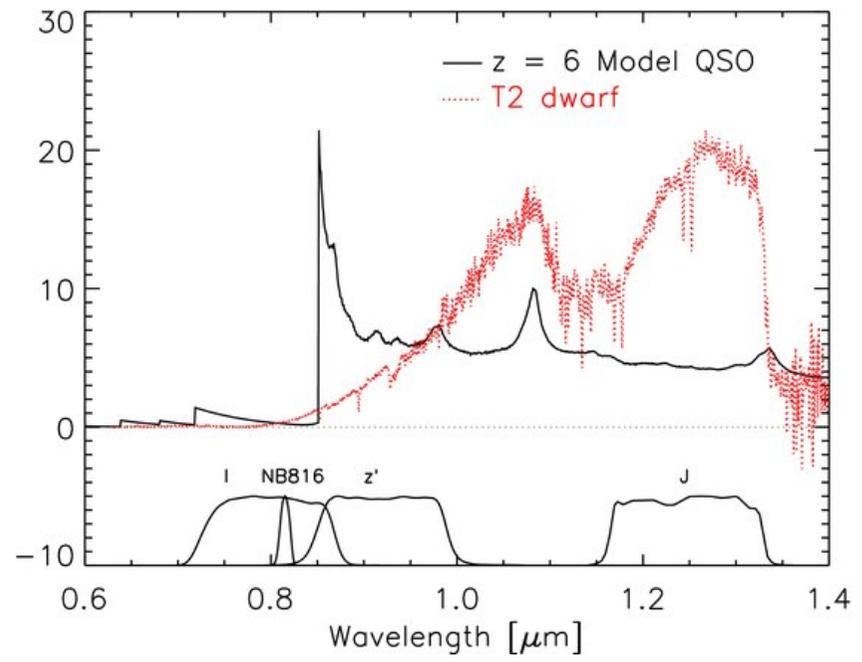
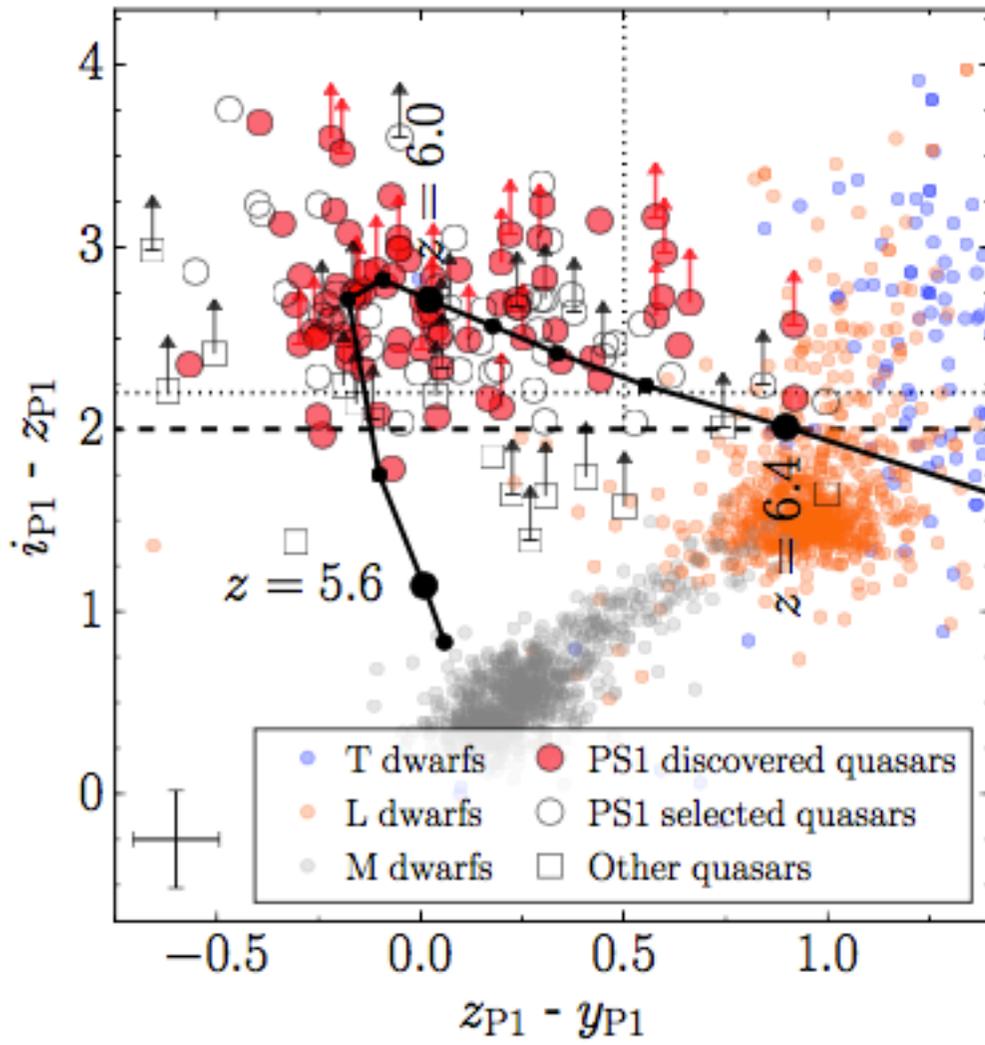
$z \geq 7$  QSO-finder surveys

# Where do we stand? II. QSO selection at $z \sim 6$

LBC/LBT color ( $r, i, z$ ) image  
of SDSS J1148 at  $z=6.4$



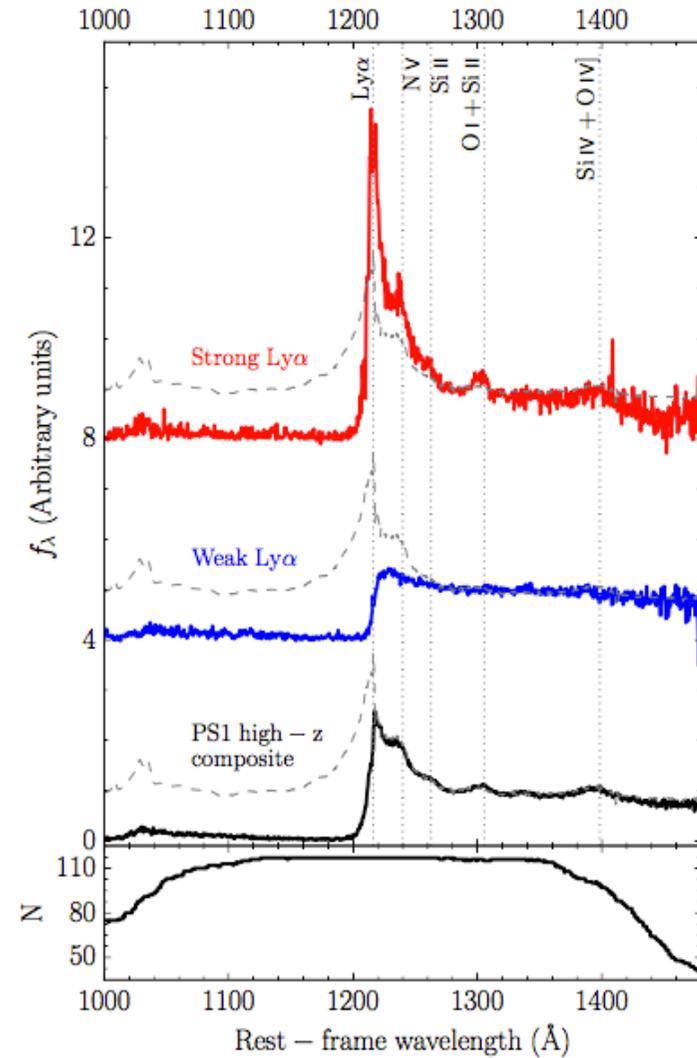
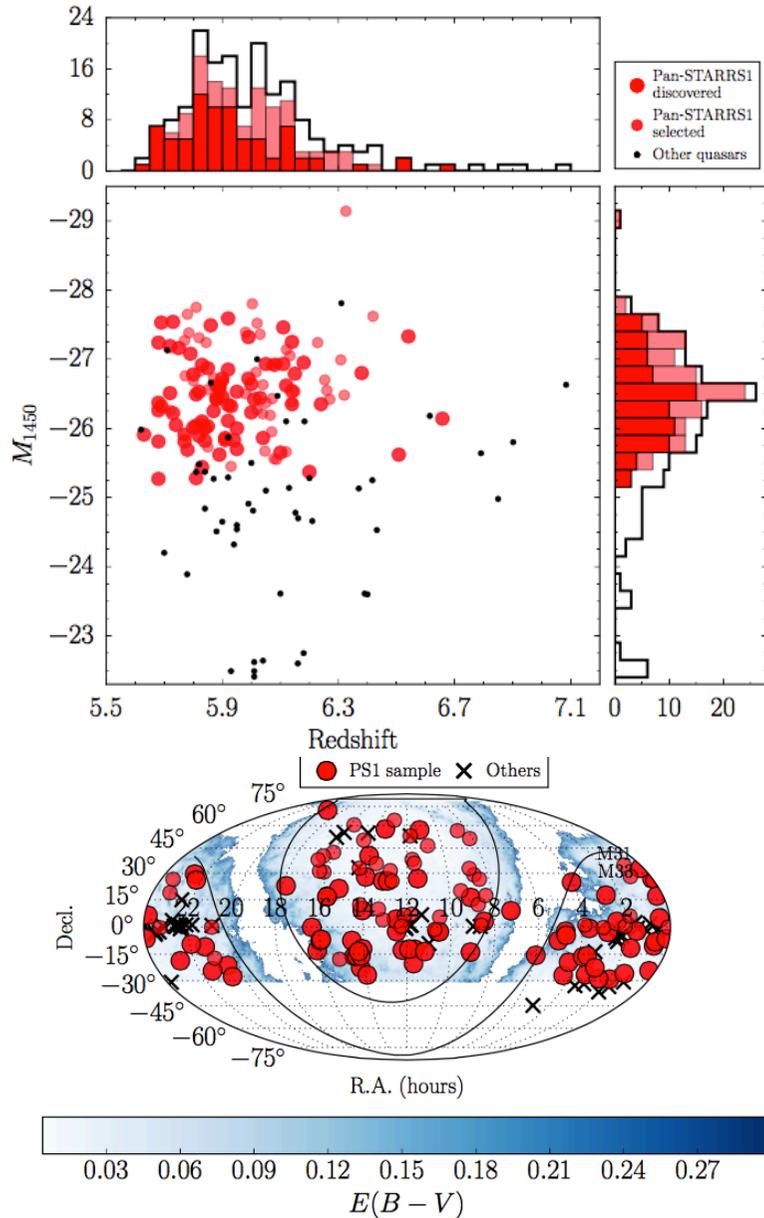
color selection (e.g.  $i-z > 2$ ) at bright  
mags returns **unobscured** QSOs



Banados+16

- Main contaminants: cool ( $T < 3500\text{K}$ ) dwarfs (M, L, T); surface density  $\sim 15\times$  that of  $z \sim 6$  QSOs
- Late-type stars have similar  $i-z$  colors to  $z \sim 6$  QSOs but much redder  $z-J$  ( $z-Y$ ) colors

# Where do we stand? III. PS1 results



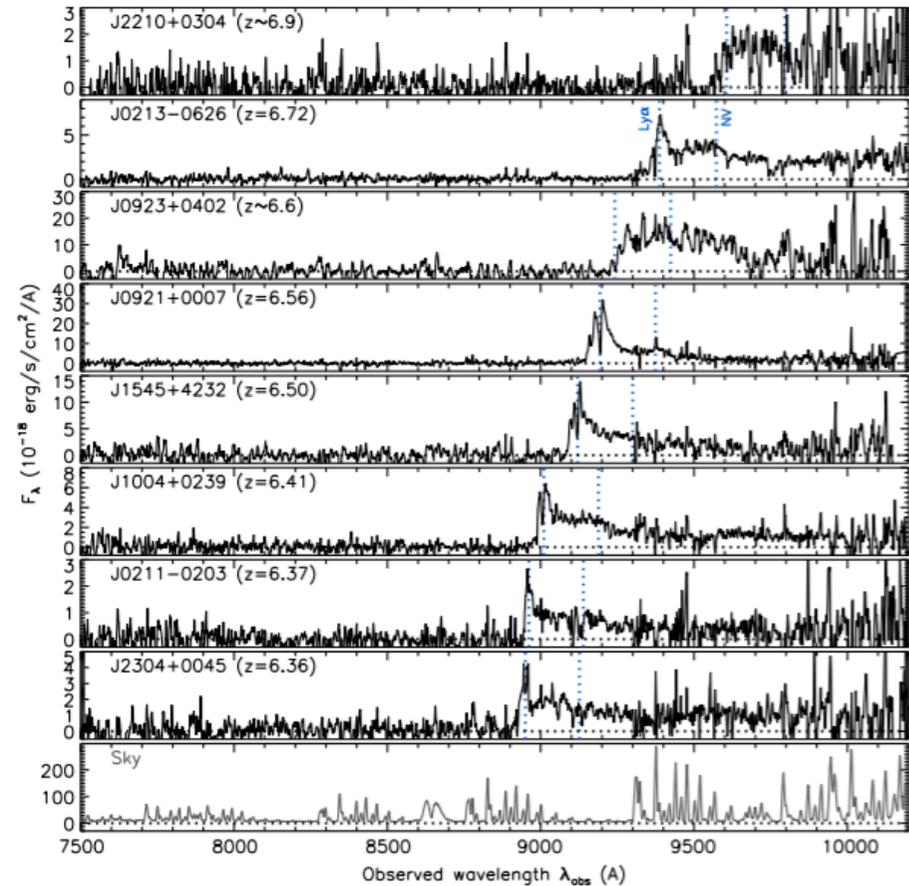
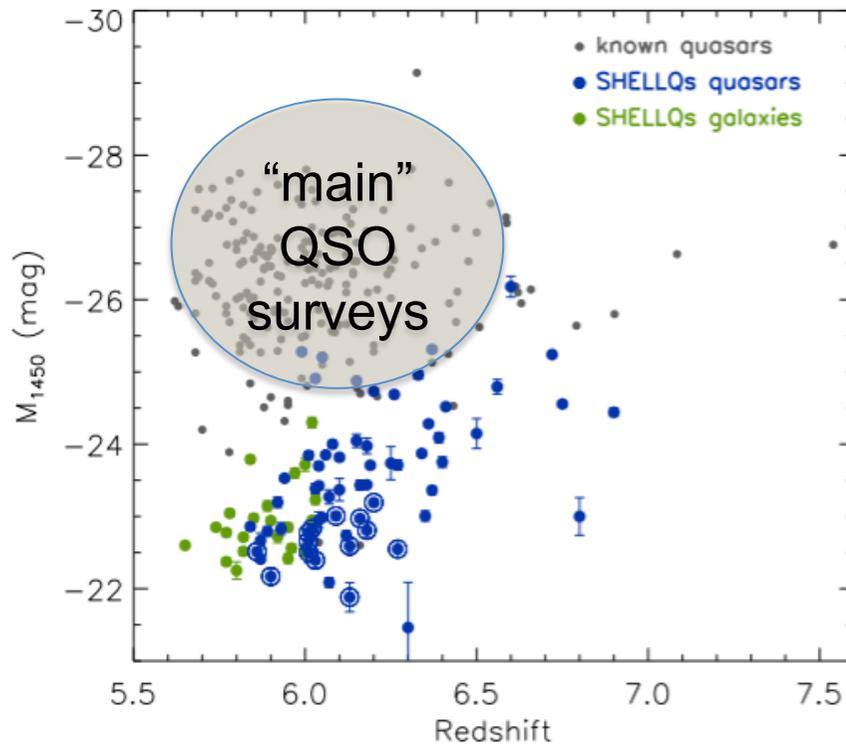
# Where do we stand? IV. SHELLQ results

## WIDE and DEEP approach

(at the end: 1400 deg<sup>2</sup>, g~26.5, y~24.5)

Subaru HSC: 137 red gals over 650 deg<sup>2</sup>  
(64 QSOs, z=5.7-6.9, LBGs, low-mass stars  
& brown dwarfs)

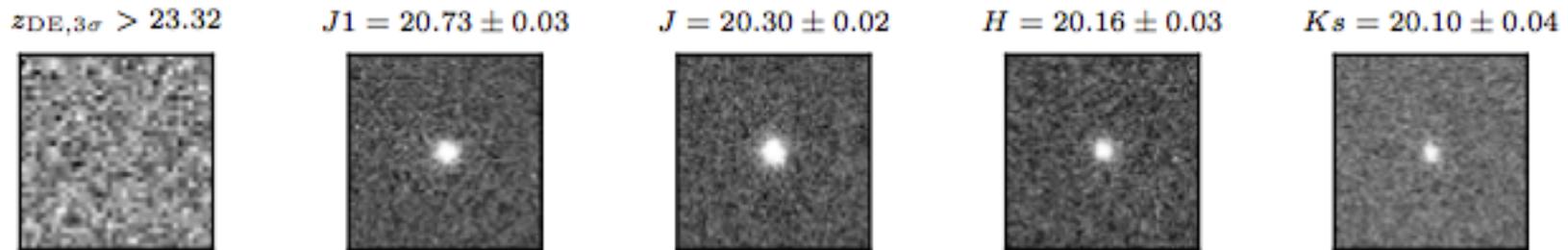
$M_{1450} = -26 \div -22 \rightarrow$  probing the faint end of the LF (important for evolution, reionization, ...)



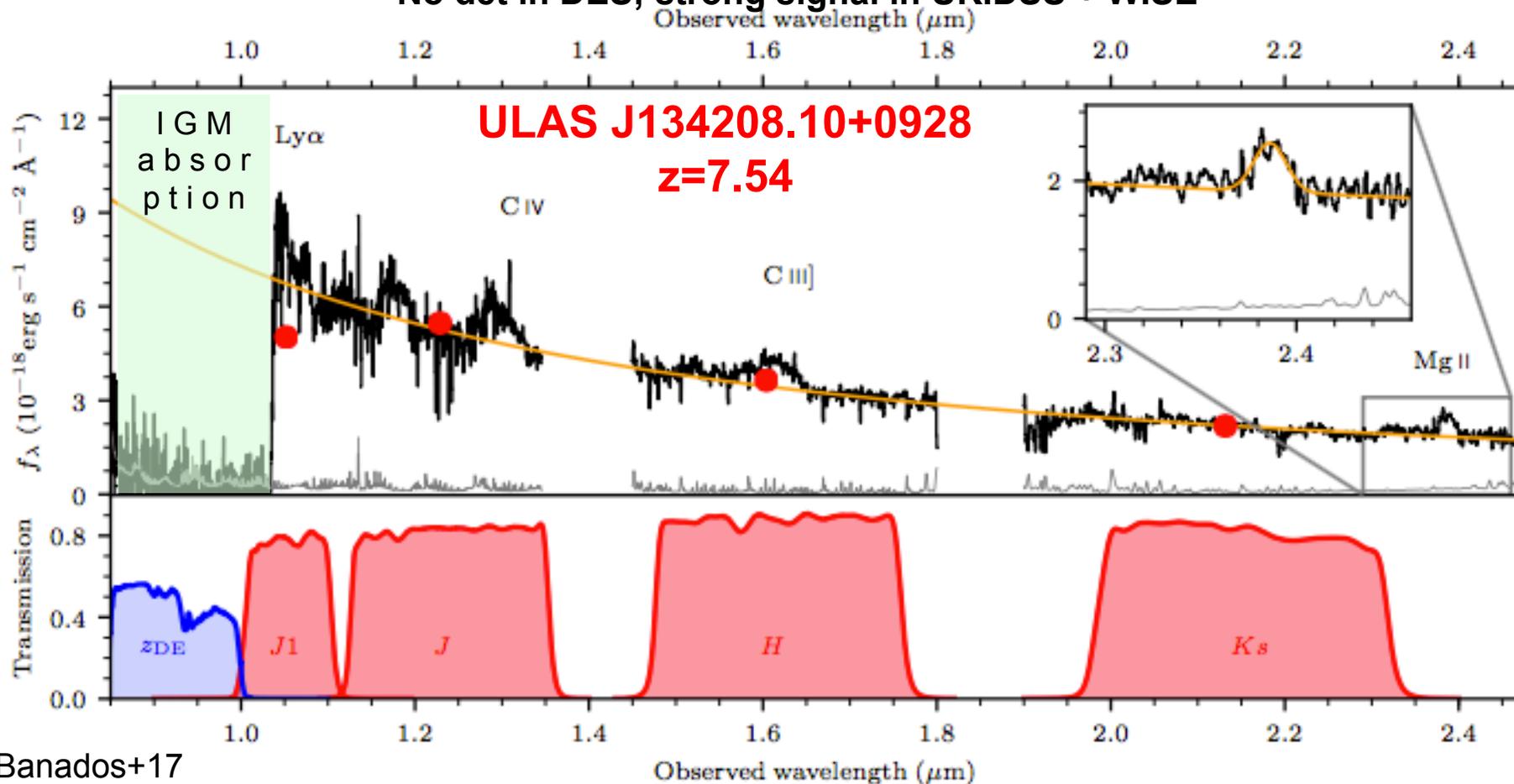
Matsuoka+18

Possibility that obscured AGN hide in bright LBGs

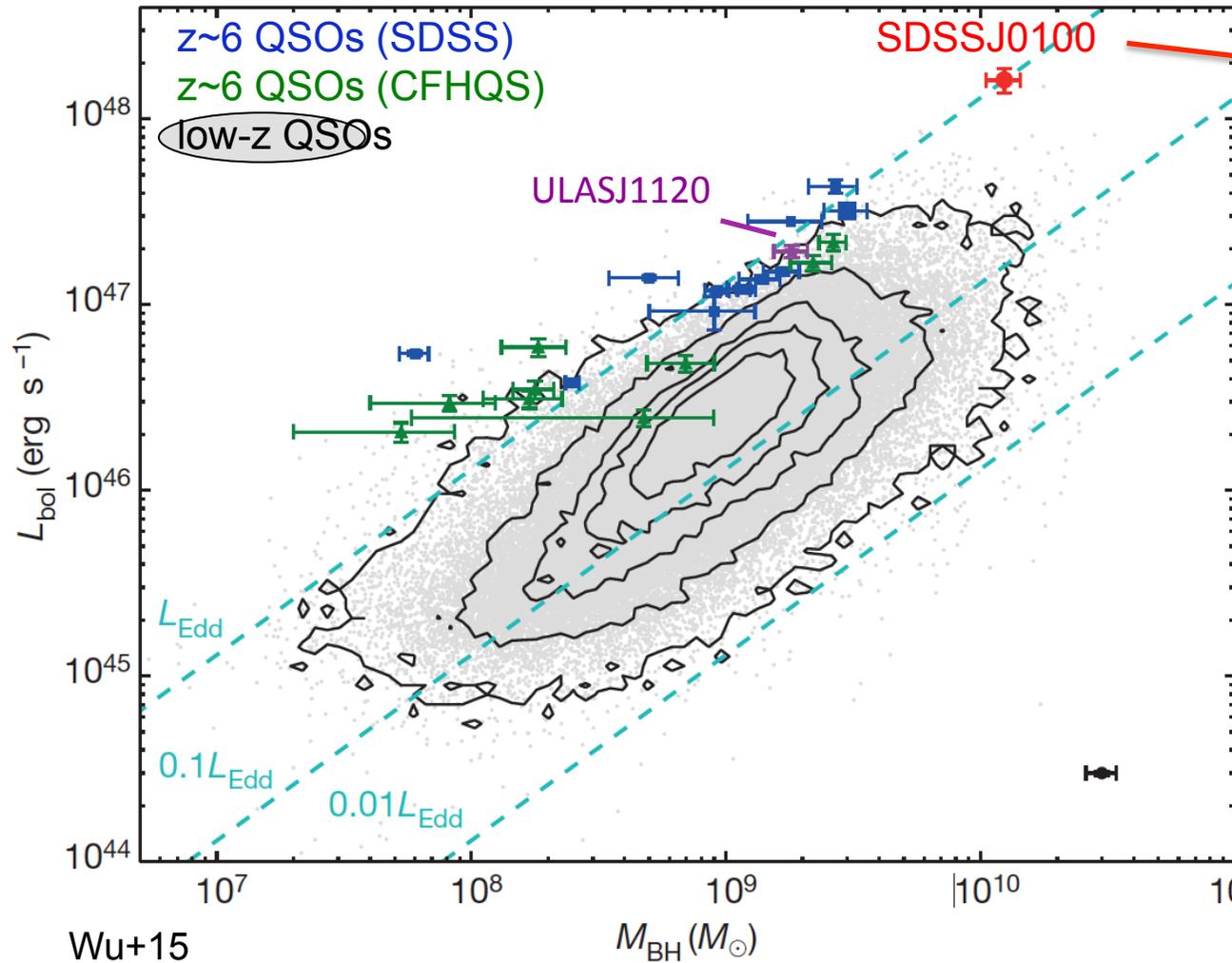
# Where do we stand? V. The highest-redshift QSO



No det in DES; strong signal in UKIDSS + WISE



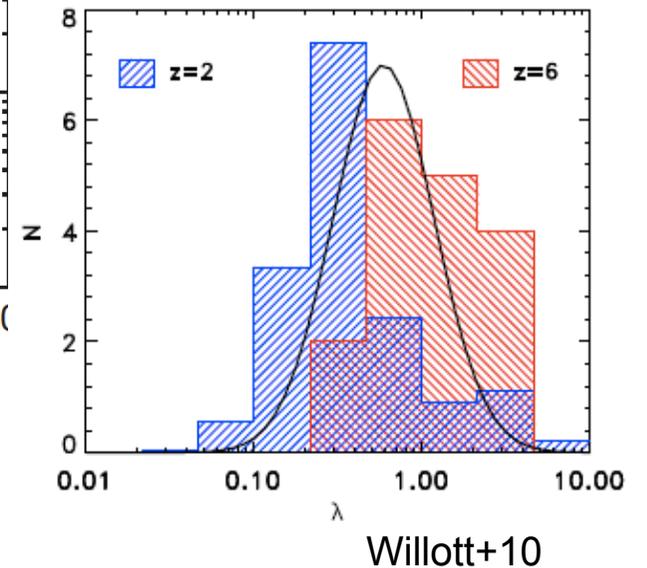
# Where do we stand? VI. They are massive



The most massive QSO discovered so far (z=6.30) lensed? a possibility

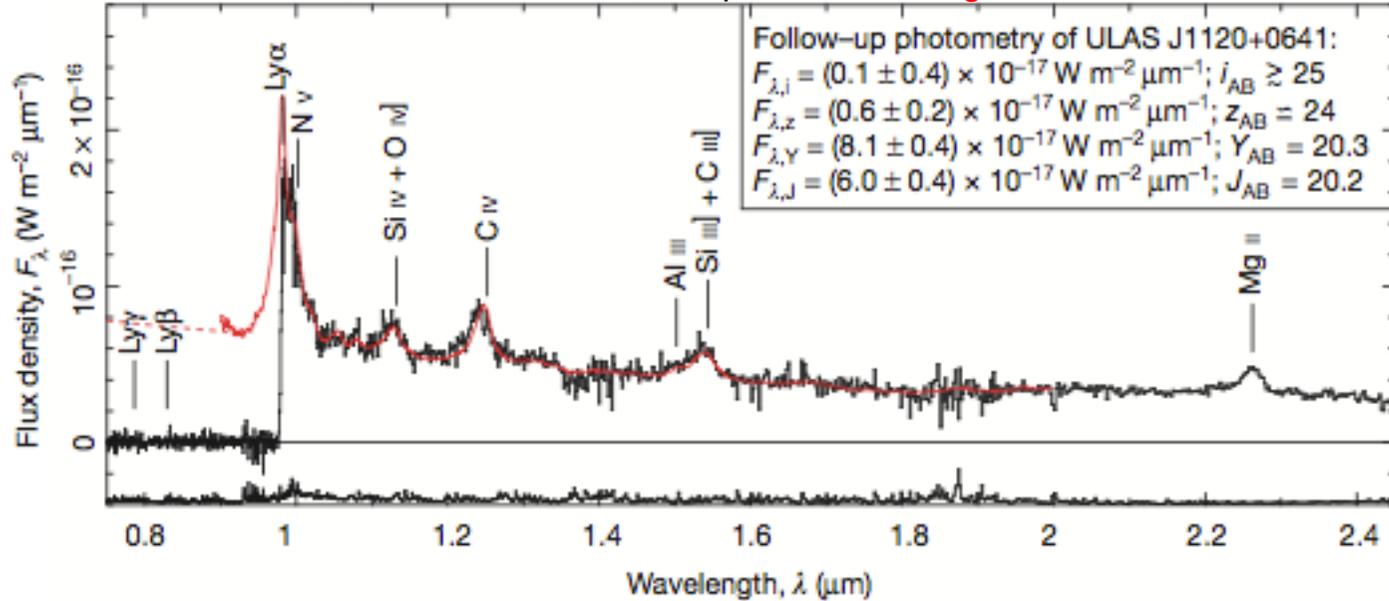
$M_{\text{BH}} \approx 10^8 - 10^{10} M_{\odot}$   
 $L_{\text{bol}} \approx L_{\text{Edd}} > 10^{46} \text{ erg/s}$

They are emitting close to the Eddington ratio



# Where do we stand? VII. Similar spectra to low-z QSOs

Mortlock+11, GNIRS+FOR2, compared to **average z~2.5 SDSS QSOs**



**UKIDSS**

**ULAS J1120 z=7.08**

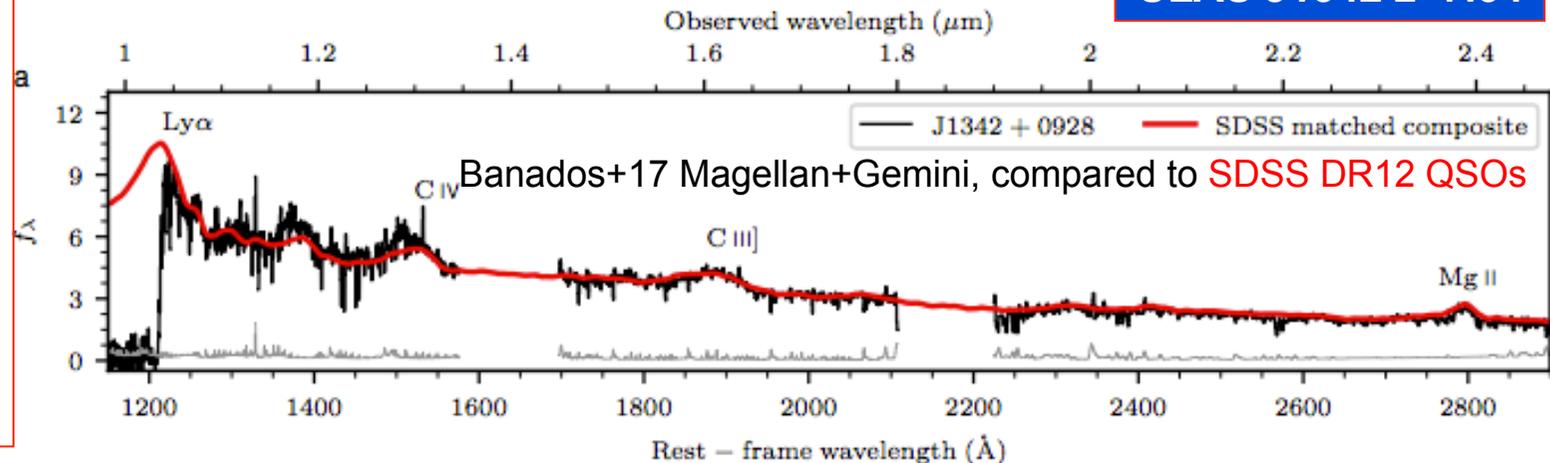
$M_{1450} = -26.6$   
 $M_{BH} = 2.4 \times 10^9 M_{\odot}$   
 $L_{bol} \approx 2.4 \times 10^{47} \text{ erg/s}$

$M_{1450} = -26.8$   
 $M_{BH} = 8.0 \times 10^8 M_{\odot}$   
 $L_{bol} \approx 1.5 \times 10^{47} \text{ erg/s}$

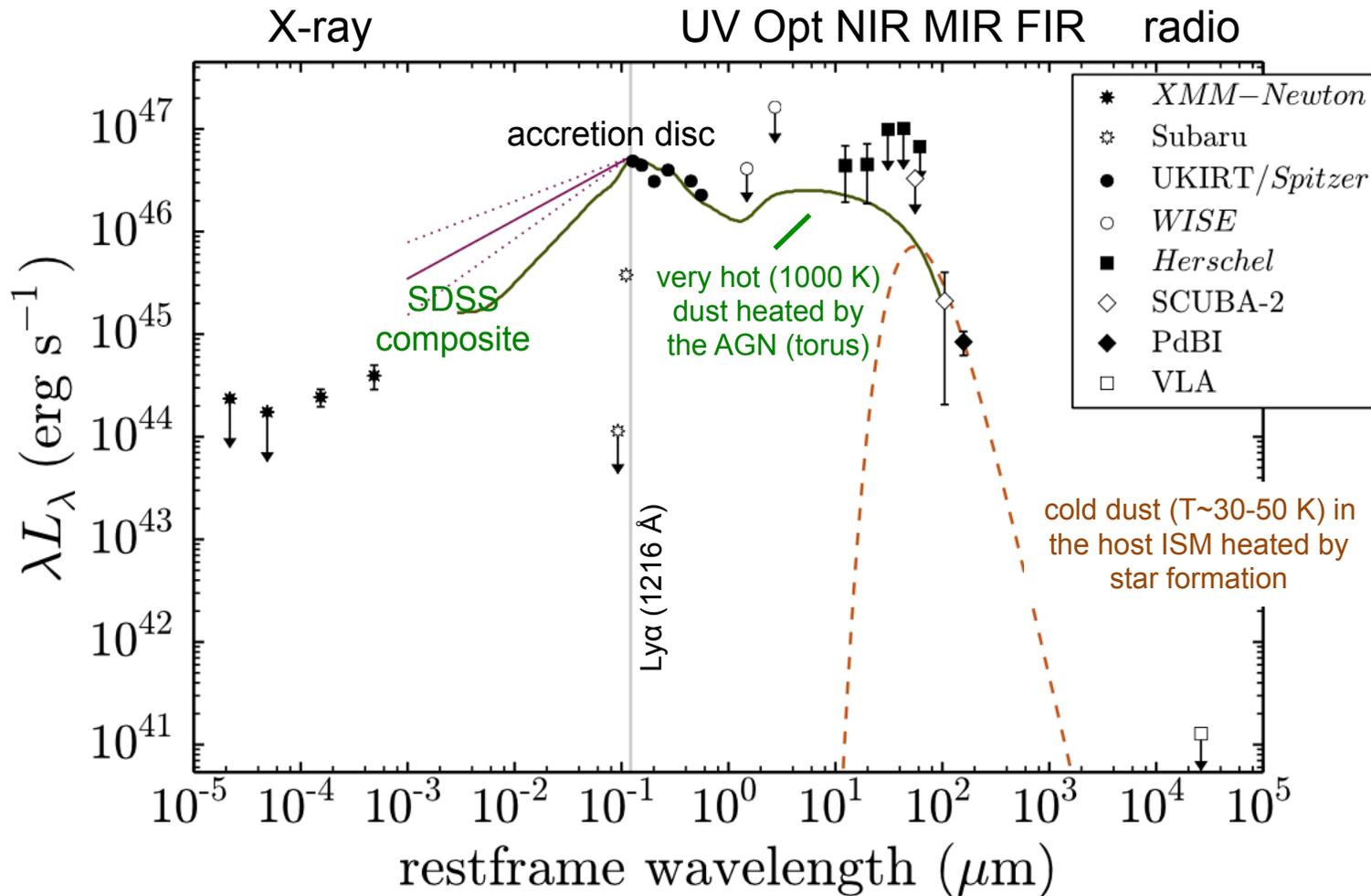
**ULAS J1342 z=7.54**

**Metallicity of high-z QSOs is similar to that of low-z QSOs**

→ the nuclear regions are metal rich  
 → major episode of chemical enrichment in their hosts at  $t_U < 1 \text{ Gyr}$



# Where do we stand? VIII. Similar SEDs to low-z QSOs

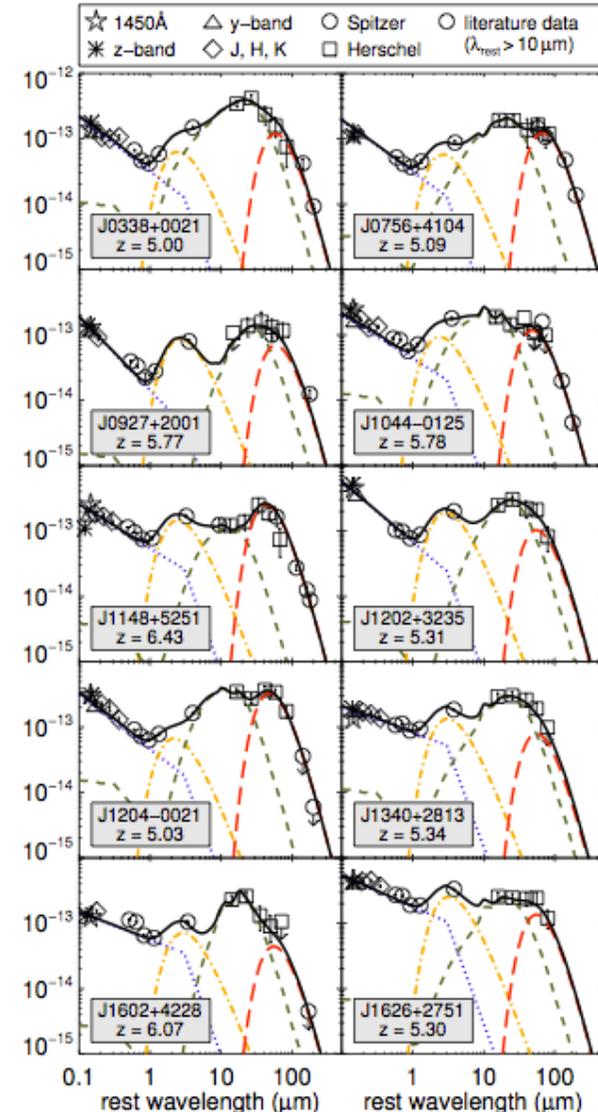
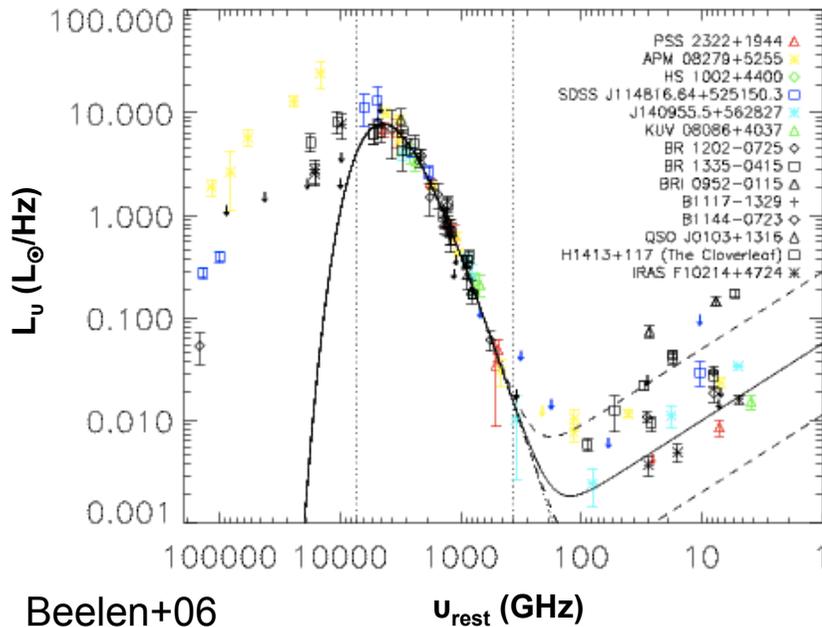


Barnett+15

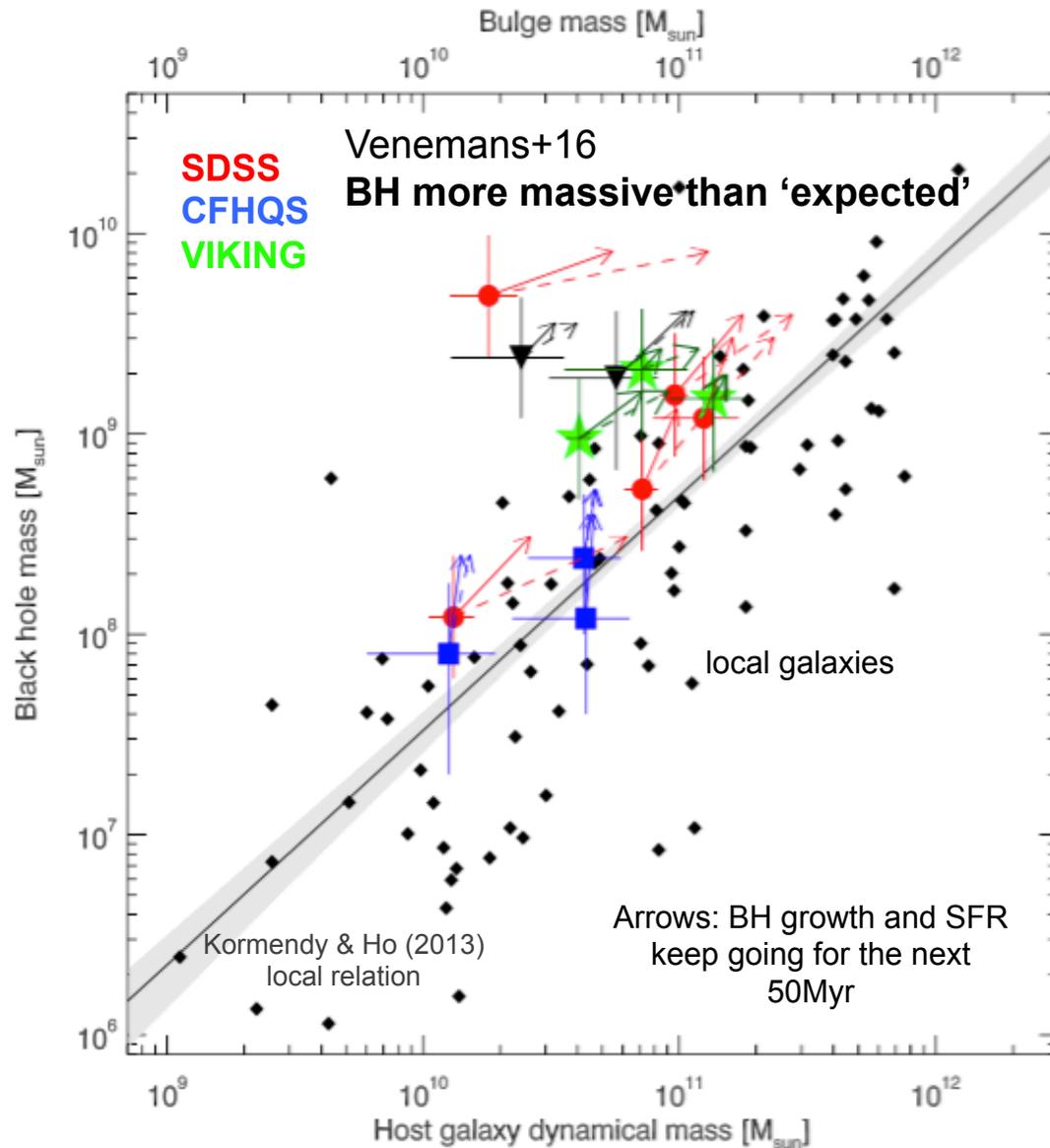
**SEDs are similar to those of lower redshift QSOs**  
 (including the presence of hot dust, likely associated to the torus)

## Significant star formation at high redshift

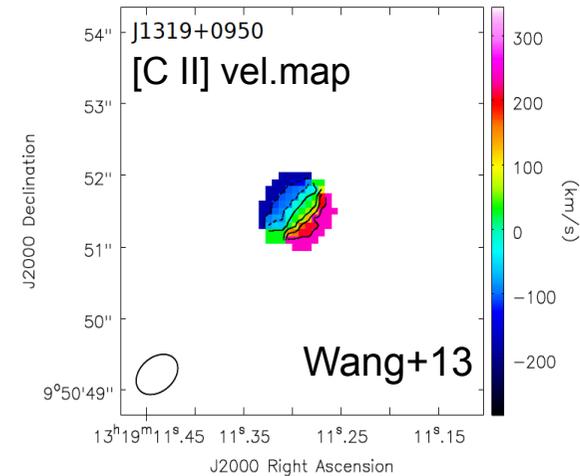
- $\approx 30\%$  of  $z \approx 6$  QSOs detected in the sub-mm/mm – see also recent ALMA results (Wang+, Decarli+, etc.)
- $L_{\text{FIR}} \approx 10^{13} L_{\odot}$ ,  $T \approx 30\text{--}50$  K
- $\text{SFR} \approx 1000 M_{\odot}/\text{yr}$  (if dust heated by SB) – “Increased” AGN contribution (Schneider+14)? Mergers vs. secular processes? What about quenching SF (Mor+12)?



# Where do we stand? IX. QSO hosts



$$M_{\text{BH}} \sim 10^9 M_{\odot} \rightarrow M_{\star} \sim 10^{11} M_{\odot} \rightarrow M_{\text{halo}} > \sim 10^{12} M_{\odot}$$

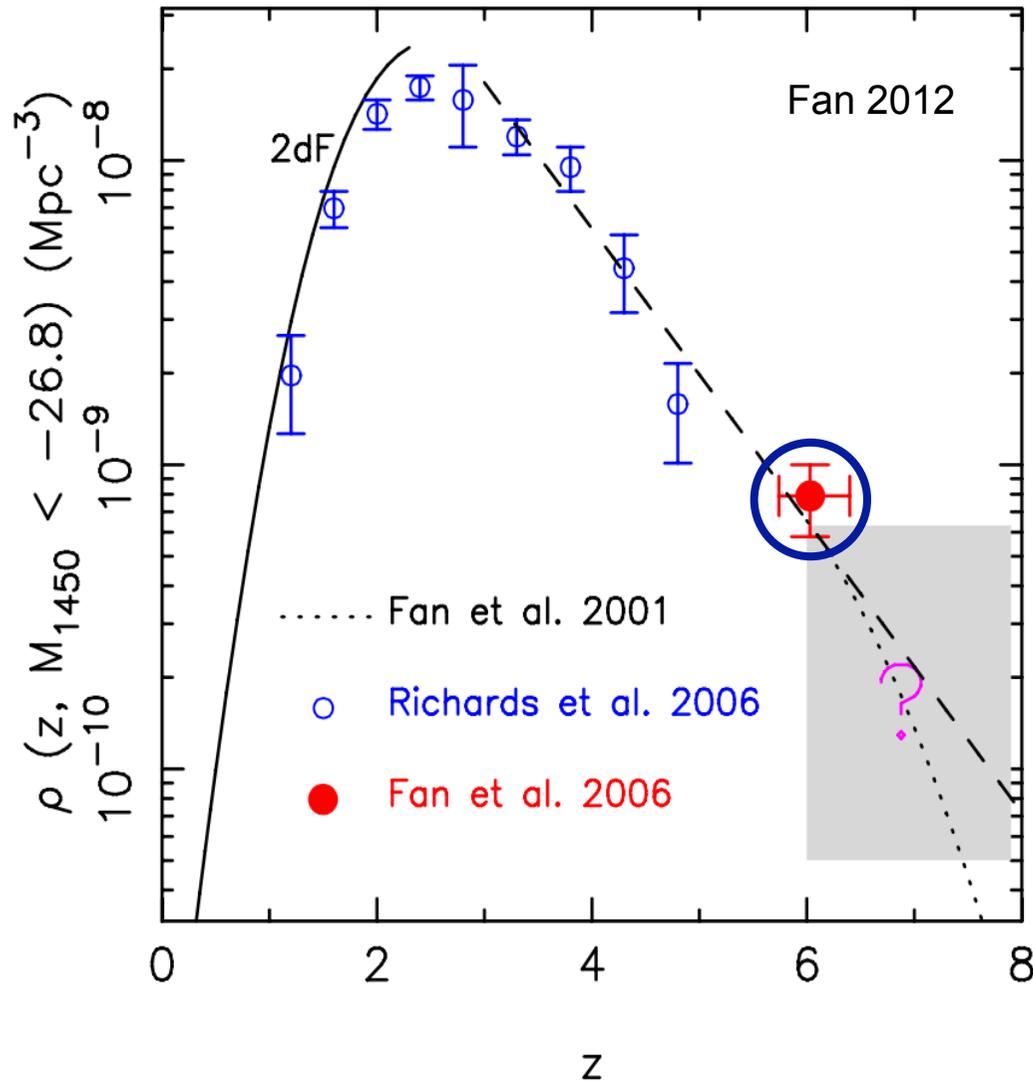


## Dynamical studies via CO emission (ALMA breakthrough)

- Few-kpc sizes (from resolved CO and [CII] emissions)
- Dynamical masses  $\approx 10^{10-11} M_{\odot}$  (see compilation by Calura+14) –  $\sin^2(i)$  uncertain in some cases
- Some  $M_{\text{dyn}} - M_{\text{dust}} (\approx 10^{8-9} M_{\odot})$  tension? (Calura+14)
- BH formed earlier than galaxy assembly finished? Selection effects (Volonteri+14)
- Signature of possible mergers

# Where do we stand? X. They are rare

At  $z=6$ , density of active  $10^9 M_{\odot}$  SMBHs  $\approx$  density of  $10^{13} M_{\odot}$  halos  $\approx 1/\text{Gpc}^3$



if duty cycle = 1  $\rightarrow$   $z=6$  QSOs hosted by  $\approx 10^{13} M_{\odot}$  halos

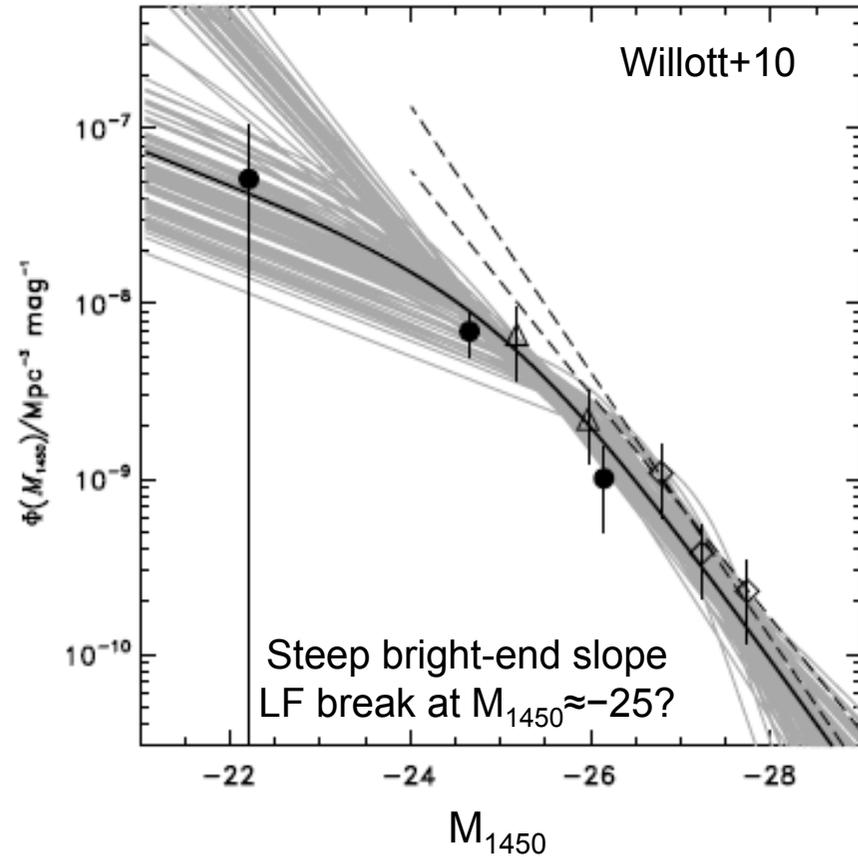
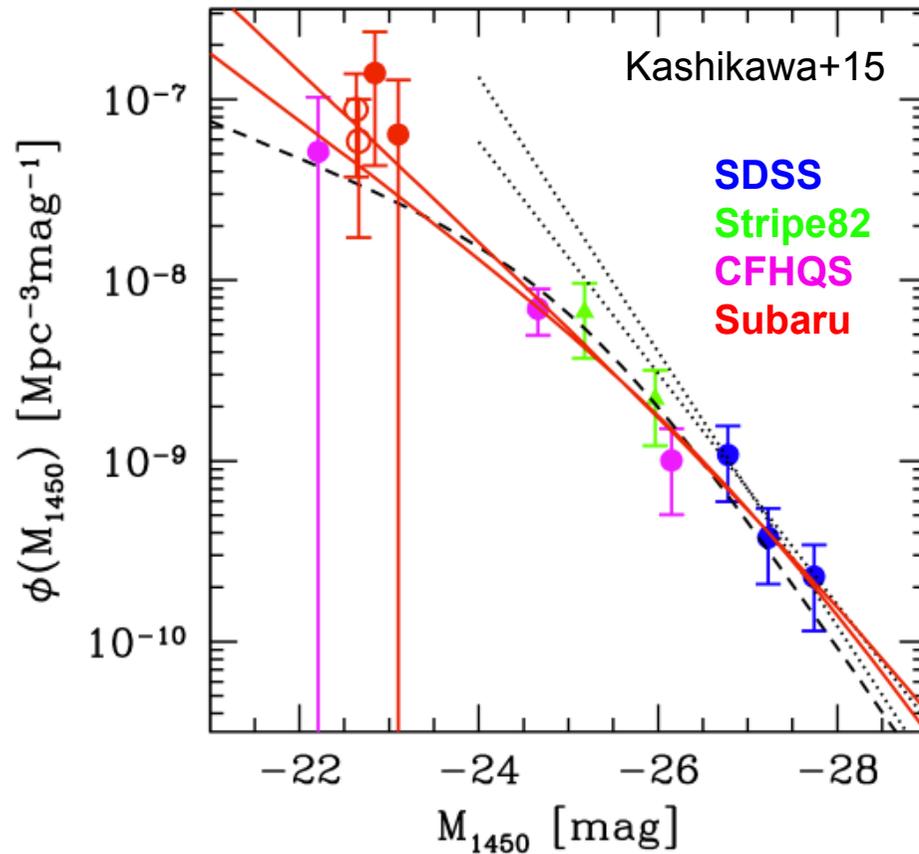
**caveats!**

- 1) not all  $z=6$  SMBHs may be active
- 2) still missing obscured  $z=6$  QSOs

if BHs more abundant and duty cycle  $< 1 \rightarrow M_h \approx 10^{11-12} M_{\odot}$

More results from X-ray surveys later

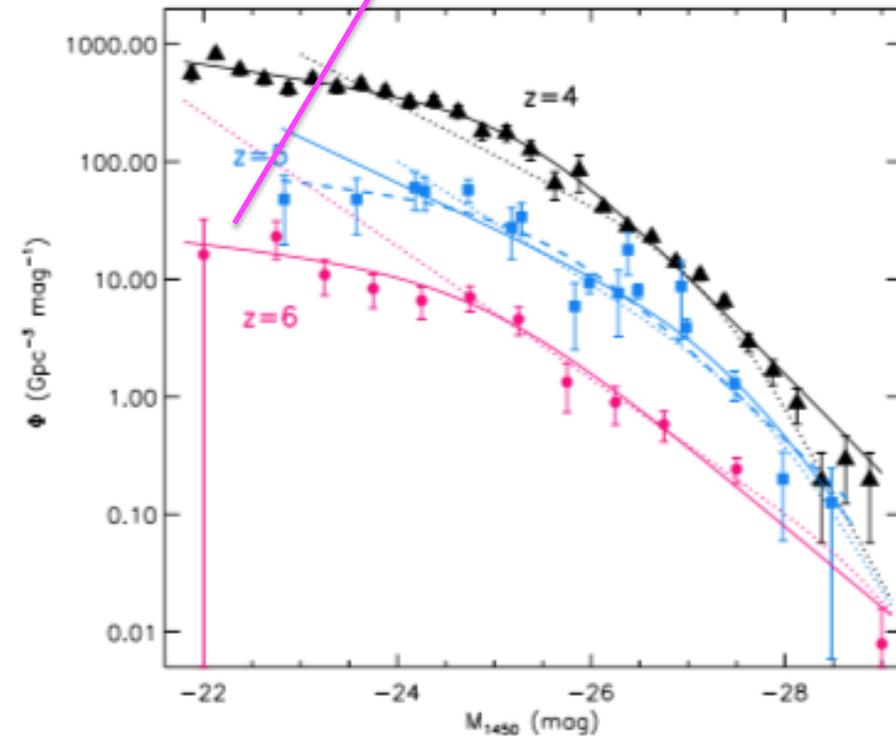
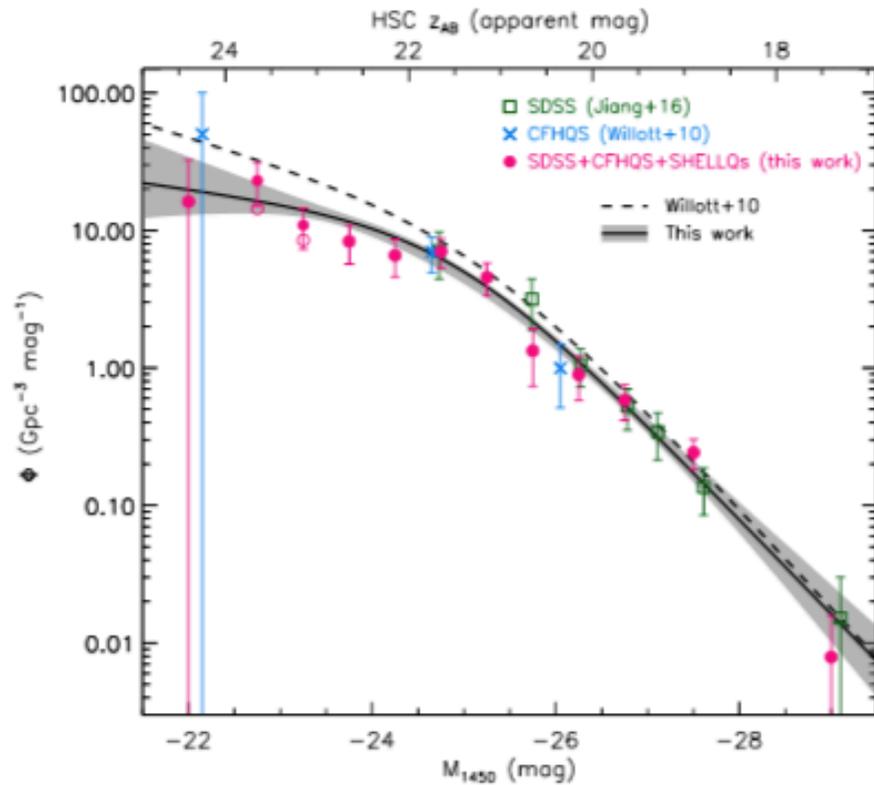
## QSO luminosity functions at $z \sim 6$



- Highly uncertain faint end of the LF  $\rightarrow$  if steep and high AGN  $f_{\text{esc}} \sim 1$ , important contribution to reionization (Giallongo+15,19; see also Cappelluti+16, Ricci+17)
- Luminous AGN are found to decline exponentially up to  $z \sim 4-6$
- Still limited is our knowledge of less luminous  $z \geq 3$  AGN, i.e. the bulk of the population see recent results from Vito+16,18

up to the most recent results from HSC

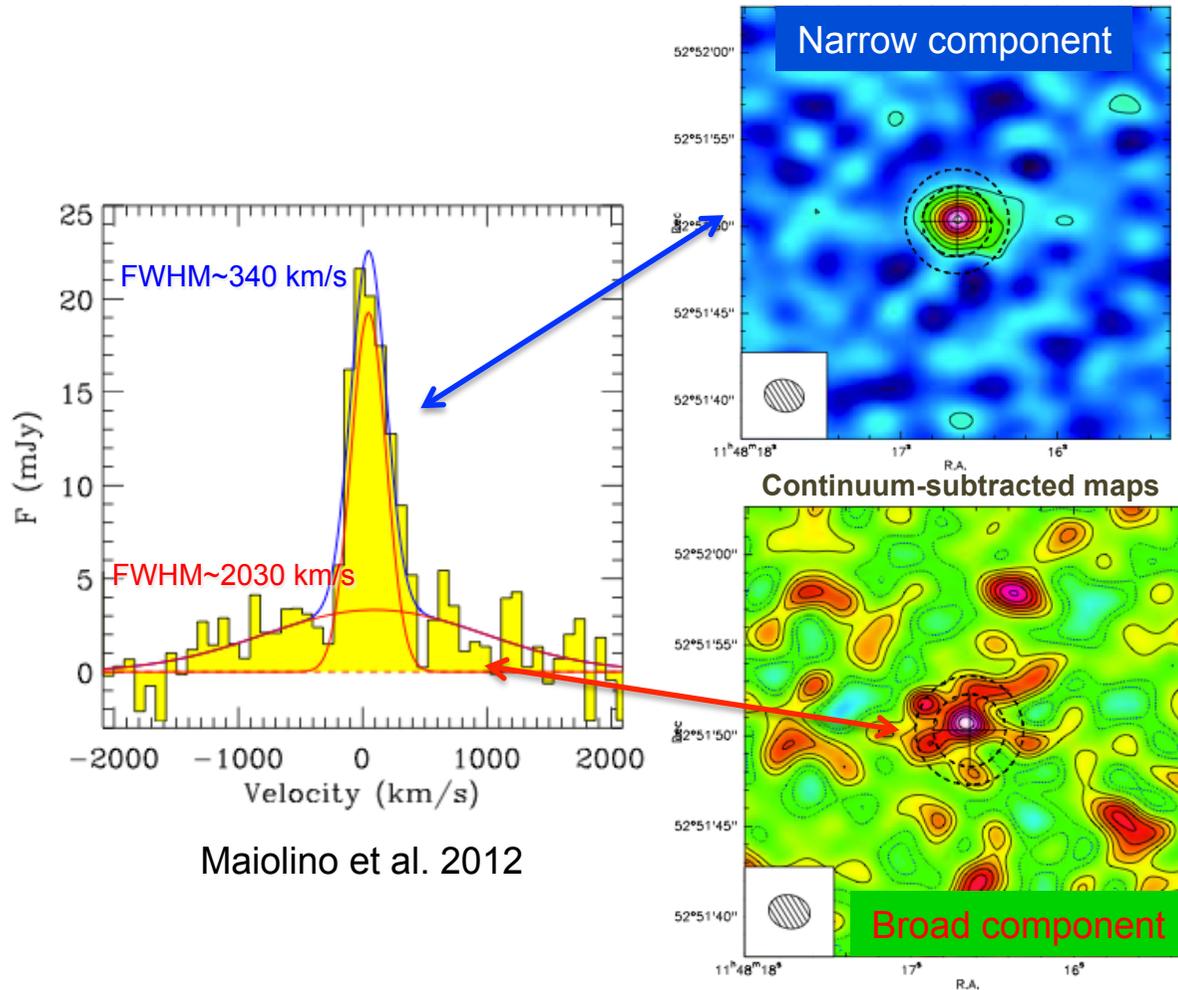
rapidly decreasing  
number of QSOs at  
high z



Flattening of the faint-end slope of the LF  $\rightarrow$  QSOs cannot contribute significantly to the reionization (unless most of the population is missed)  $\rightarrow$  needs to shed light on the obscured AGN population (X-rays favored)

# Where do we stand? – XI. AGN feedback at high z

## SDSS J1148+5251: $z=6.43$ , [CII] obs.



Evidence of feedback at low and intermediate redshifts from neutral/ionized/mol. gas (e.g., Feruglio+10, Alexander+10, Brusa+14, Fiore+17, Bischetti+17, Vietri+18, Feruglio+18)

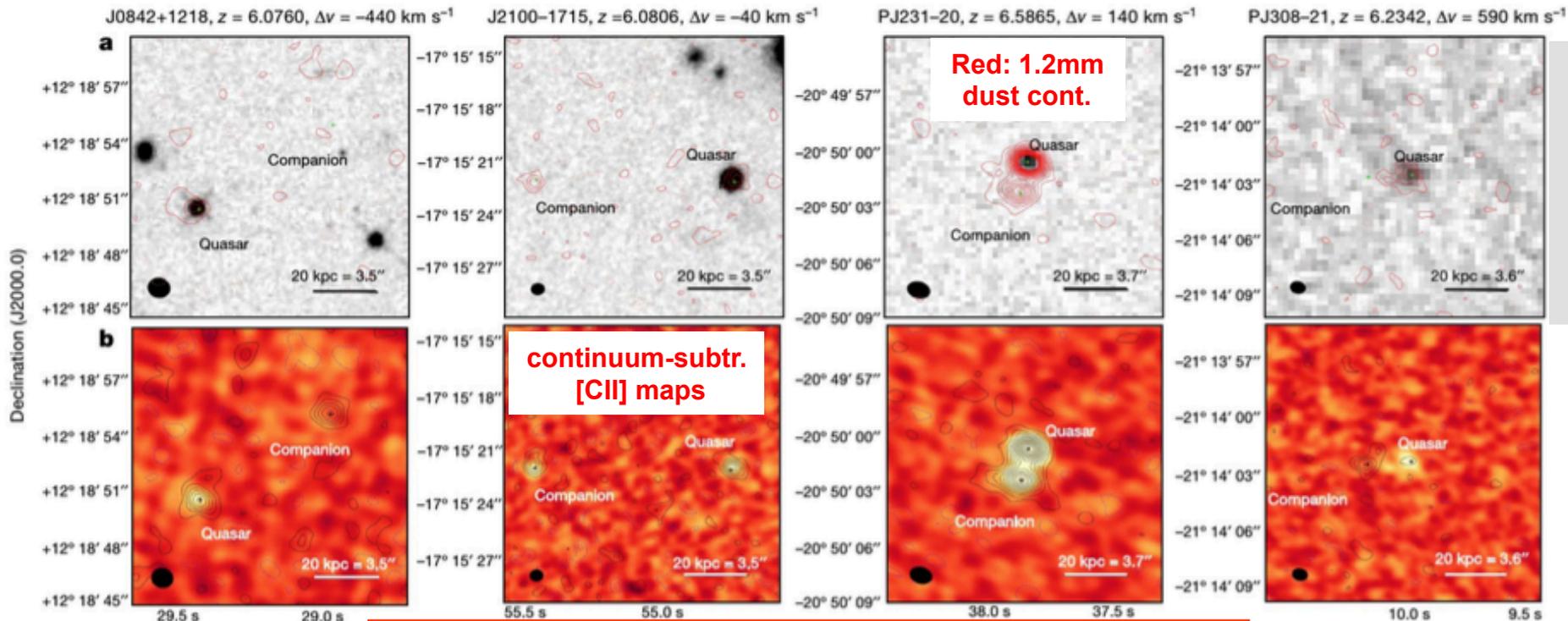
Capable of quenching SF? (e.g., Page+12, Cano-Diaz+12; see also Harrison+12, [...])

see Cicone+14 ([CII]): multiple outflow events during the past 100Myr? Extension up to 30kpc

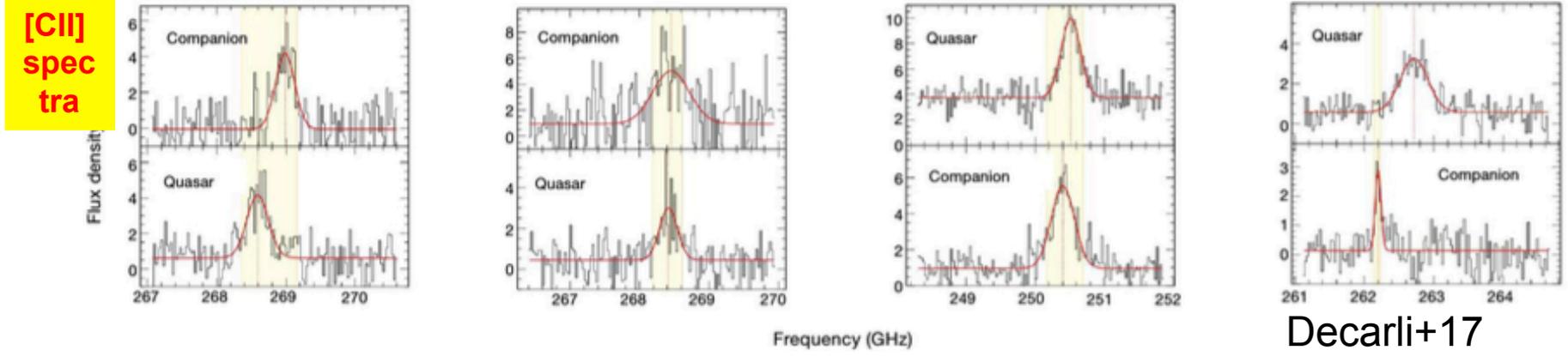
Massive outflow of [CII]<sub>158μm</sub> line, of  $\dot{M} > 3500 M_{\odot}/\text{yr}$  (Maiolino+12, Valiante+12),  $\sim$ SFR in the host galaxy

$P_K > 1.9 \times 10^{45}$  erg/s  $\approx 0.6\%$   $L_{\text{bol}}$  (QSO)  
OK with AGN Prad, barely consistent with STB-driven winds

# Where do we stand? – XII. Companions at $z=6$



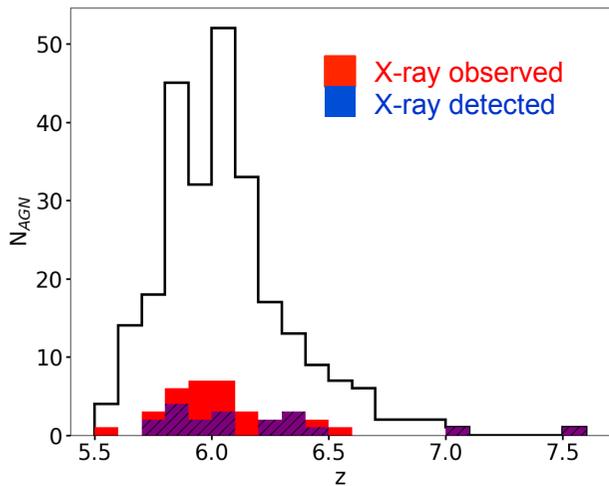
SFR (comp)  $\sim 100 M_{\odot}/\text{yr}$ , similar  $M_{\text{dyn}}$  as QSOs



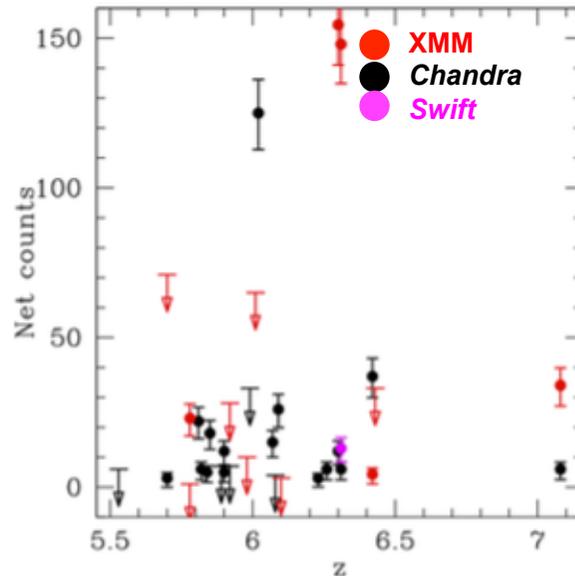
Decarli+17  
(see also Feruglio+18)

Part II:  
X-ray properties of  $z > 5.5$   
unobscured QSOs

# z~6 QSOs: the X-ray view. I. X-ray detection statistics



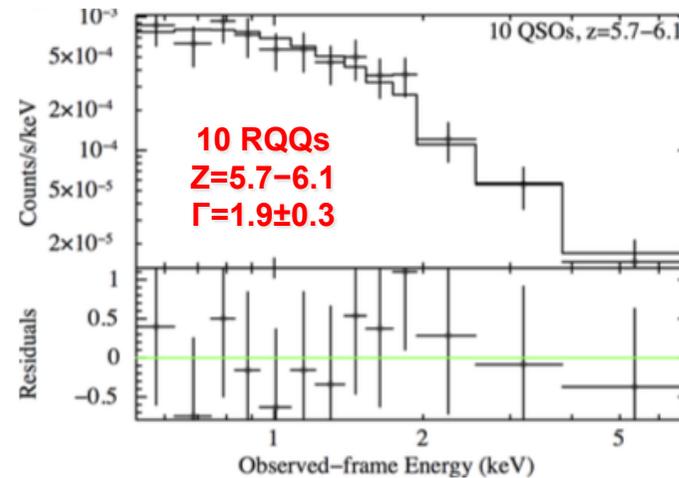
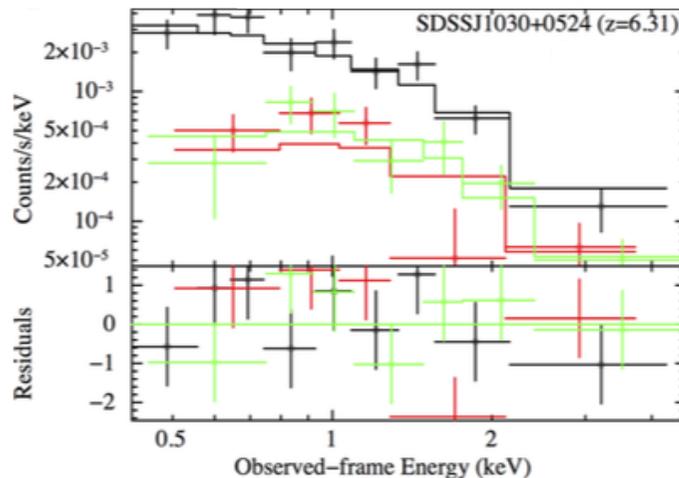
Nanni+17 (updated)



**259** QSOs at  $z > 5.5$  (the majority from optical/near-IR surveys)  
**31** with available X-ray data  
**19** X-ray detections

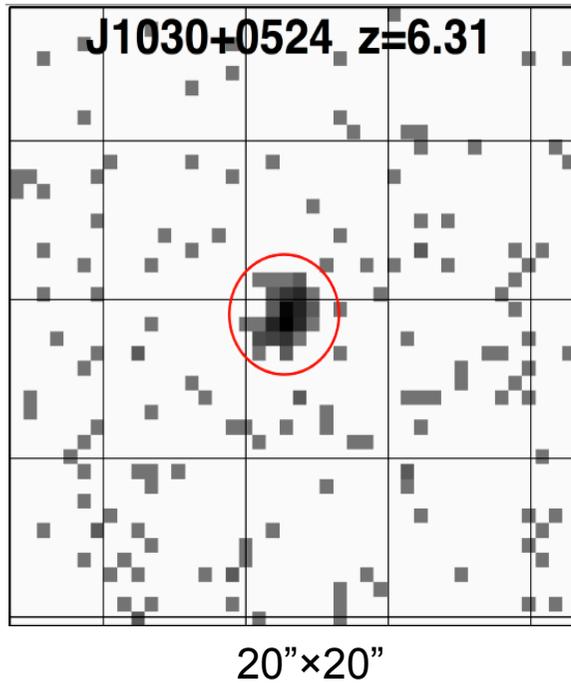
Pushing the limit (beyond Type 1 QSO detection) is still challenging, but X-ray statistics is increasing

**$\Gamma \approx 1.6-2.4$**   
 see also  
 Farrah+04,  
 Moretti+14,  
 Page+14,  
 Gallerani+17



# $z \sim 6$ QSOs: the X-ray view. II. SDSSJ1030+0524 at $z=6.3$

0.5–7 keV image



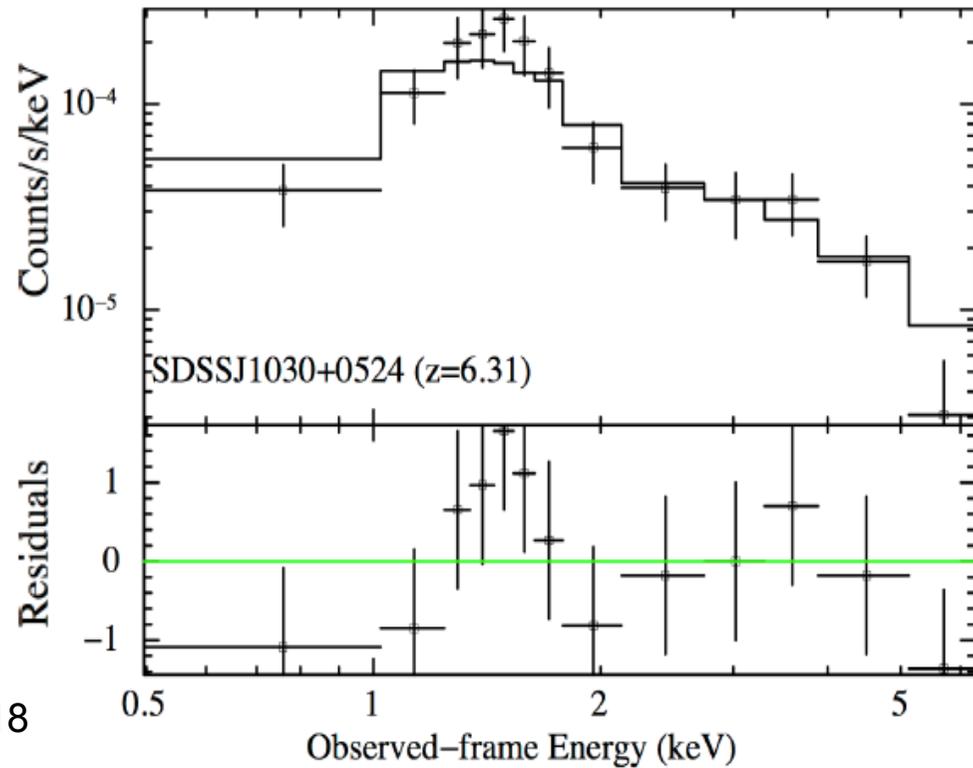
Nanni+18

125 net counts

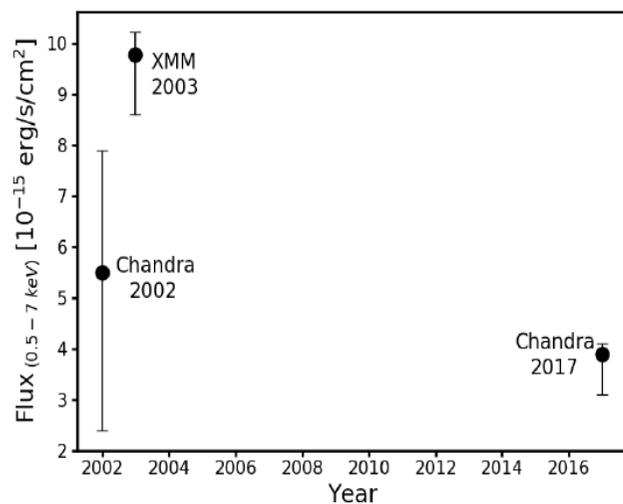
$$F_{0.5-7\text{keV}} = 4 \times 10^{-15} \text{ erg/cm}^2/\text{s}$$

$$L_{2-10\text{keV}} = 7 \times 10^{44} \text{ erg/s}$$

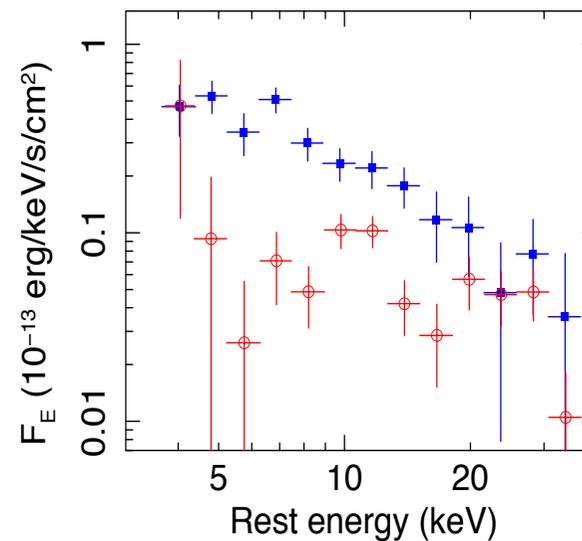
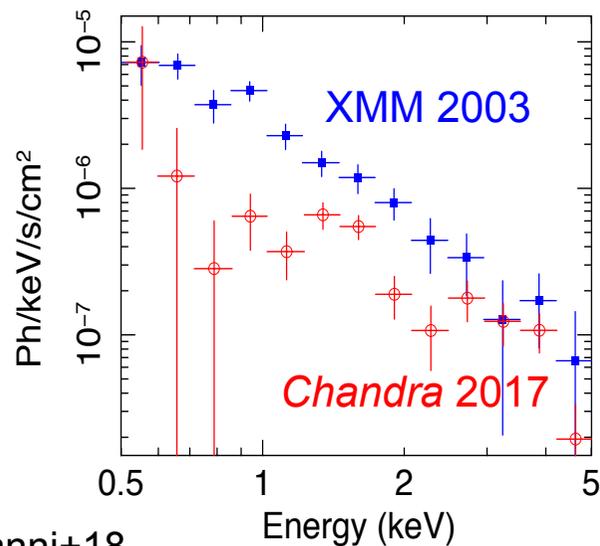
*Chandra*,  $\Gamma = 1.81 \pm 0.18$



500ks *Chandra*  
(PI: R. Gilli)



Nanni+18

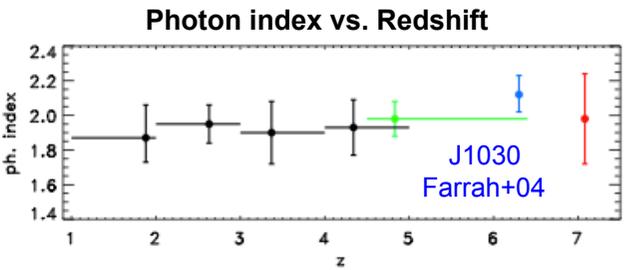
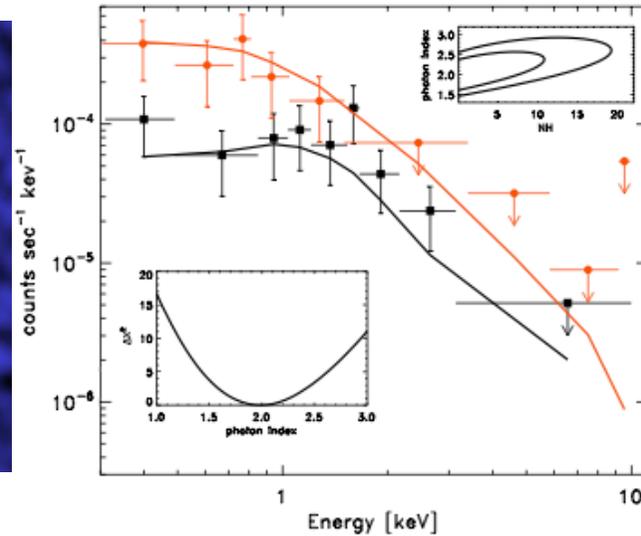
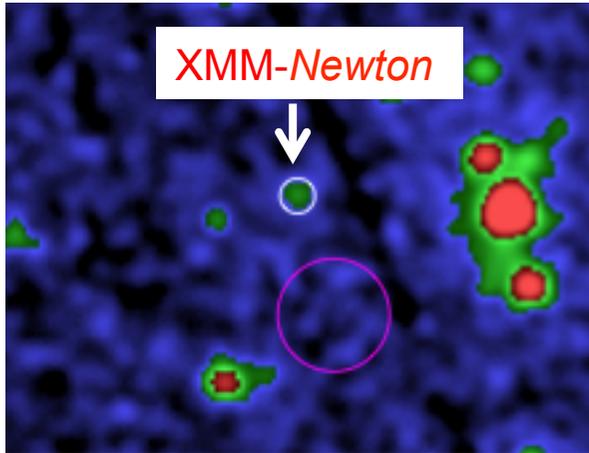


XMM  $\Gamma = 2.37 \pm 0.16$   
 Chandra  $\Gamma = 1.81 \pm 0.18$   
 (+2.5 times fainter flux)

*Chandra* spectrum significantly harder:  
 intrinsic hardening or increased absorption  
 ( $\approx 5 \times 10^{23}$  cm<sup>-2</sup>)? Likely both effects

→ Possibility to extend studies X-ray variability  
 at the highest redshift

# z~6 QSOs: the X-ray view. III. ULASJ1120 at z=7.1



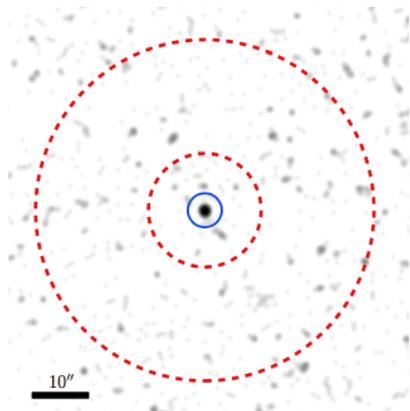
Moretti+14  
(see also Page+14)

$T \approx 340$  ks obs.  
 150 net counts  
 $\Gamma = 2.0 \pm 0.3$   
 $L_{2-10\text{keV}} \approx 7 \times 10^{44}$  erg/s

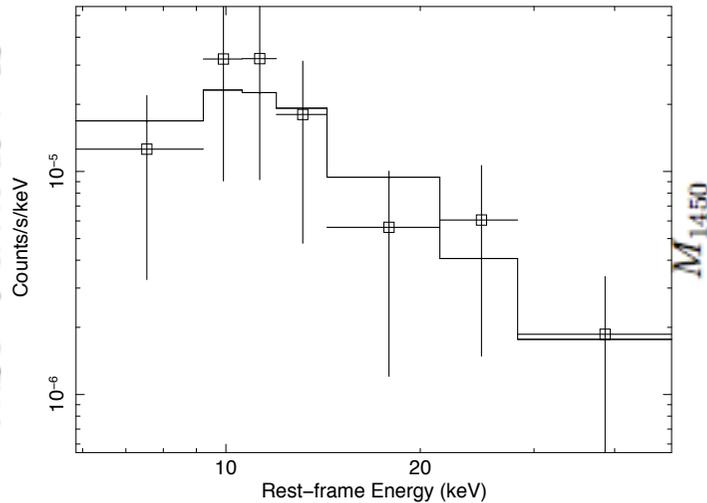
challenging observations to obtain good-quality X-ray spectra (sometimes, even a good detection) for current facilities

QSO accreting at Eddington

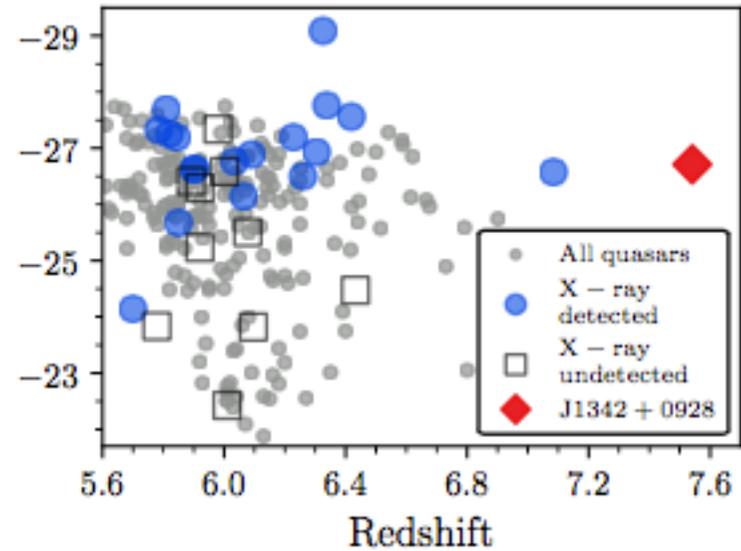
# z~6 QSOs: the X-ray view. IV. ULASJ1342 at z=7.5



Banados+18



CV+;  
Banados+18

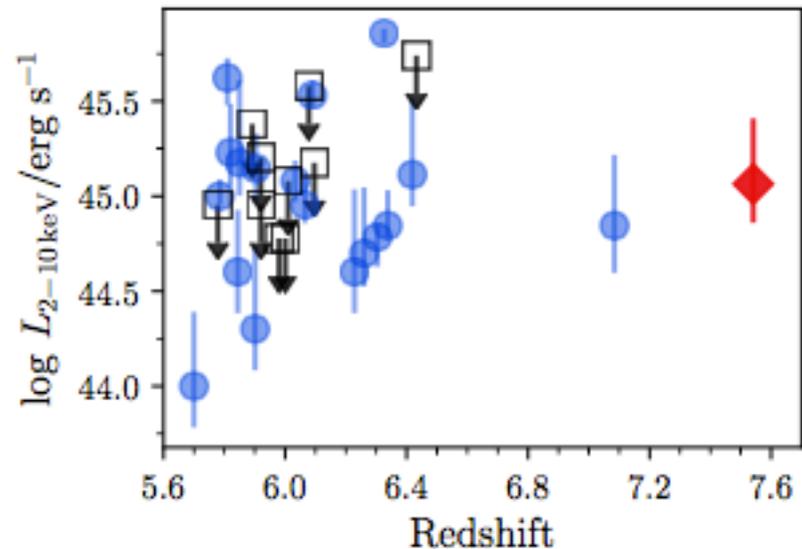


$T \approx 45$  ks *Chandra*

14 net counts

$\Gamma = 2.0 \pm 0.5$

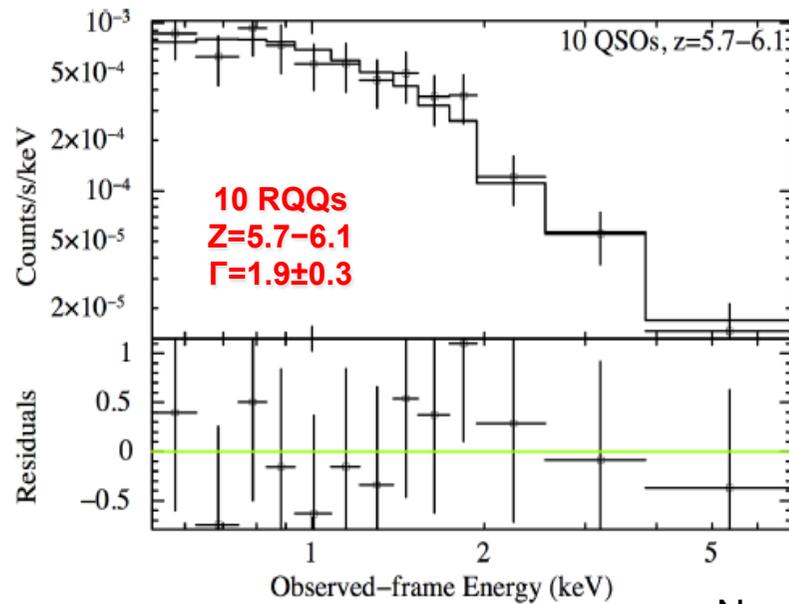
$L_{2-10\text{keV}} \approx 1.2 \times 10^{45}$  erg/s



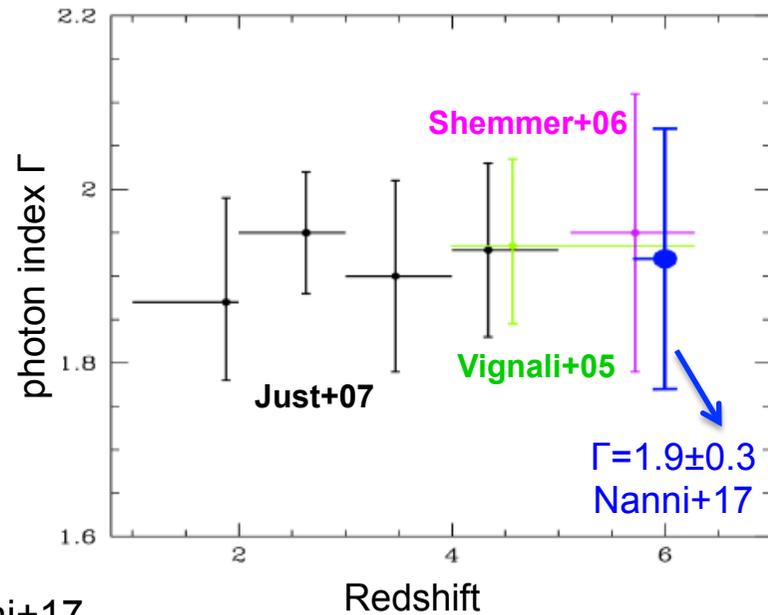
Banados+18

# z~6 QSOs: the X-ray view. V. Average X-ray spectrum

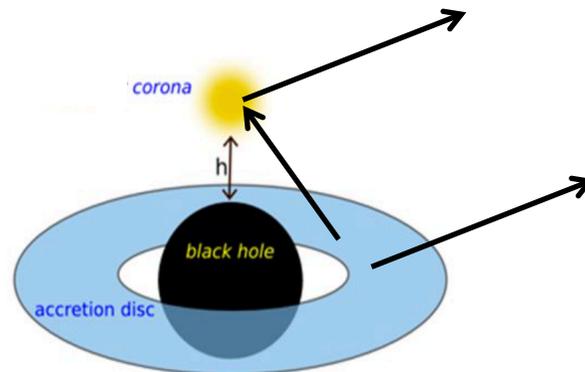
Stack of 10 QSOs at  $z > 5.6$  detected with *Chandra*



Nanni+17



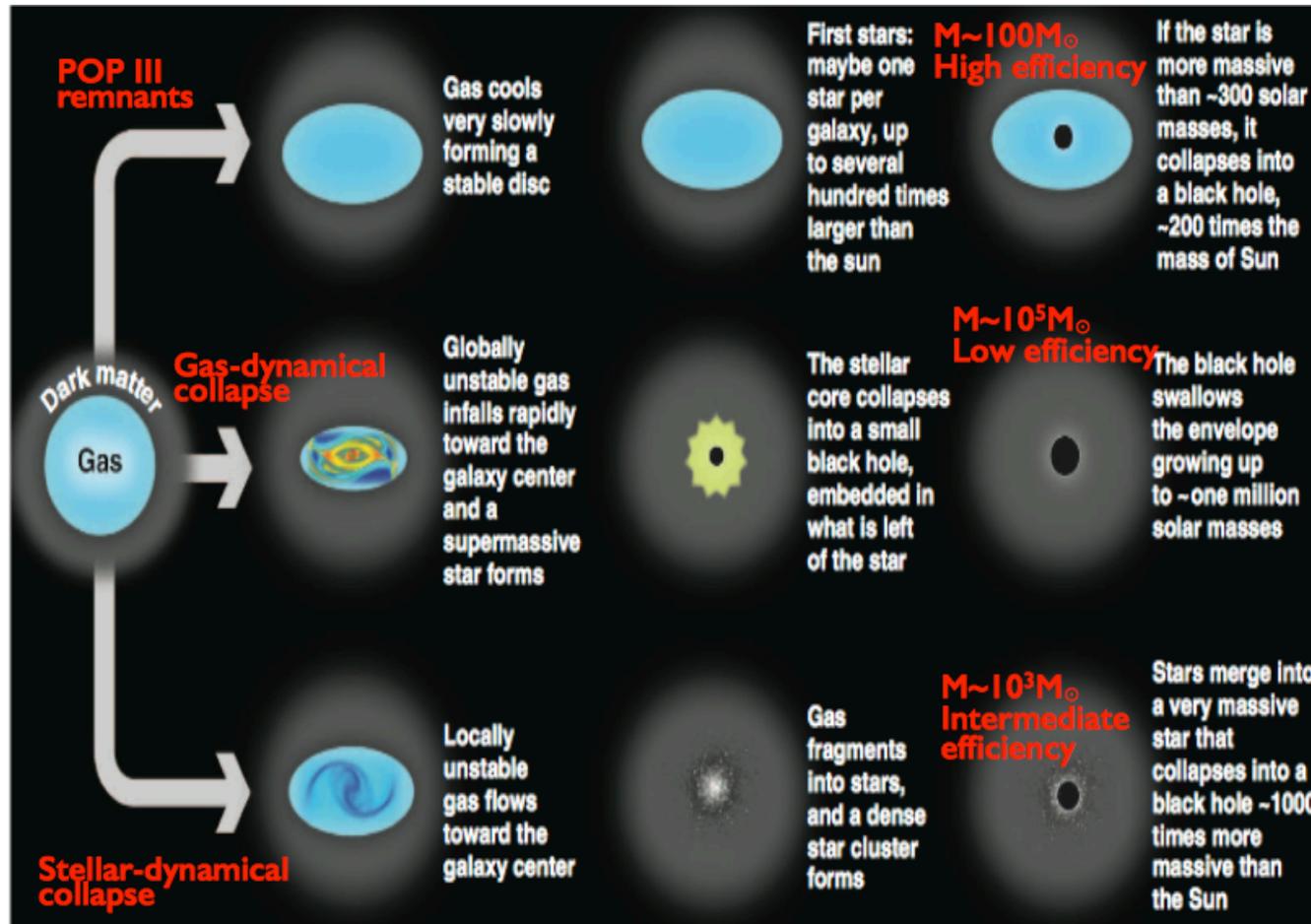
$$f_{\nu} \approx \nu^{-\alpha} = \nu^{\Gamma-1}$$



**basic AGN inner structure**  
**(accretion disk + hot  $e^-$  corona)**  
**in place in  $t \ll 1$  Gyr**  
 (the small-scale X-ray emission regions of AGN appear to be insensitive to the significant changes occurring at  $z \approx 0-6$ )

Part III:  
The challenge of BH growth

# BH growth at high z. I. Which BH seeds?



Volonteri10 review

**“light” seeds**  
(pop III star remnants)

$$M_{\text{BH}} \approx 100 - 600 M_{\odot}$$

$$z \approx 20 - 50$$

Madau & Rees 01  
Volonteri+03

**“heavy” seeds**  
**DCBHs**

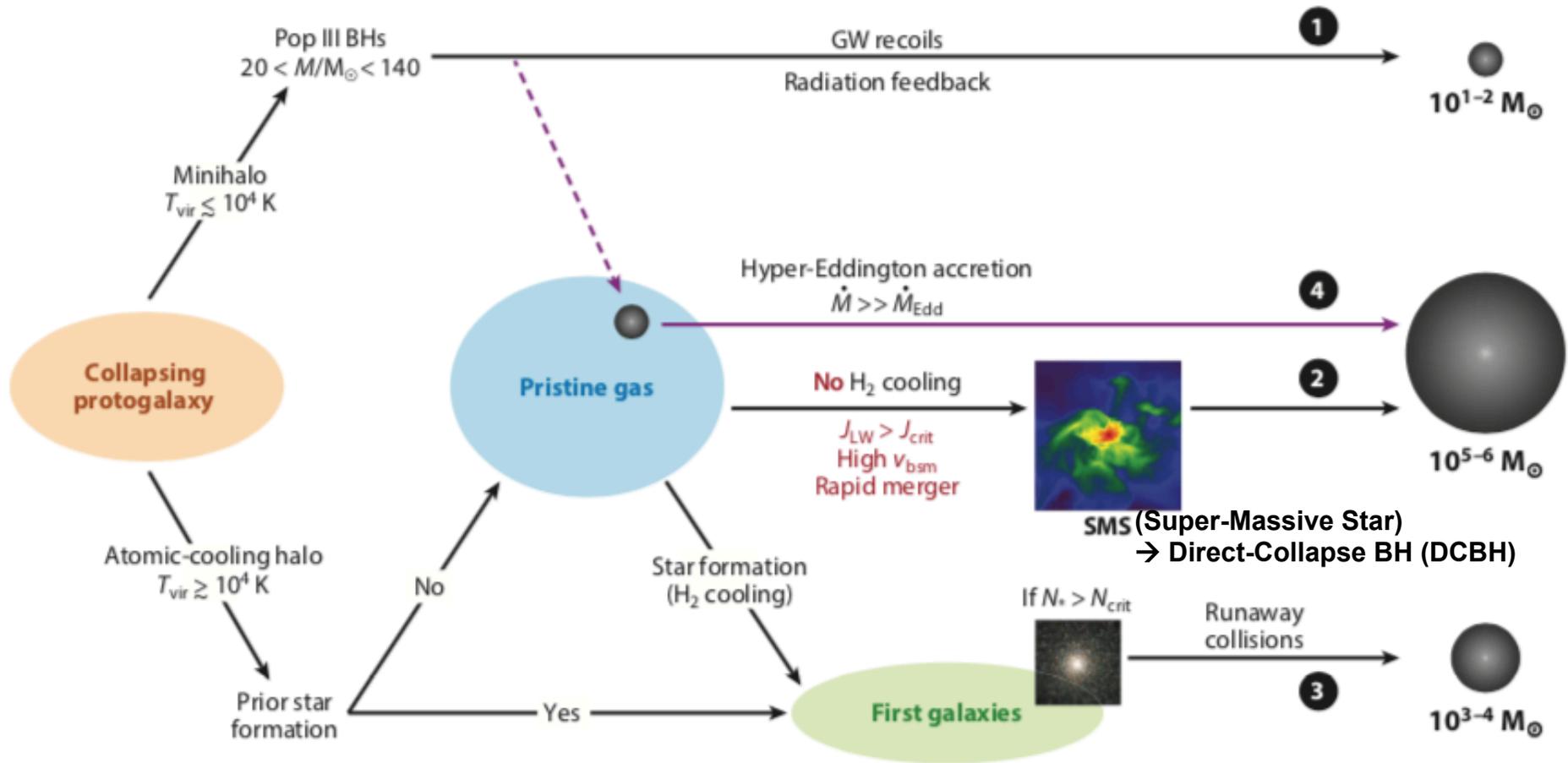
$$M_{\text{BH}} \approx 10^4 - 10^6 M_{\odot}$$

$$z \approx 5 - 10$$

Volonteri+08,  
Agarwal+13, Yue+13

**intermediate seeds**  
 $M_{\text{BH}} \approx 10^3 M_{\odot}$ ,  $z \approx 10 - 15$   
Runaway stellar  
mergers in high-z  
clusters

Devecchi & Volonteri09

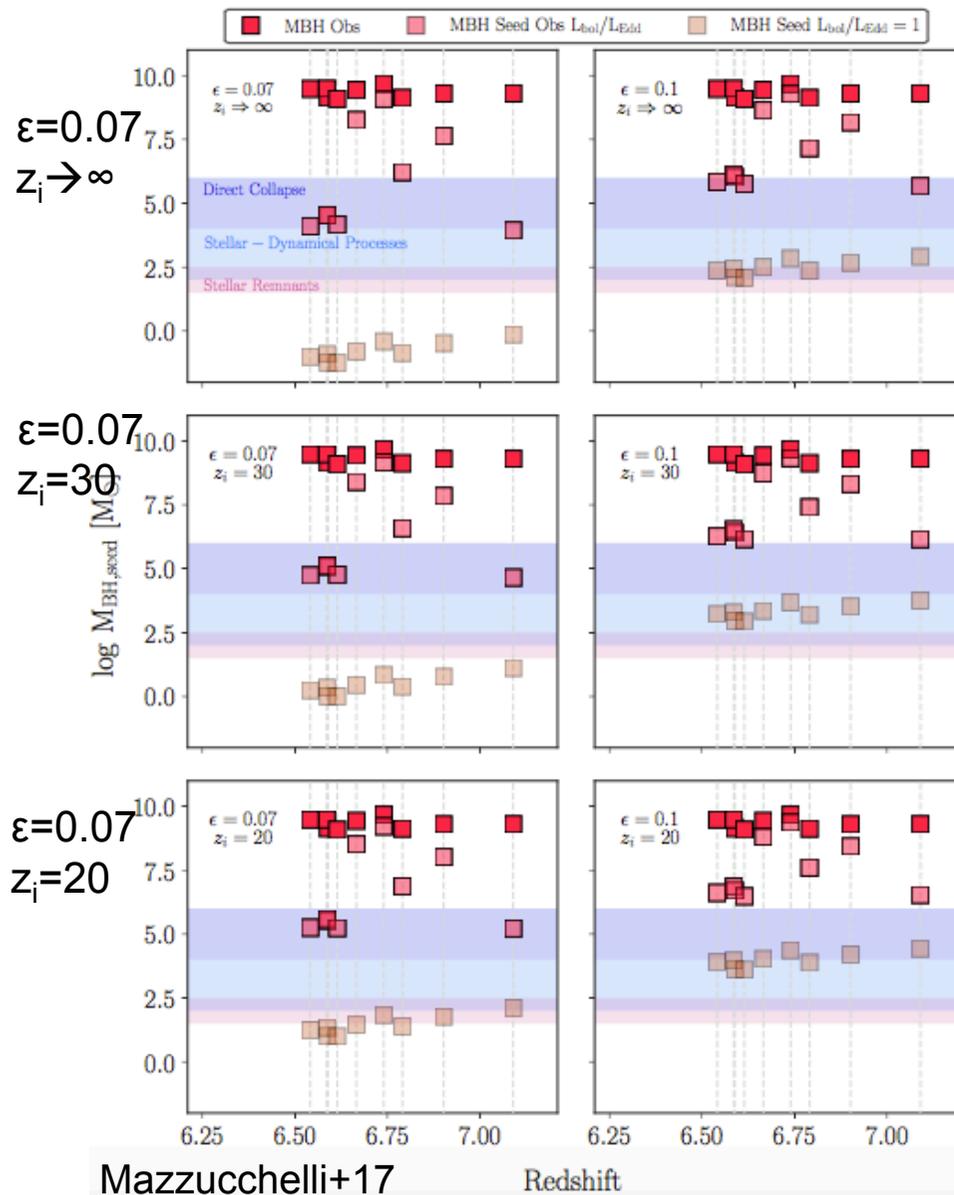


Inayoshi+20 review

Information about seed initial properties lost at high redshift (partly inferred from their number)

Limit imposed by the Soltan (1982) argument (comparison of AGN at all  $z$  with local population of dormant SMBHs)

# BH growth at high z. II. Model degeneracy



$\epsilon = 0.1$   
 $z_i \rightarrow \infty$

$M_{BH,seeds}$  needed to obtain the observed QSO BH masses (dark red squares)

Assumption: sources accrete constantly with the observed Eddington ratio (light red squares) and at Eddington rate=1 (yellow squares)

$\epsilon = 0.1$   
 $z_i = 30$

$\rightarrow$  In all cases with  $\epsilon = 0.07$  and  $L_{bol}/L_{Edd} = 1$  and in case of [ $\epsilon = 0.1$ ,  $L_{bol}/L_{Edd} = 1$  and  $z_i \rightarrow \infty$ ], the calculated seed masses ( $> 100 M_{\odot}$ ) are consistent with being formed by stellar remnants.

Alternatively, higher efficiency ( $\epsilon = 0.1$ ) and later seed birth ( $z_i = 30, 20$ ) at  $L_{bol}/L_{Edd} = 1$  would require  $\approx 10^{3-4} M_{\odot}$  seed BHs as progenitors of  $z \sim 6.5$  QSOs

$$M_{seed} = M_0 = \frac{M_{QSO}(t)}{\frac{1-\epsilon}{\epsilon} \frac{t}{t_{Edd}}}$$

# BH growth at high z. III. The challenge

“Light” BH seeds require continuous accretion at  $\lambda_{\text{Edd}}=1$  for long ( $z>20$ ) periods  
 Most likely: unstable and episodic accretion flow (Ciotti & Ostriker 07, Dubois+13)

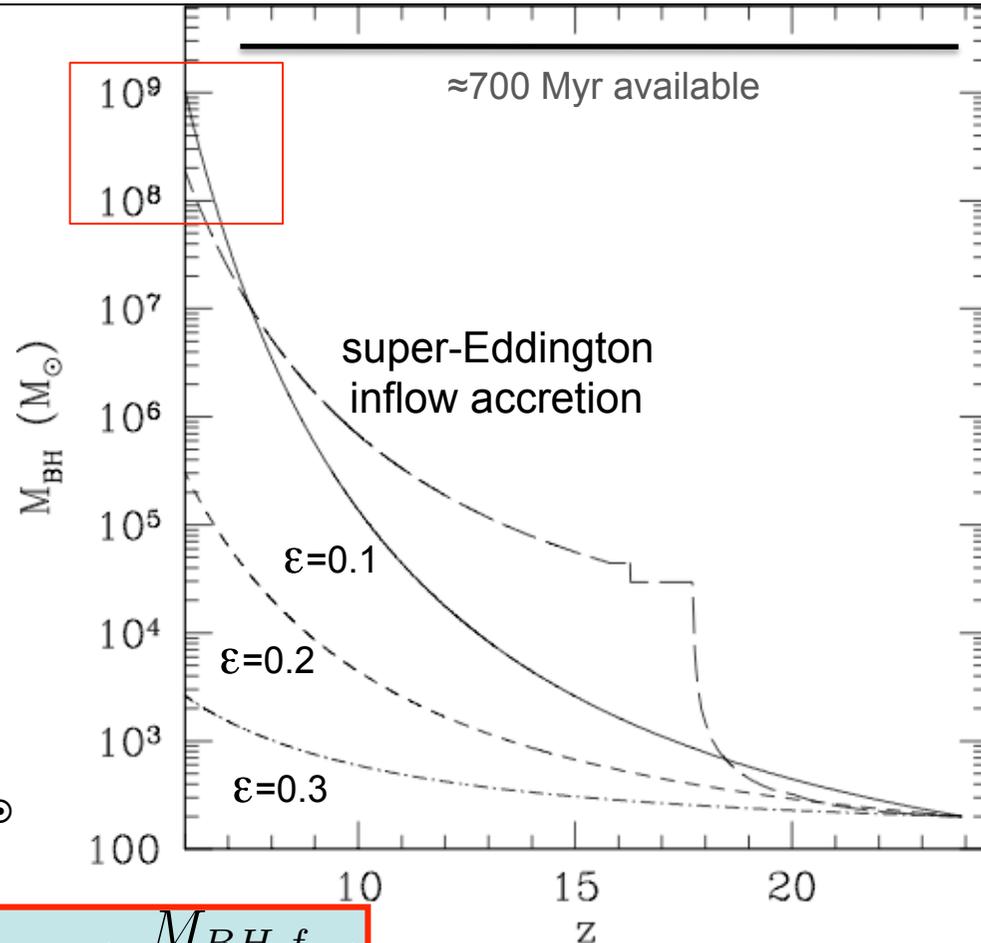
$$M(t) = M_0 e^{\left( \frac{1-\epsilon}{\epsilon} \frac{t}{t_{\text{Edd}}} \right)}$$

Larger radiation efficiency  $\epsilon$  means longer times to achieve a given mass

[ $t_{\text{Edd}}=0.45$  Gyr for  $\epsilon=0.1$ ]

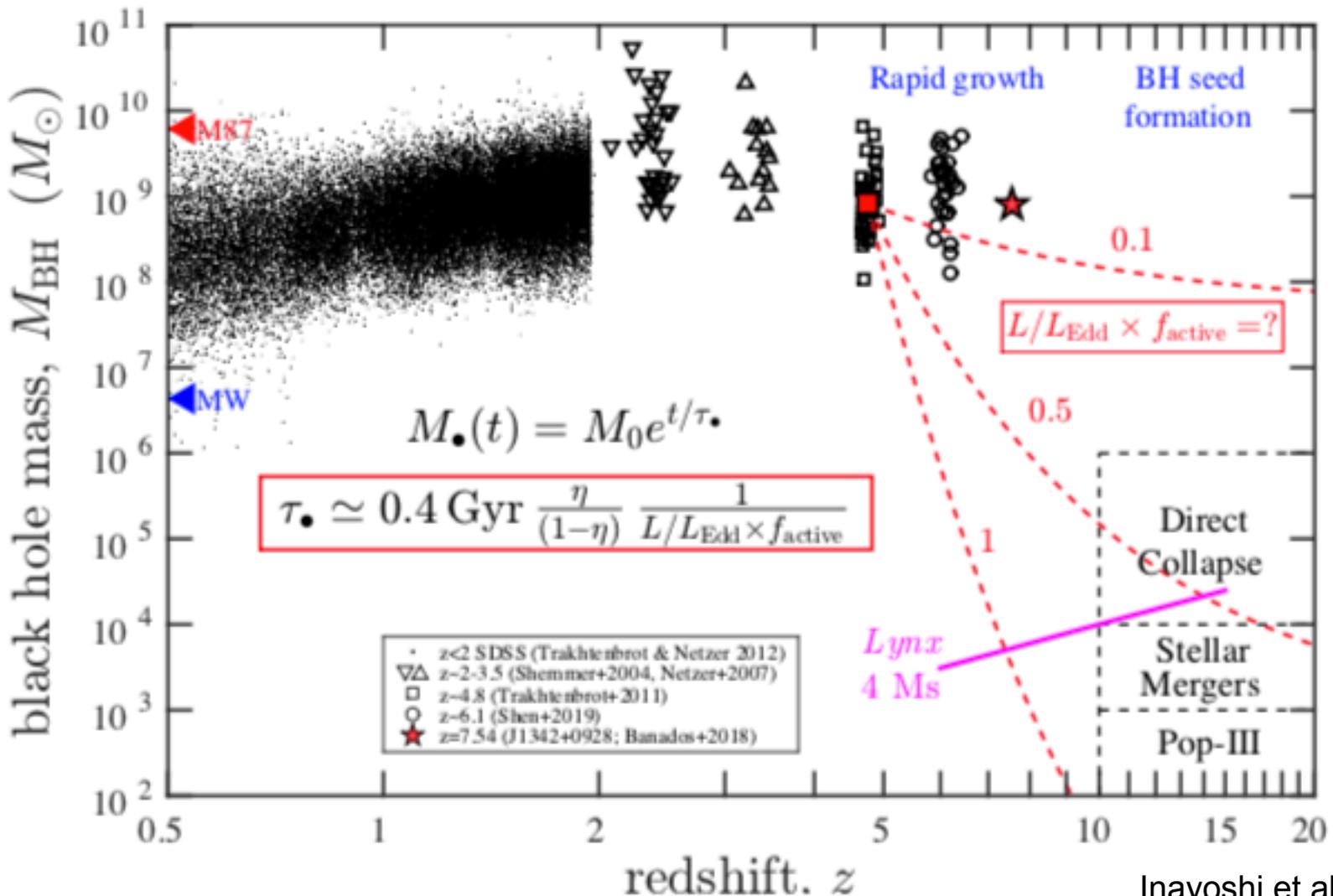
Rapidly spinning BHs might have problems because of a larger  $\epsilon$

Highest-redshift QSOs:  $M_{\text{BH}} \approx 10^9 M_{\odot}$



Volonteri & Rees 2006

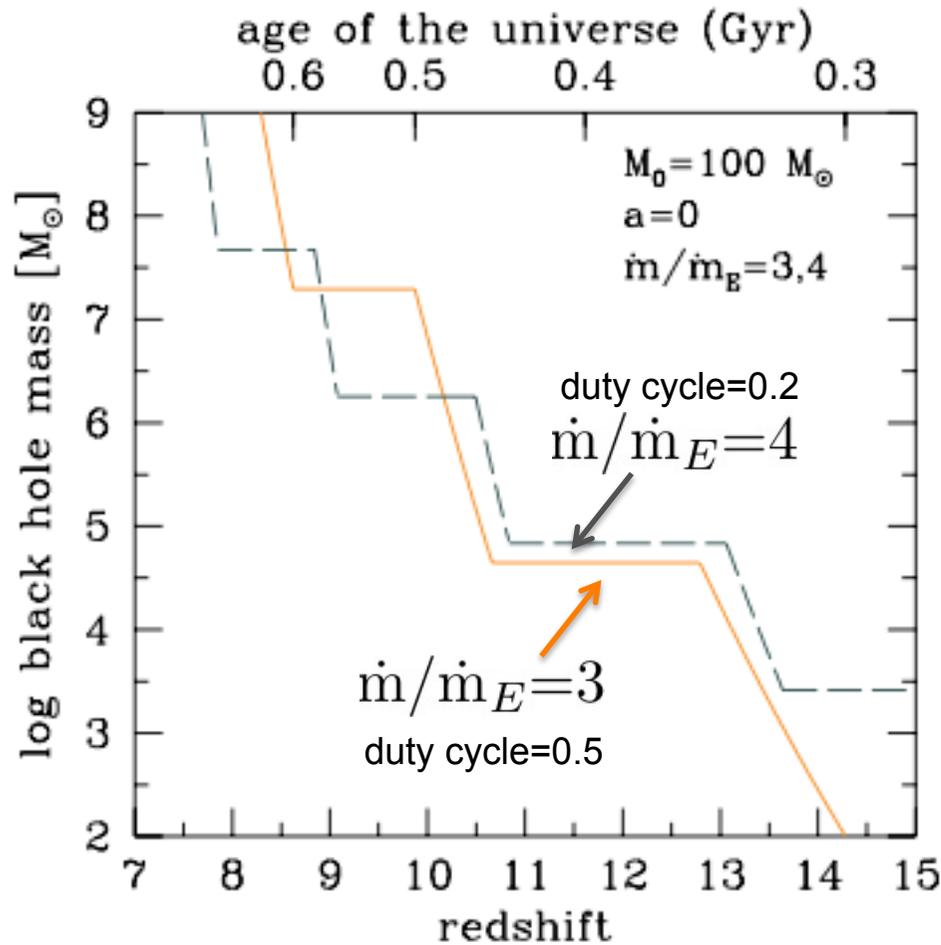
$$t/\text{Gyr} = 0.45 \times \frac{\epsilon}{1-\epsilon} \times \frac{L_{\text{Edd}}}{L_{\text{bol}}} \times \ln \left( \frac{M_{\text{BH},f}}{M_{\text{BH},seed}} \right)$$



Inayoshi et al. (2020)

BH growth needs that gas is retained in the host to provide high  $f_{\text{acc,duty}} \rightarrow$   
 limited feedback/quenching, large gas reservoir

# BH growth at high z. IV. Super-Eddington growth



Madau+14

Non-rotating BH with seed mass =  $100 M_{\odot}$   
*Intermediate* ( $t_q = 100$  Myr) *Super-Eddington accretion* can be the answer (e.g, Madau+14, Volonteri & Silk14, Pezzulli+17)

Radiatively inefficient highly accreting BH (slim disk) provide fast growth. Slim disks remain only moderately luminous ( $L \geq L_{\text{Edd}}$ ) as a large fraction of the viscosity-generated heat is advected inward and released closer to the hole or not released at all

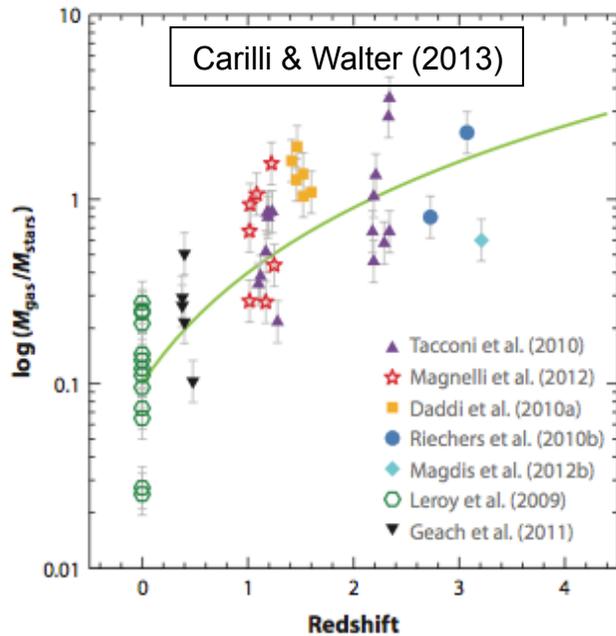
Likely DCBHs is the “easiest” scenario for SMBH accretion in short timescales

$$t/\text{Gyr} = 0.45 \times \frac{\epsilon}{1 - \epsilon} \times \frac{L_{\text{Edd}}}{L_{\text{bol}}} \times \ln \left( \frac{M_{\text{BH},f}}{M_{\text{BH},seed}} \right)$$



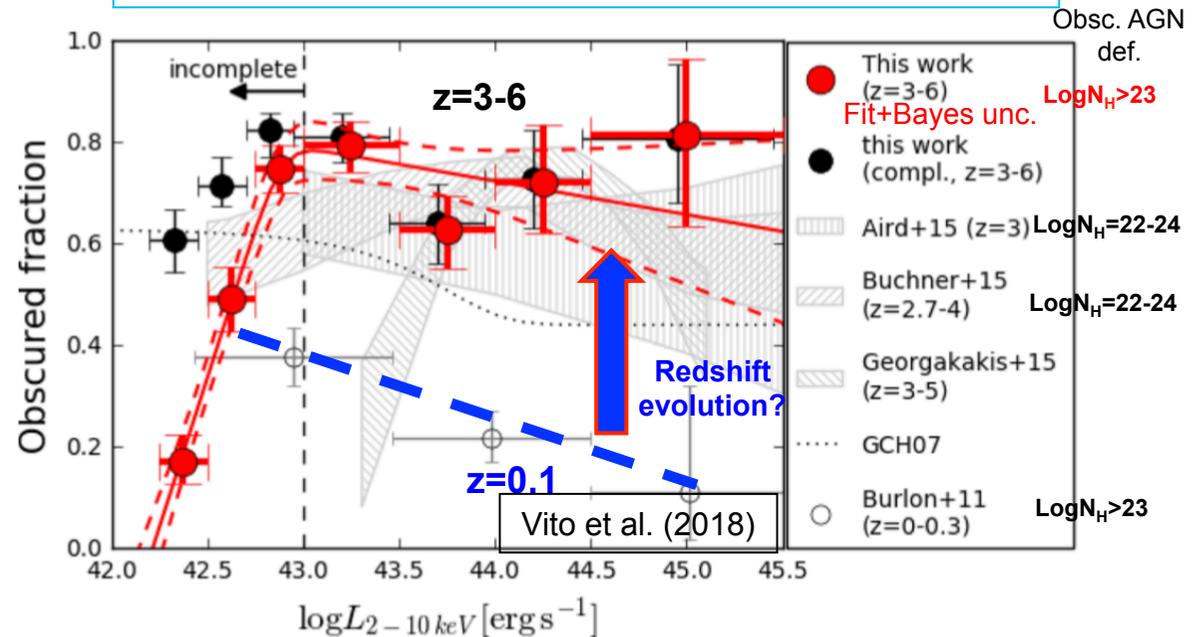
Part IV:  
Obscured AGN at  $z > 3$ :  
insights from X-ray surveys  
(but not only)...

# Obscured AGN at $z > 3$ . I. Evolution of obscured AGN fraction



Large quantity of gas available at high redshift  
 Deep X-ray observations now start probing obscured AGN systems beyond the local Universe

CDF-S (7Ms) + CDF-N (2Ms) analysis  
 (N~102 X-ray selected AGN, ~28 spec-z)

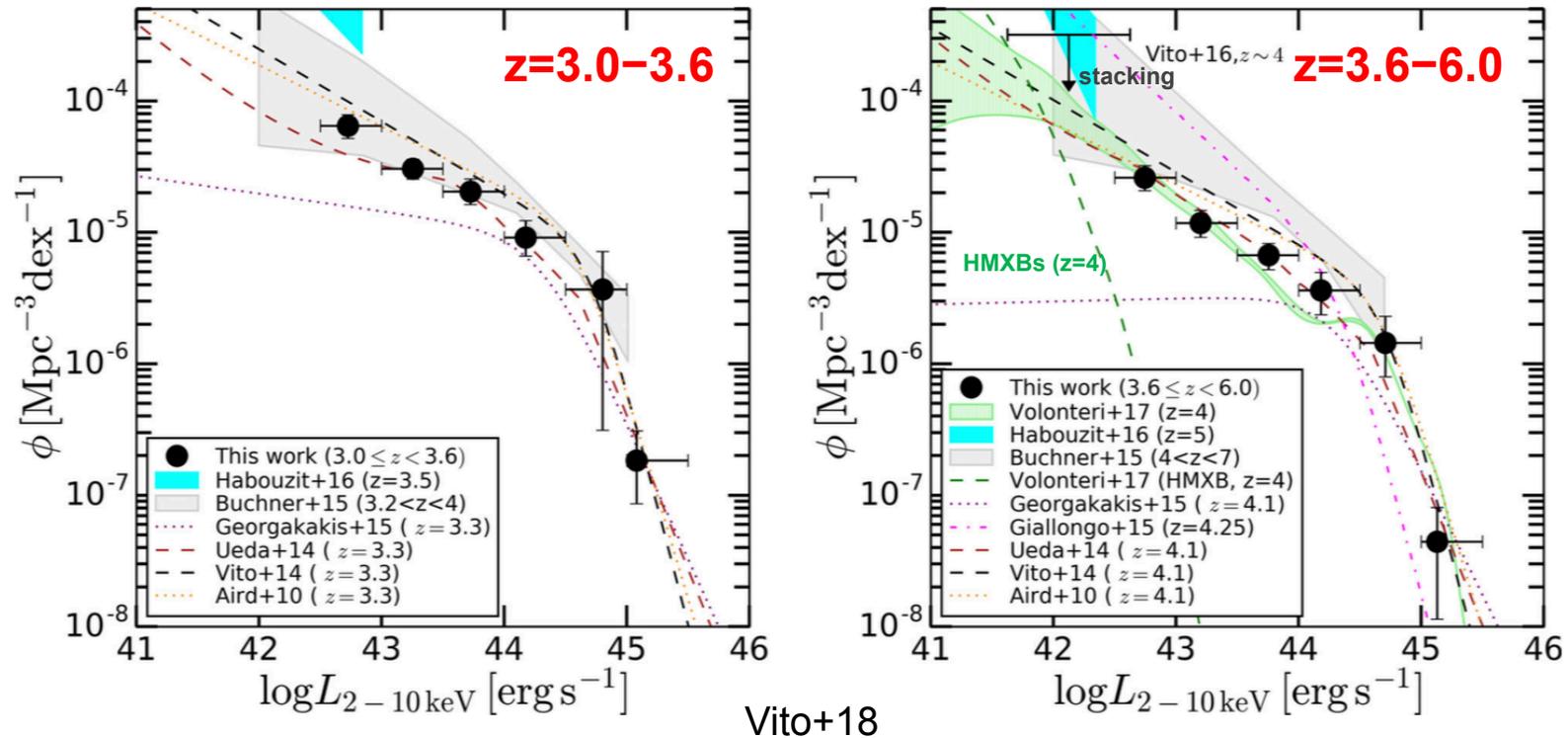


**$z > 3$  AGN:  $\approx 70-80\%$  with  $N_H > 10^{23} \text{ cm}^{-2}$**   
 see also Iwasawa et al. (2012) – CDFS, 3Ms,  $z=1.7-3.7$

Obscured AGN fraction increases with redshift, especially at high luminosity

Higher merger rate and more gas available for the accreting SMBHs at high redshift; larger covering factors?  
 The same gas sustaining strong SF at high redshift may be responsible for the obscuration (Gilli+14)  
*X-ray spectral analysis and stacking are fundamental tools, but we need photons and low background*

# AGN at $z > 3$ . II. Luminosity function



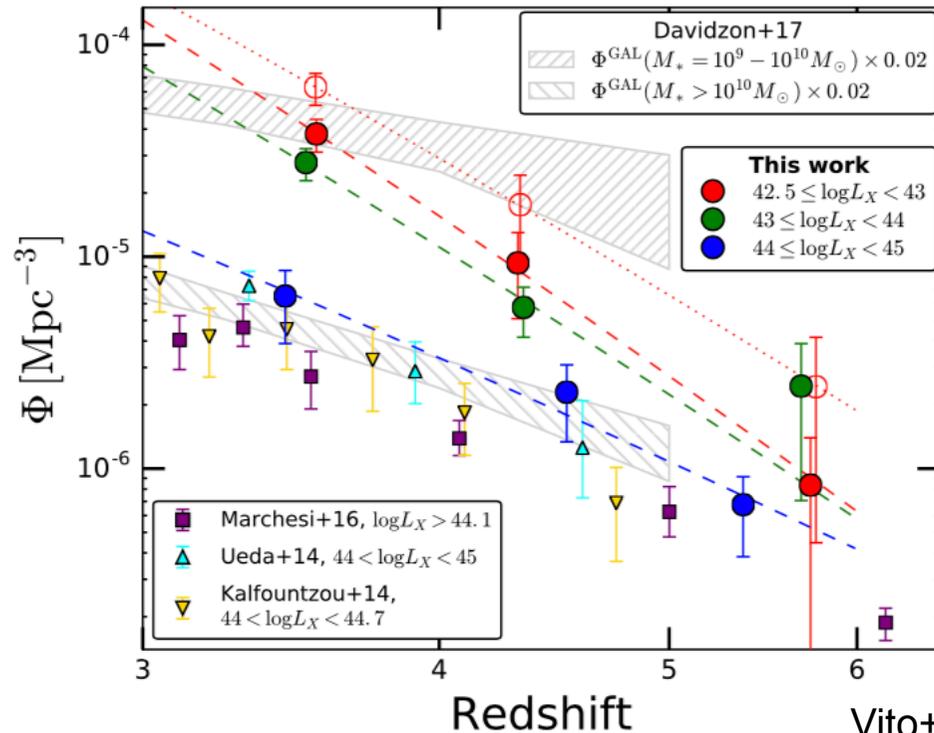
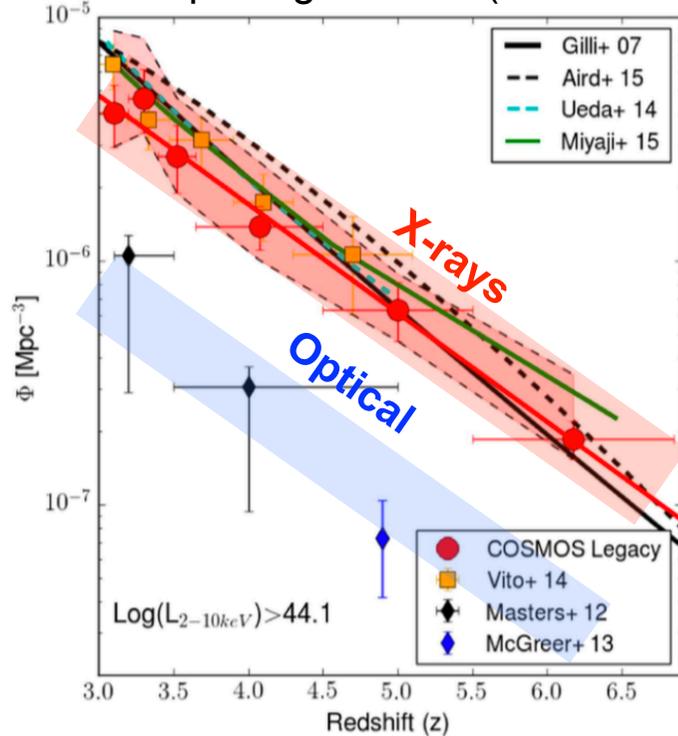
Probably not so steep AGN XLF required at high redshift  
(see also Marchesi+16)

Caveats in considering these results to estimate AGN contribution to reionization: UV to X-ray conversions, Eddington bias, contribution of X-ray binaries at the low luminosities probed by the deepest X-ray fields

# AGN at $z > 3$ . III. Space density

Decline at high L driven by the evolution of number of massive galaxies?

Hints of steepening at low L (not matched by low-mass gals.): change in accretion parameters?



Marchesi+16

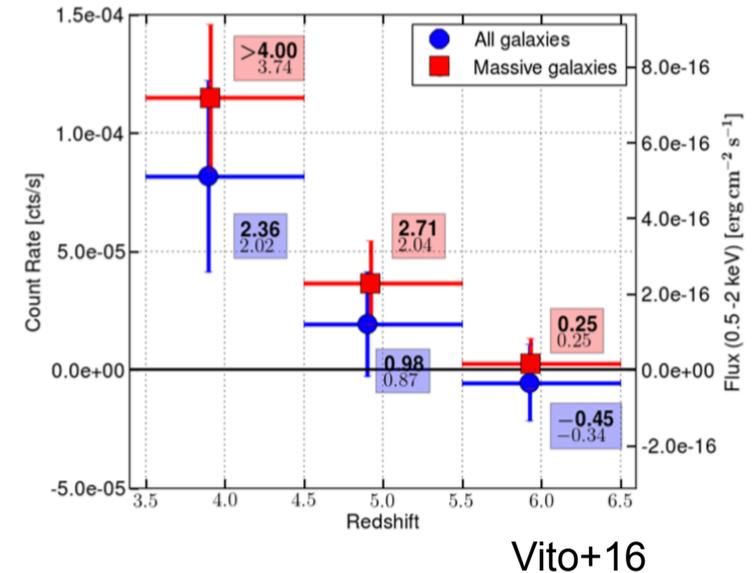
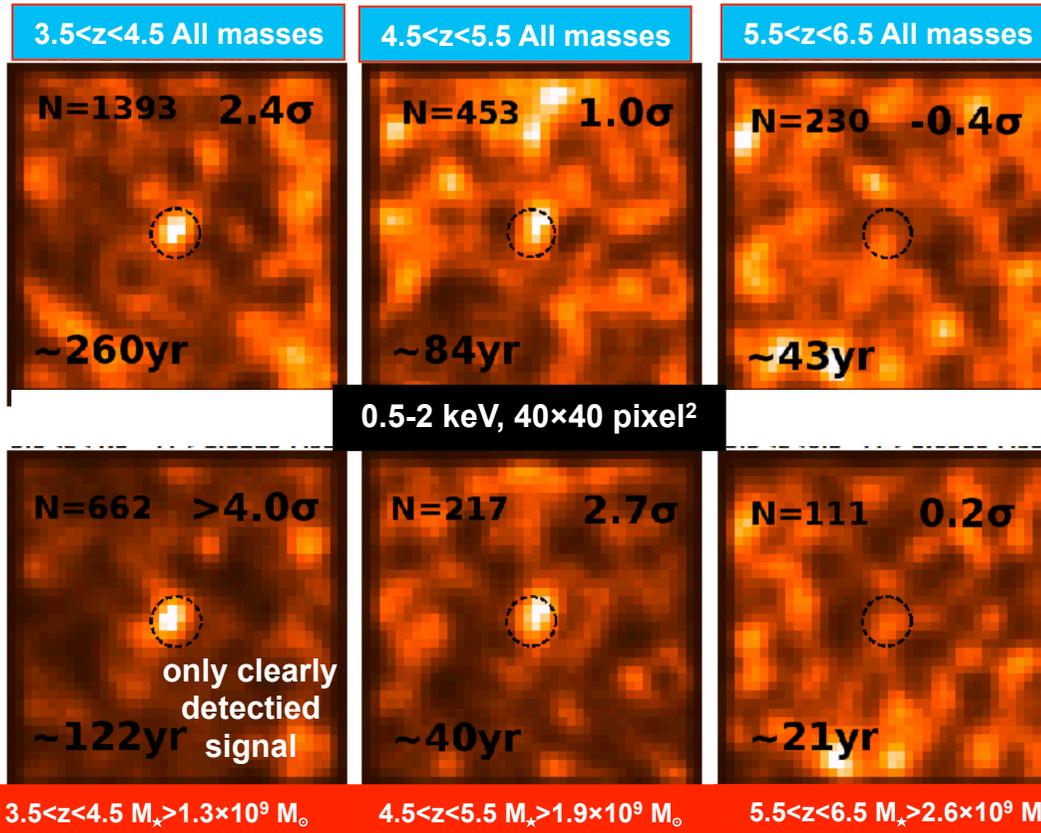
*Chandra* COSMOS-Legacy  
(2.2 deg<sup>2</sup>, N=174, 50% spec-z)

(see also Brusa+09, Civano+11, Hiroi+12, Vito+13,14, Kalfountzou+14, Georgakakis+15)

Vito+18  
deep fields

X-ray surveys trace the bulk of active SMBHs  
Space density of high-L AGN declines as  $(1+z)^{-6}$ ,  
similarly to optical QSOs (McGreer+13)

# AGN at $z > 3$ . IV. The power of X-ray stacking



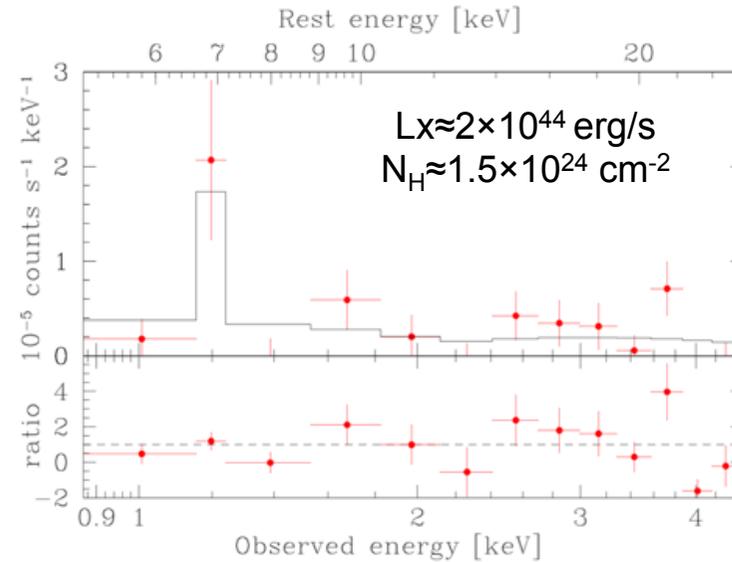
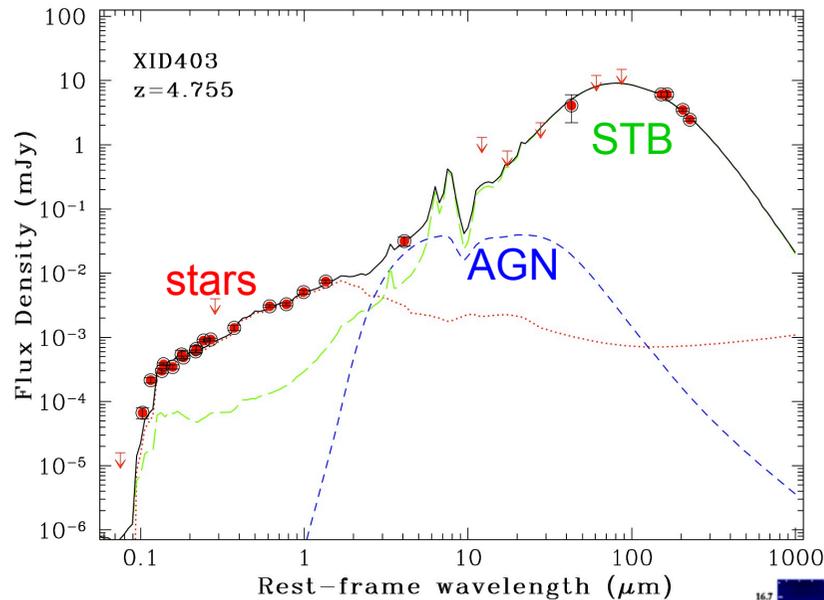
Pushing the X-ray analysis at its extreme (differences wrt. Giallongo and Cappelluti works) –  
 Caveats: optical association, assumed photo-z, stacking tools, Eddington bias

## X-ray stacking in the CDF-S (7Ms) at the positions of CANDELS galaxies at $z=3.5-6.5$ ( $H < 28$ )

- X-ray emission at the faintest fluxes dominated by processes related to star formation
- Low-mass accretion onto SMBHs in individually X-ray undetected galaxies is negligible compared to BHAD in X-ray selected AGN at high redshift

# AGN at $z > 3$ . V. Compton-thick obscuration at $z = 4.75$

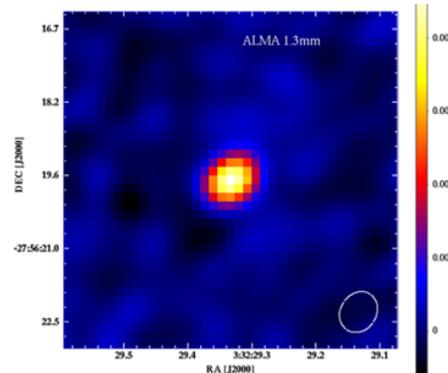
Facts: high- $z$  galaxies are more compact (Bouwens+04, Oesch+10) and gas-rich (Carilli & Walter 2013)  
 → denser ISM responsible for the increasing obscured AGN fraction?



$SFR \approx 1000 M_{\odot}/yr$   
 $\Sigma_{SFR} > 26 M_{\odot}/yr/kpc^2$

**Compact starburst, possibly responsible for the X-ray obscuration**

Progenitor of compact quiescent massive galaxies at  $z \approx 3$



Gilli et al. 2011, 2014

$R_{half, dust} = (0.9 \pm 0.3) kpc$   
 $M_{dust} \approx 5 \times 10^8 M_{\odot}$  ( $T_{dust} \approx 60K$ )  
 $M_{H2+HI} \sim 1.6 \times 10^{10} M_{\odot}$

see also Coppin+10, Nagao+12, De Breuck+14

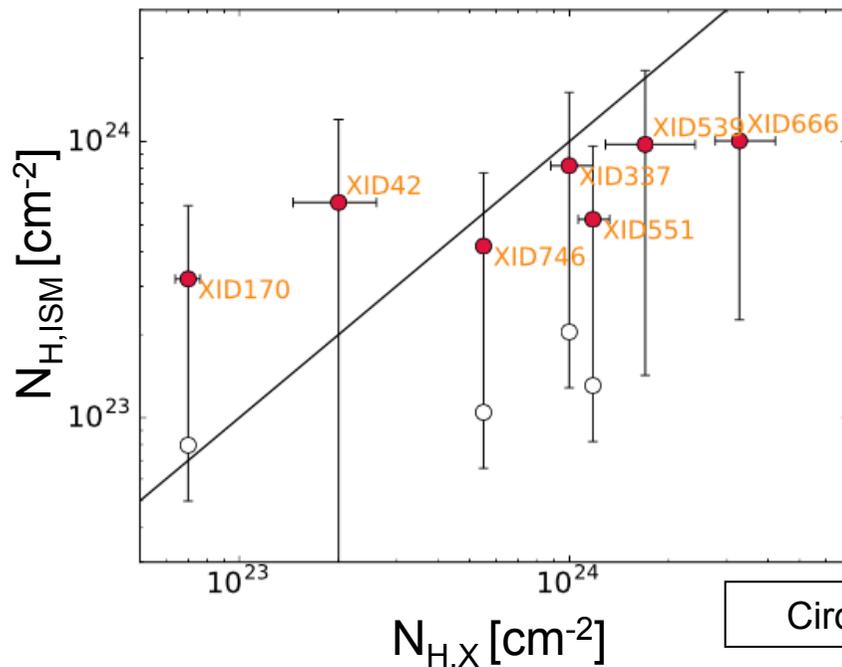
**ISM in the host of obscured AGN likely responsible for at least part of the X-ray obscuration**

(see Gilli+14, Gallerani+17, Circosta+19, D'Amato+20; see also Buchner+17 for GRB hosts; Trebitsch+19 from the simulation side)

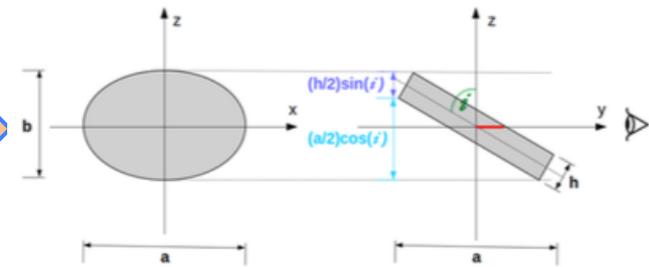
# AGN at $z > 3$ . VI. Testing obscuration by ISM

$N_{H,X}$  from X-ray spectra (using appropriate torus models and 7Ms CDF-S spectra)

$N_{H,ISM}$  from Scoville+16 (using  $L_{850\mu m} \rightarrow L'_{CO} \rightarrow M_{H_2}$  + empirical relations and spherical + uniform geometry; sizes from available ALMA and CANDELS data)



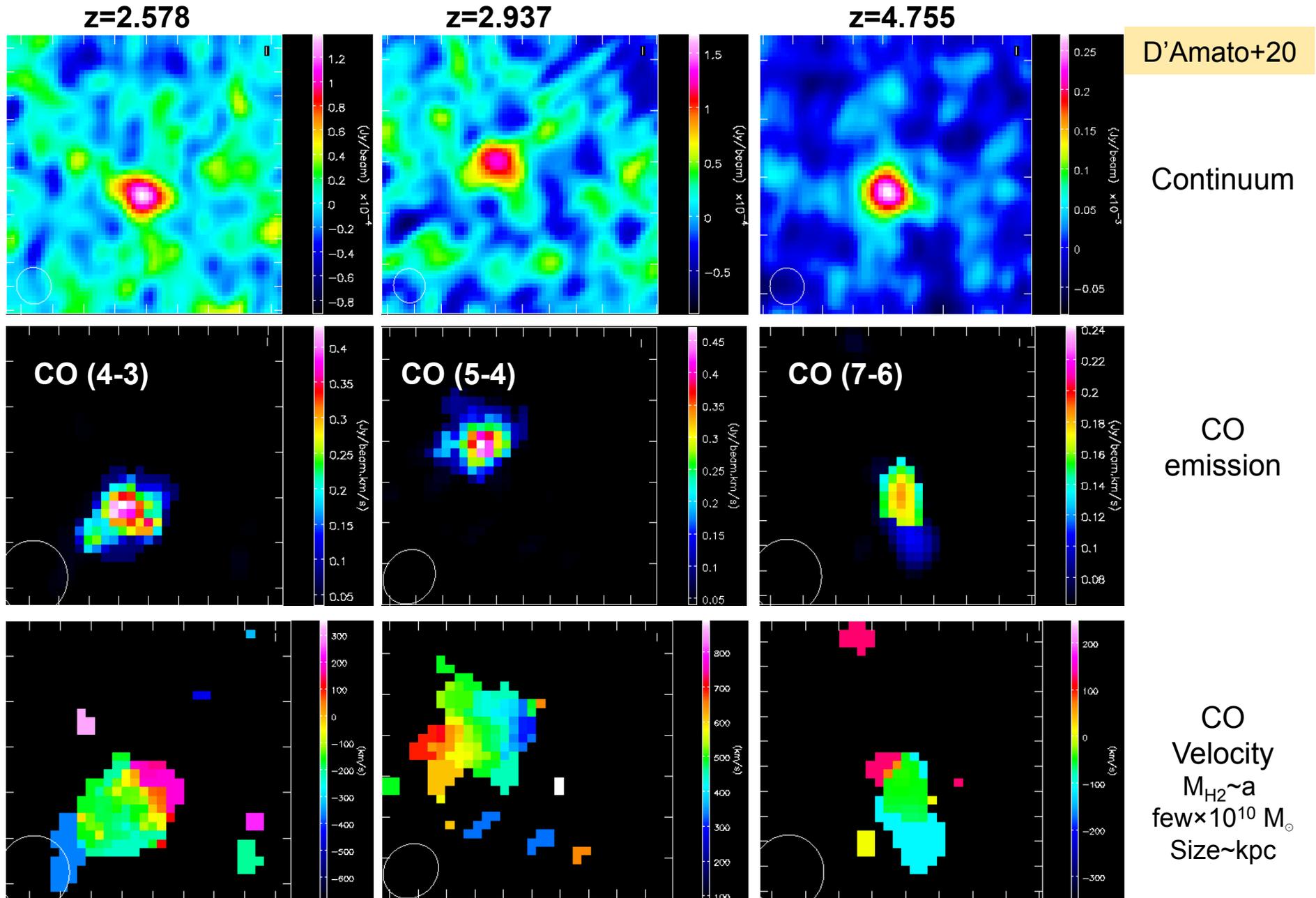
Next step:  
using more  
realistic (but  
poorly  
constrained)  
geometry,  
and velocity-  
map info  
(rotating disk)



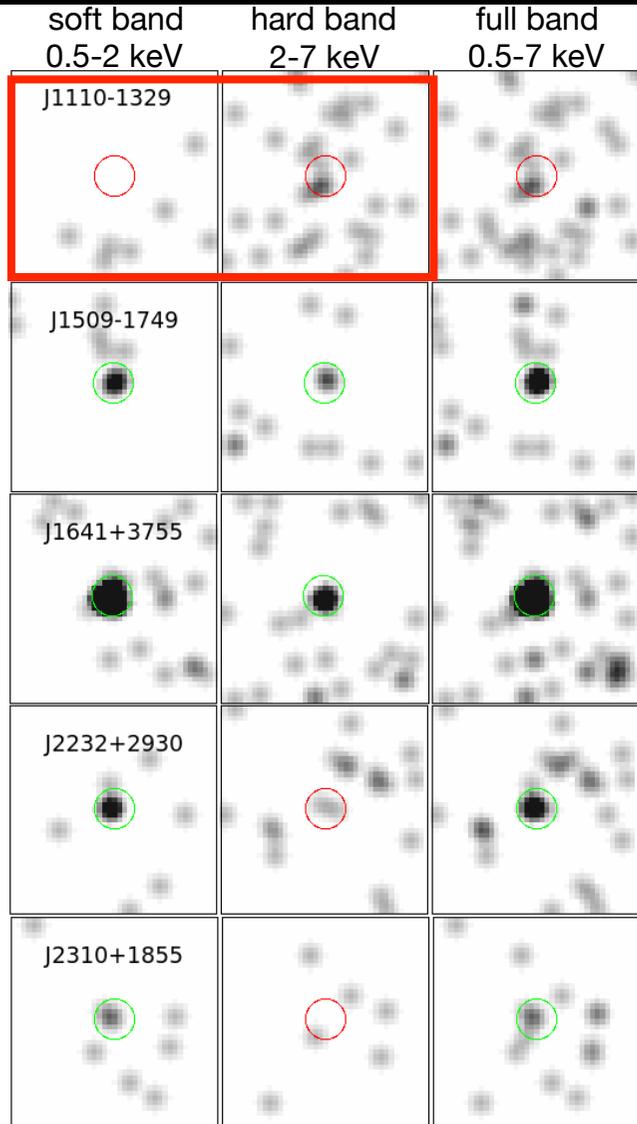
D'Amato et al. (2020)

The dust-enriched gas in the galaxy center  
can obscure highly accreting BHs  
(see also Trebitsch+2019)  $\rightarrow$  the host galaxy  
contributes to the obscuration at least at high  $z$

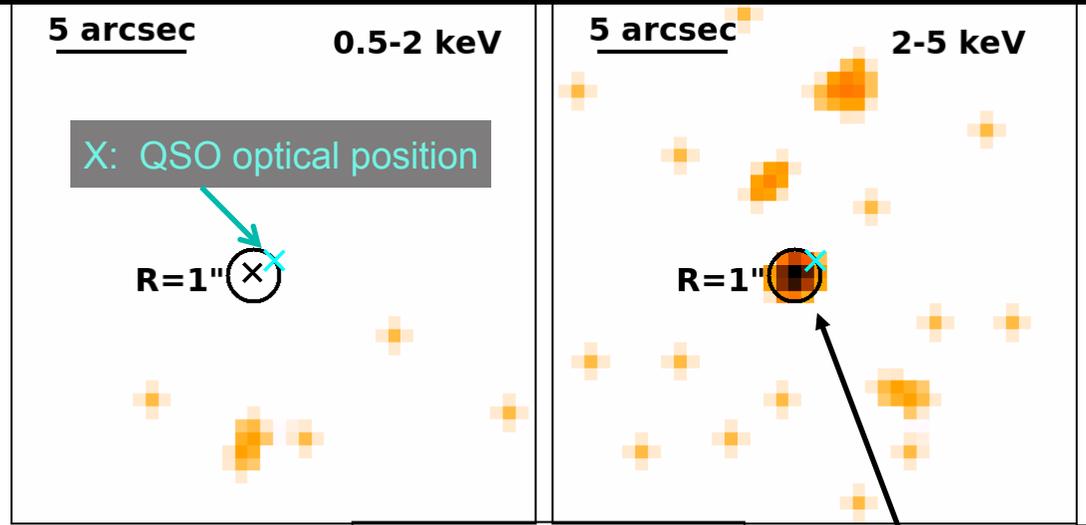
# AGN at $z > 3$ . VII. The host of $z > 2.5$ QSOs as seen by ALMA



# AGN at $z > 3$ . VIII. Obscured AGN at $z \sim 6$ ? The case of PSO167-13 at $z = 6.515$

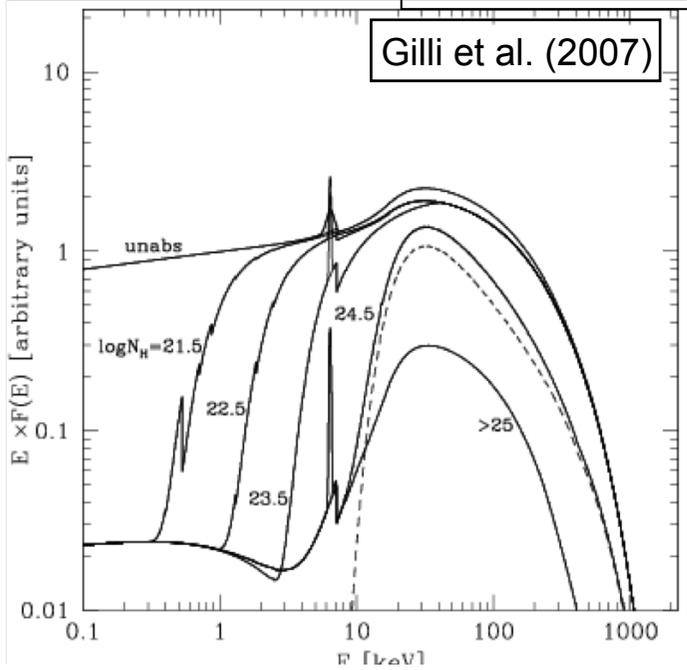


Vito et al. (2019b)



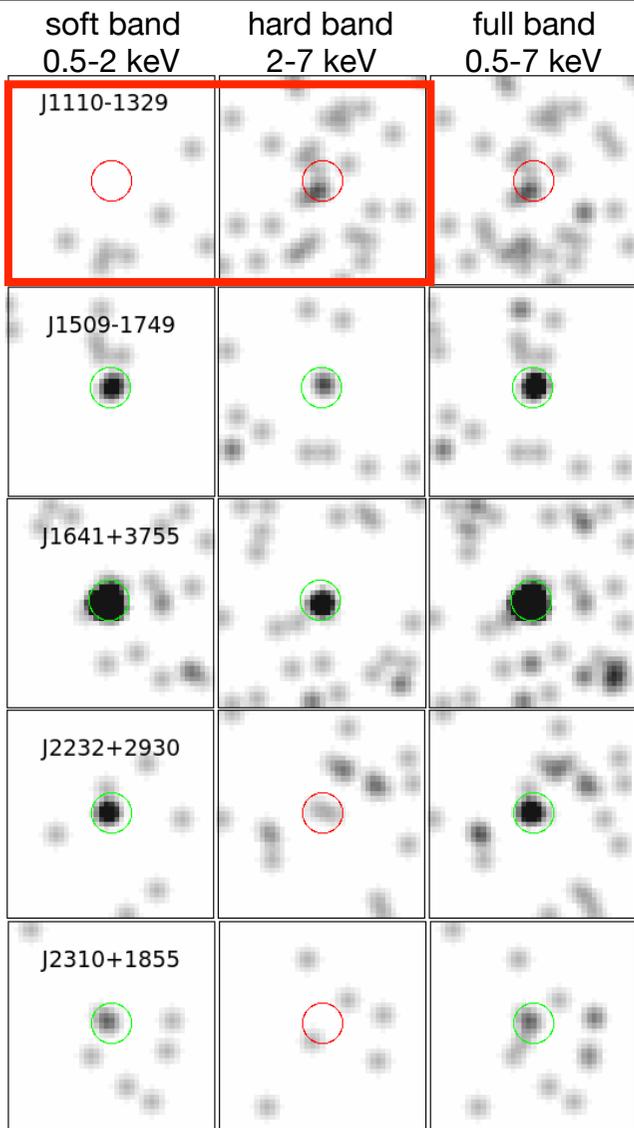
Vito et al. (2019a)

3 photons (59ks;  
 $P=0.9996$ ,  
Weisskopf+07)

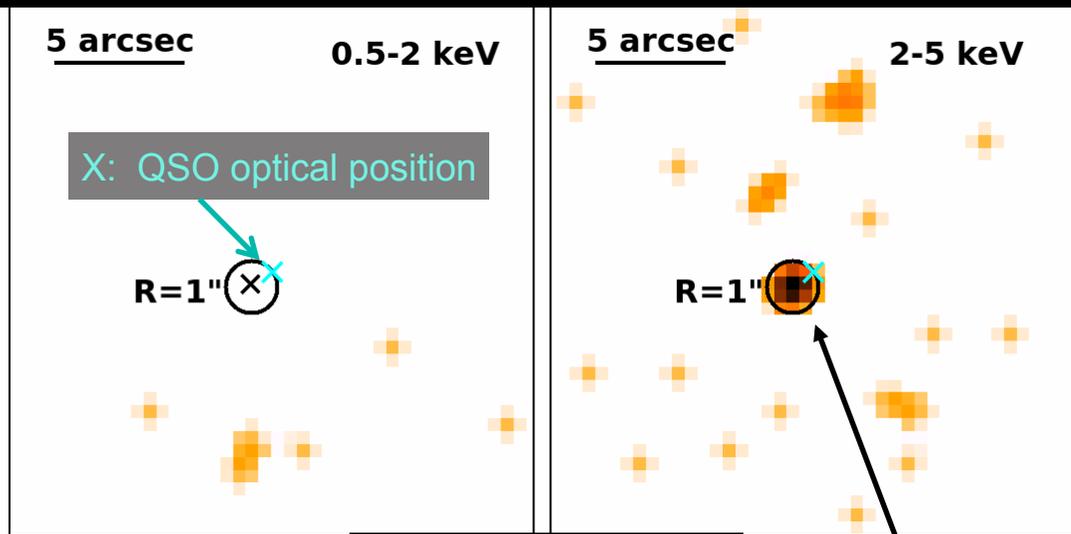


Relative emission  
in soft and hard bands  
gives indications  
of absorption level

# AGN at $z > 3$ . IX. Obscured AGN at $z \sim 6$ ? The case of PSO167-13 at $z = 6.515$



Vito et al. (2019b)



Vito et al. (2019a)

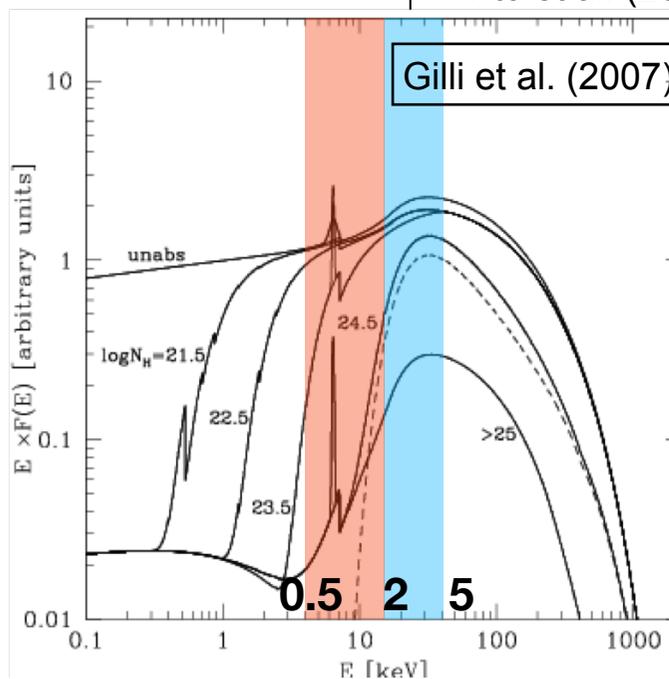
3 photons (59ks;  
 $P=0.9996$ ,  
Weisskopf+07)

$N_H > 2 \times 10^{24} \text{ cm}^{-2}$   
at 68% confidence level

$N_H > 6 \times 10^{23} \text{ cm}^{-2}$   
at 90% confidence level

**First heavily obscured  
QSO candidate at  $z > 6$ !**

see also Connor et al. (2019)



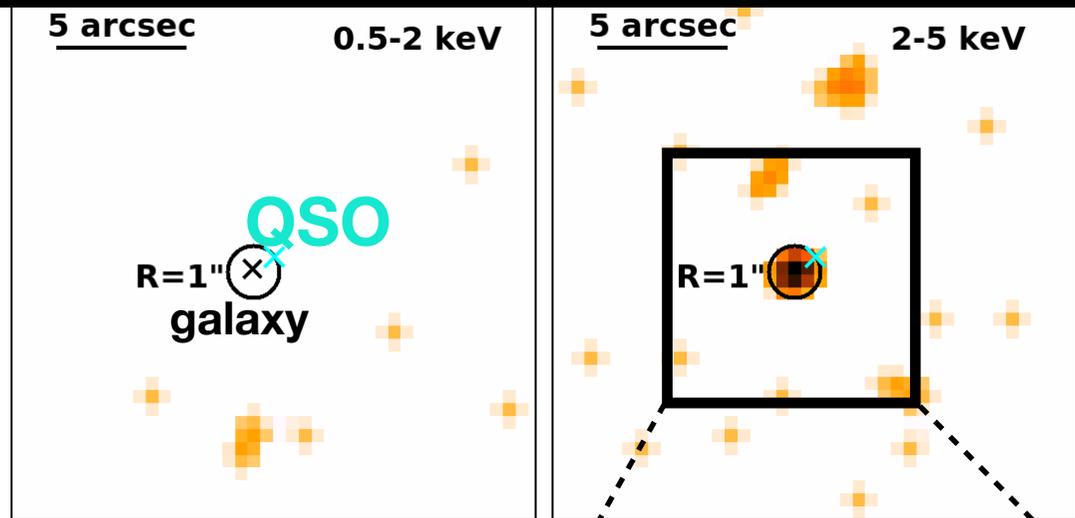
Gilli et al. (2007)

# AGN at $z > 3$ . X. Obscured AGN at $z \sim 6$ ? The case of PSO167-13 at $z = 6.515$

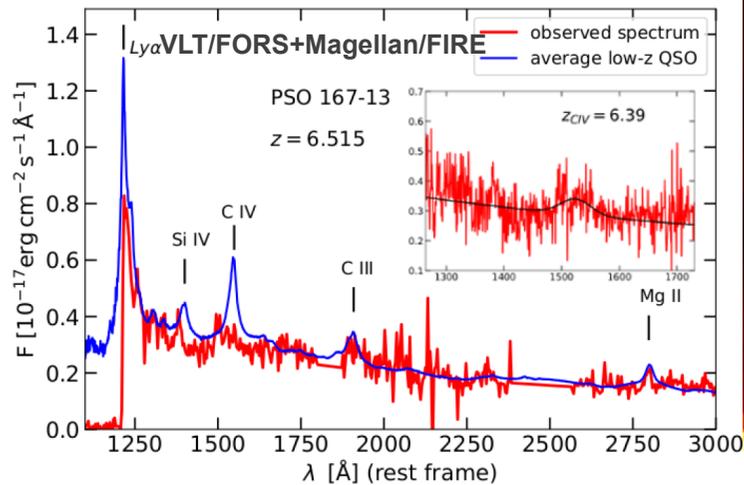
X-ray to optical/sub-mm  
offset of  $\sim 1$  arcsec, but significant  
positional uncertainty

Why an optically type I QSO  
is heavily obscured in X-rays?

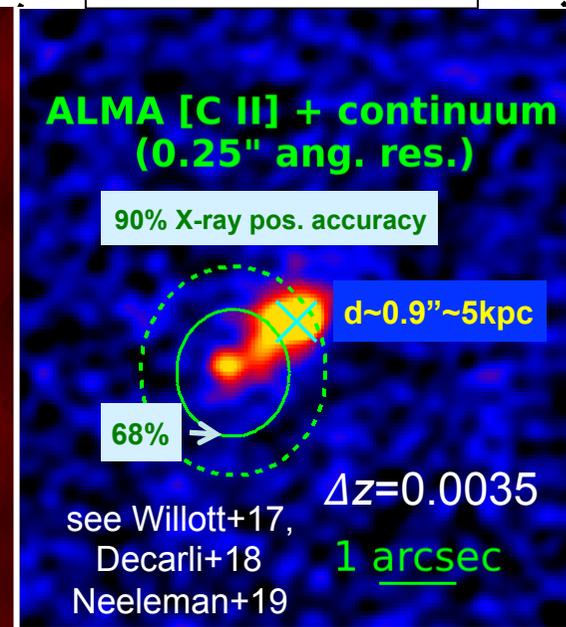
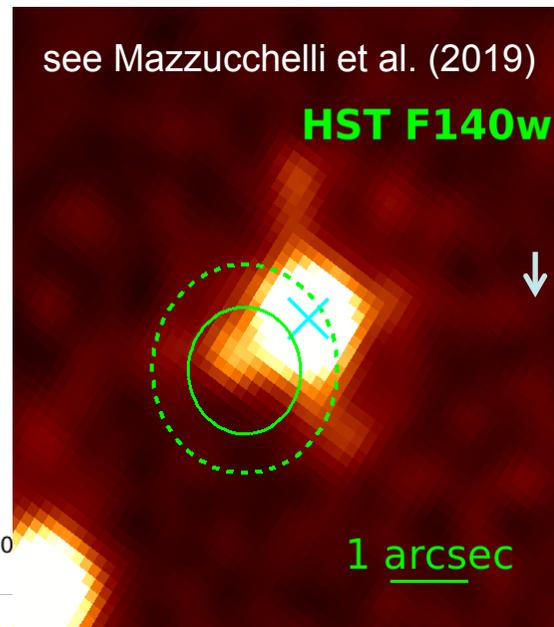
- WLQ?
- BALQSO?
- Changing look QSO?



Vito et al. (2019a)



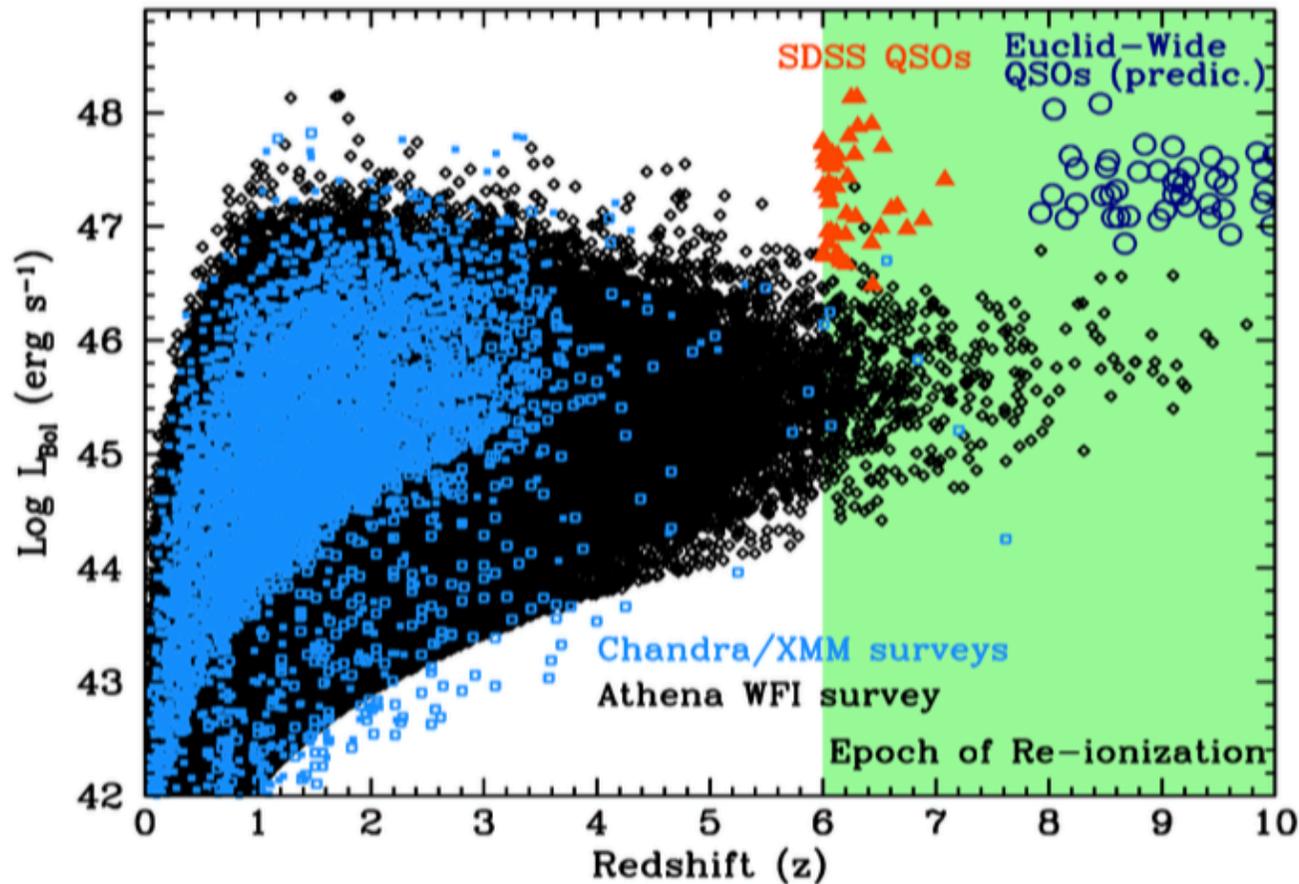
see also Venemans et al. (2015)



What's next:  
Hunting BHs at high redshift

# What's next. Hunting BHs at high redshift. I

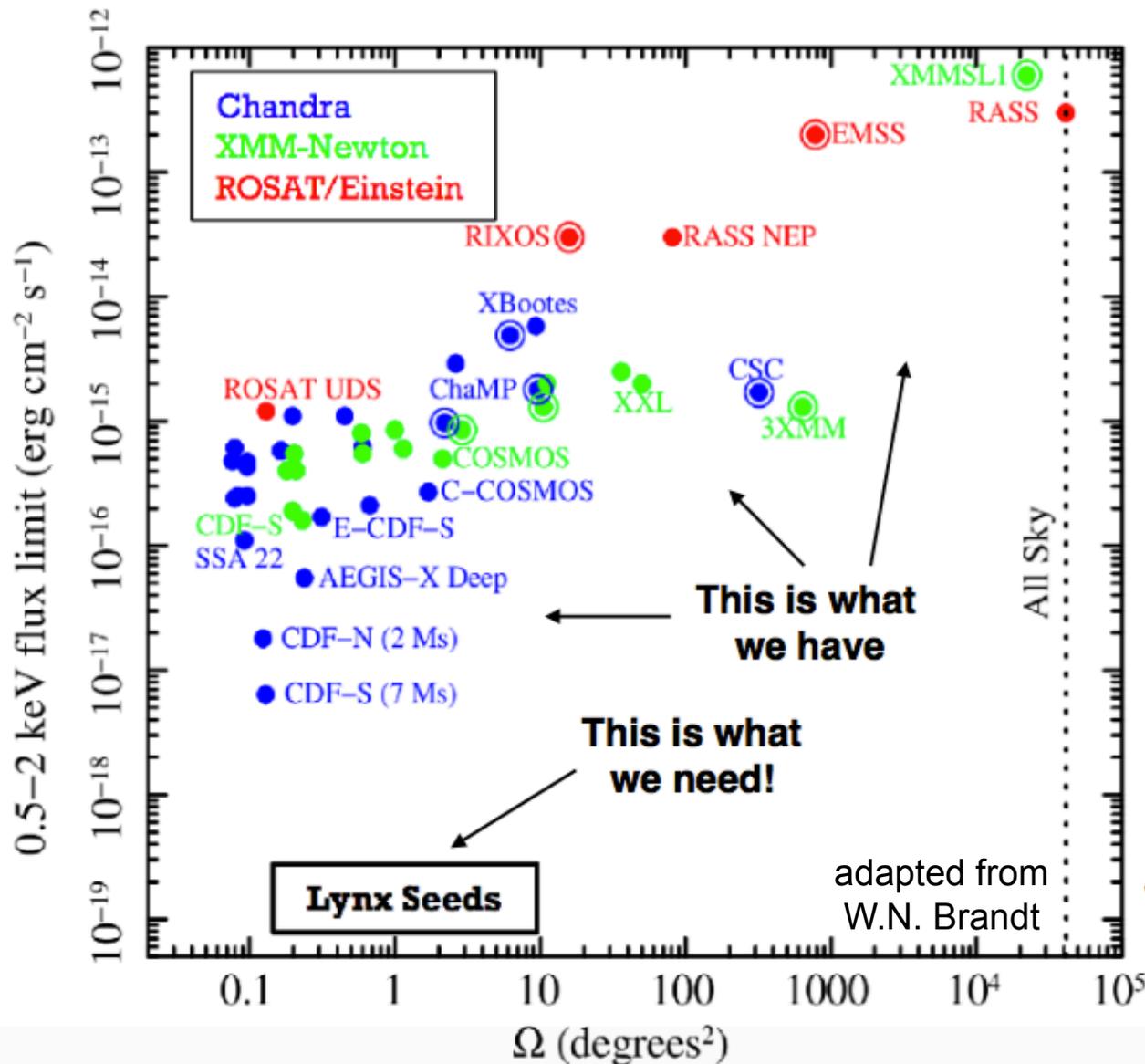
Athena, eROSITA, LSST, Euclid, JWST... then, hopefully, Lynx



see Aird+13,  
Reynes & Comastri 16

Athena (~2030): 400 QSOs at  $z > 6$  (half likely obscured)

# What's next. Hunting BHs at high redshift. II



Lynx:  
down to  $L_{\text{bol}} \approx 4 \times 10^{42}$   
erg/s at  $z=10$  (assuming  
 $k_{\text{bol}}=10$ )

Probing faint/obscured  
accretion at  $z > 6$

needs to go down to  
 $\approx 10^5 M_{\odot}$  at high  $z$   
(but contamination  
from galaxies and  
4Ms exposures  
needed, besides  
near-IR ID)

# What's next. Hunting BHs at high redshift. III.

## Known properties and expectations

| Property   | Known $z \sim 5 - 7$<br>quasars  | “Typical” AGN /<br>galaxies  |
|--|--|--|
| Luminosity, $L_{\text{bol}}$<br>Obscuration / selection                            | $\gtrsim 10^{46} \text{ erg s}^{-1}$<br>un-obscured / UV-opt.              | $\lesssim 10^{45} \text{ erg s}^{-1}$<br>$\sim 50\%$ obscured / X-ray                |
| SMBH mass, $M_{\text{BH}}$<br>Accretion rate, $L/L_{\text{Edd}}$<br>Accretion mode | $\sim 10^9 M_{\odot}$<br>$\sim 1$<br>thin disk, $\eta \gtrsim 0.1$         | $\sim 10^7 M_{\odot}$<br>$\sim 0.01 - 1$<br>(who knows, really?)                     |
| Implied BH seeds   | massive,<br>$M_{\text{seed}} \sim 10^{4-6} M_{\odot}$                      | stellar (pop-III),<br>$M_{\text{seed}} < 10^3 M_{\odot}$                             |
| Host mass, $M_{\text{host}}$<br>Host SFR   | $\sim 10^{10-11} M_{\odot}$<br>$\sim 100 - 3000 M_{\odot} \text{ yr}^{-1}$ | $\sim 10^{9-10} M_{\odot}$<br>$< 100 M_{\odot} \text{ yr}^{-1}$                      |
| Large-scale env.   | over-dense, mergers, outflows  | “normal”?  |
| Demographics   | rare! $\Phi \lesssim 10^{-7} \text{ Mpc}^{-3}$                             | common? $\Phi \gtrsim 10^{-5} \text{ Mpc}^{-3}$<br>( $\sim 10\%$ of galaxies? less?) |
| Future prospects   | <i>Euclid, Athena, WFIRST</i>  | <i>Lynx</i>  |

# On the realm of high-redshift AGN: a summary

- Where do we stand?
- ❑ Detection and identification of  $z \approx 6$  QSOs is challenging because they are rare
- ❑ Luminous unobscured QSO properties currently known: SED, X-ray emission, metallicity and  $M_{\text{BH}}$  similar to lower- $z$  QSOs
- ❑ Still missing the heavily obscured AGN at the highest redshift. Deep X-ray stacking limits the contribution of accretion in low-mass galaxies. Huge discovery field for next-generation facilities
- ❑ ALMA and NOEMA fundamental to place constraints to neutral/molecular gas, and the occurrence of feedback/outflows. Role of molecular gas in obscuration
- What are the progenitors (seeds) of high-redshift AGN? Where and when did they form? How  $z=6$  SMBH preceded galaxy formation?
- ❑ We need large number of AGN to constrain models (beyond degeneracies) and physics at high redshift, and good photon statistics to characterize them

**Discovery space for  $z > 5-6$  AGN and QSOs is huge**