Black Hole Binaries

From X-ray binaries to black hole binaries

X-ray binaries (XRBs) are referred to as the binary, X-ray emitting systems.

The compact, X-ray emitting source may be a WD, a NS or a black hole; in this last case, the system is called black hole binary (BHB).

Accretion is still the main driver of X-ray emission.



Most X-ray properties in common with systems with an accreting NS Black Hole Candidates (BHCs) among soft X-ray transients

How can we infer the presence of a Black Hole (it is *black*!)

One way is via gravitational effects on nearby stars (but only for the Galactic Center, SgrA*).

Another way via its "hot" accretion disc and consequent high-energy emission





Confirmed BHBs: statistics (I)

• About 20 BHBs + further 20 BHCs (candidates), where the presence of the black hole is suggested by e.g. the mass function and the X-ray spectral properties (soft thermal + hard power-law emission)



Confirmed BHBs: statistics (II)

Only 3	Table 16.1 Black-hole binaries confirmed with the mass function							
persistently X-ray bright	Source name		Yearª	Type ^b	f(M) (M_{\odot})	$M_{\rm x}$ (M_{\odot})	X-ray ^c spectrum	
BHBs, all being HMXBs	Cyg X-I			H, P	$0.244 {\pm} 0.005$	6.9-13.2	S + PL	
	LMC X-3 LMC X-1			Н, Р Н. Р	2.3 ± 0.3 0.14 ± 0.05	5.9–9.2 4.0–10.0	S + PL S + PL	
All BHBs have recurrent outbursts Likely, many more to be found (≥10 ⁸ BH remnants in the Galaxy, van	J0422 + 32	V518 Per	'92	L,T	1.19±0.02	3.2-13.2	PL	
	0620-003	V616 Mon MM Vel	'17, '75 '93	L, T L T	2.72 ± 0.06 3.17 ± 0.12	3.3-12.9 6.3-8.0	S + PL S + PI	
	J1118 + 480	KV Uma	2000	L, T	6.1 ± 0.3	6.5-7.2	PL	
	1124-684	GU Mus	'91	L,T	3.01 ± 0.15	6.5-8.2	S + PL	
	1543-475	IL Lup	71,87,97	L, I L, T	0.25 ± 0.01	>7.8 7.4–11.4	S + PL S + PL	
	J1550-564	V381 Nor	'98	L, T	6.86 ± 0.71	8.4-10.8	S + PL	
	J1650-500 J1655-40	V1033 Sco	2001 '94	L, T L. T	2.73 ± 0.56 2.73 ± 0.09	4-7.3 6.0-6.6	PL S + PL	
	1659-487	GX 339-4	(P~460d)	L,T	$5.8{\pm}0.5$	>5.8	S + PL	
den Heuvel 1992)	1705-250 11819 3-2525	V2107 Oph V4641 Ser	'77 '99	L, T L. T	4.86 ± 0.13 3.13 ± 0.13	5.6-8.3 6.8-7.4	S + PL S + PL	
If transients (e.g.,	J1859 + 226	V406 Vul	'99	L, T	7.4±1.1	7.6-12	S + PL	
due to disc	1915 ± 105 2000 ± 251	V1487 Aq1 OZ Vol	'92—	L, T L T	9.5 ± 3.0 5.01 \pm 0.12	10-18	S + PL S + PI	
bursts)	2000 + 231 2023 + 338	V404 Cyg	`38,`56,`89	L, T L, T	6.08 ± 0.06	10.1-13.4	PL	
BHBs are easier	^a The year of outburst, including earlier records as optical poyae,							

^a The year of outburst, including earlier records as optical novae.

to be found

Situation in 2007 (2008)

^b H: high-mass binary. L: low-mass binary: P: persistent. T: transient.

^c X-ray spectral type near the maximum luminosity. S: soft thermal. PL: power-law.

Object	X-ray binary class	Mass (M _O)	References
GRS 1915+105	LMXB/transient	$12.4^{+2.0}_{-1.8}$	Reid et al. (2014)
V404 Cyg		9.0 ^{+0.2} _{-0.6}	
BW Cir		>7.0	
GX 339-4		>6.0	
XTE J1550-564		7.8-15.6	
H1705-250		4.9-7.9	
GS 1124-684		$11.0^{+2.1}_{-1.4}$	Wu et al. (2016)
GS 2000+250		5.5-8.8	
A0620-00		6.6±0.3	
XTE J1650-500		4.0-7.3	
GRS 1009-45		>3.6	
XTE J1859+226		>5.42	
GRO J0422+32		>1.6	
XTE J1118+480		6.9-8.2	
XTE J1819.3-2525	IMXB/transient	6.4±0.6	Macdonald et al. (2014)
GRO J1655-40		5.4±0.3	
4U 1543-475		2.7-7.5	
Cyg X-1	HMXB/persistent	14.8 ± 1.0	
LMC X-1		10.9±1.4	
LMC X-3		7.0±0.6	Orosz et al. (2014)
M33 X-7		15.7±1.5	
MWC 656	HMXB/transient (?)	3.8-5.6	Casares et al. (2014)

Table 2 Black hole masses in X-ray binaries^a

^aAdopted from Casares and Jonker (2014) unless otherwise stated in the reference column. Lower limits for BW Cir, GRS 1009-45, XTE J1859 + 226, and GRO J0422 + 32 are based on the absence of eclipses, combined with updated determinations of the mass function and q (when available). The lower limit on GX 339-4 is based on the lack of X-ray eclipses plus constraints provided by the *K*-correction

Casares+2017







Casares+2017

Mass Function (I)



(i) v=projected orbital velocity i=orbital inclination, i.e., inclination angle between the orbital plane and the plane of the sky (i=0° means face-on)

(ii)

Mass Function (II)

$$a = a_C + a_X = a_C + \frac{M_C}{M_X}a_C = a_C \frac{M_C + M_X}{M_X}$$
 (iii)

(ii) + (iii)

$$G \frac{(M_C + M_X)M_X^3}{a_C^3 (M_C + M_X)^3} = G \frac{M_X^3}{a_C^3 (M_C + M_X)^2} = \left(\frac{2\pi}{P_{\text{orb}}}\right)^2$$

$$G\frac{M_X^3 \sin(i)^3}{a_C^3 (M_C + M_X)^2} = \frac{4\pi^2}{P_{\text{orb}}^2} \sin(i)^3 \rightarrow \frac{(M_X \sin(i))^3}{(M_C + M_X)^2} = \frac{4\pi^2}{GP_{\text{orb}}^2} (a_C \sin(i))^3$$
(iv)

(i) + (iv)

$$f(M) = \frac{(M_X \sin(i))^3}{(M_C + M_X)^2} = v_C^3 \frac{P_{\text{orb}}}{2\pi G}$$
Mass function=f(M)

Mass Function (III)

$$f(M) = \frac{M_X^3 (\sin i)^3}{(M_X + M_c)^2} = \frac{PK^3}{2\pi G}$$



X: compact source (accretor) C: companion source i: inclination of the binary orbit P: orbital period K: amplitude of the Doppler curve which provides the line-of-sight component of the radial velocity= $K_C = V_{C,proj}$

P and K are optical measurable quantities from the radial velocity curve (using the Doppler frequency shift of the spectral lines as a function of time/orbital phase)

Application of the III Kepler's law

The mass function sets a lower limit to the real mass of the compact object

Mass Function (IV)

$$f(M) = \frac{M_X^3 (\sin i)^3}{(M_X + M_c)^2} = \frac{PK^3}{2\pi G}$$

$$q = \frac{M_C}{M_X} \text{ mass ratio} \rightarrow f = \frac{M_X^3 (\sin i)^3}{(qM_X + M_X)^2} = \frac{M_X (\sin i)^3}{(q + 1)^2}$$
$$\Rightarrow M_X = f \frac{(q + 1)^2}{(\sin i)^3} \rightarrow M_X > f(M)$$

→ If $f(M)>3 M_{\odot}$, then the compact object should reasonably be a black hole







"Internal" structure of BHBs

Spectra (basics)



dL = F(E) dE = EF(E) dE/E = EF(E) dlog E

(Credits: C. Done)

The accretion disc



- Friction between adjacent layers which converts gravitational potential energy of the accreting matter into radiation
- Gas in differential rotation, viscosity transports angular momentum outward, while matter is driven inward
- Multi-color blackbody (MCD) emission=BB from layer at different temperatures

The accretion disc spectrum: multi-color blackbody



Log v

Accretion disc + corona modeling



Optically-thick part of the accretion disk emits thermal spectrum... black body radiation with

$$T = \left(\frac{3GM\dot{M}}{8\pi r^3\sigma_{\rm SB}}\right)^{1/4}$$

T(r) prop. M^{-1/4} (see calculations later in this presentation)

X-ray "tail" probably comes from a hot corona that sandwiches the disk... inverse Compton scattering of thermal disk emission by electrons with T~10⁹K

Similarities with accretion onto super-massive black holes in AGN



Overview of the central region of GBHCs/AGN



Spectrum:

- Direct Continuum (PL + MCD)
- Reflection (Comptonized PL)
- Reprocessed (via photoionization) (emission lines; neutral Fe Ka @ 6.4 keV or ionized)

Timing:

- Broad-band/Intrinsic Variability
- Local Variability (QPOs)



X-ray reflection

Important consequence of corona: underlying disk is irradiated by intense X-ray source... results in a characteristic spectrum being "reflected" from the disk surface layers

NAME OF THE



Relativistic effects





X-ray spectral states of BHBs

Very High State (VHS) Steep PL State

PL emission dominant (Γ≈2.5) from thermal Comptonization, up to high energie (≈MeV)
High-frequency QPOs
At high Mdot, from thin to thick (slim) disc (Mdot>>L_{Edd}/c²)

High State (HS)

• Mostly thermal emission from inner accretion disc (MCD)

 Faint Г≈2.5 PL emission due to non-thermal

Comptonization

Absent/very weak QPOs

Low State (LS)

- Hard PL dominant (Γ≈1.4−2.1, *thermal Comptonization*) + high-E cut-off
- T_e≈100 keV, _{Te}≈1
- Presence of a *steady jet*
- QPOs present/absent

INTERPLAY DISC-CORONA

- In the soft/high state, the disc is very close to the last marginally stable Keplerian orbit → no "room" for the corona to Comptonize soft X-ray photons
- In the hard/low state, the accretion disc is probably truncated at larger radii
- Thermal emission is less variable than PL emission





Done 2010; see also Gierlinski & Done 2003

- HS (high/soft): disc dominated looks like a disc but small tail to high energies (L prop. T⁴)
- □ Very high/intermediate states at least know something about a disc
- □ LH (low/hard) state looks really different, not at all like a disc!

Summary of the main spectral states

New State Name					
(Old State Name)	Definition of X-Ray State ^a				
Thermal HS	Disk fraction $f^b > 75\%$				
(High/Soft)	QPOs absent or very weak: $a_{\max}^{c} < 0.005$				
	Power continuum level $r^d < 0.075^e$				
Hard	Disk fraction $f^b < 20\%$ (i.e., Power-law fraction > 80%)				
(Low/Hard) LH	$1.4^{f} < \Gamma < 2.1$				
	Power continuum level $r^d > 0.1$				
Steep Power Law (SPL)	Presence of power-law component with $\Gamma > 2.4$				
(Very high) VH	Power continuum level $r^d < 0.15$				
VII	Either $f^b < 0.8$ and 0.1–30 Hz QPOs present with $a^c > 0.01$				
	or disk fraction $f^b < 50\%$ with no QPOs				

Table 2 Outburst states of black holes: nomenclature and definitions

- □ HS = thermal, high disk fraction
- □ LH = hard emission, low disk fraction
- □ VHS = steep power law: sort of intermediate state with both components



Significant radio-X-ray anti-correlation in the two 'main' spectral states

Spectral states



Spectral states mainly due to different disc/corona geometry and their interplay Inefficient radiation (ADAF?) in quiescent state (outer disc as merely a store of matter) **Slim disk**: the disk is radiation pressure-dominated and becomes 'thick' (geometrically+optically, advecting the accretion energy with the flow. Spectrally: broader, less-peaked disc spectrum (as in ULXs)





Discs and jets (disc-jet coupling)



(from Fender et al. 2004; Remillard and McClintock 2007)



Radio (steady) jets during the low/hard state (no disc accretion) Radio ≈100 times lower in the high/soft state (disc-dominated phase) In some cases, faster radio jets in the hard-to-soft transition due to blob emission and likely shoks

Hardness – intensity diagram

- Outburst starts hard, source stays hard as source brightens
- Then source softens to intermediate/very high state/steep power law state → major hard-soft state transition
- Then the source is in the disc-dominated state, then hardens to make transition back to low/hard state hysteresis as generally at lower L



Unified model for jets in BH binaries



Remillard 2005



Feedback $P_{\rm spin} + P_{\rm accretion} = L_{\rm bol} + L_{\rm kin} - L_{\rm advected}$ 'Input' powers (from BH spin and mass accretion) $L_{\rm kin} = L_{\rm jet} + L_{\rm wind}$ $P_{\rm accretion} = \frac{GM\dot{m}}{R} = \eta \ \dot{m} \ c^2$ Bolometric radiative power P_{acc}≥0

 P_{spin} can be positive/negative (spin energy, accreting BHs can gain E_{spin}) – refer to spinup/down in NSs/X-ray PSRs

Amount of available power advected across the BH event horizon (EH)

Most of the available accretion energy is 'trapped' in hot ions which cross the EH before they can radiate

Support from observations





AGN/BHCs "fundamental plane"

Unified model for BHB and low-power AGN



A unified scheme for accretion

- The accretion flow and disc form a coupled jet-disc system, with both components always present
- II) Below a certain critical accretion rate (≈0.01 Edd. accretion rate), the inner part of the accretion flow becomes radiatively inefficient (low emission, ADAF)
- III) Below this critical Mdot, or for face-on orientation (relativistic beaming), the jet emission (mostly radio/IR) dominates the emission from the accretion flow (X-rays, with maybe some contribution from the jet via synchrotron)
 - ➔ jet-dominated accretion flow
 - Near-Eddington, black holes are *disc-dominated* (with production of winds), while at sub-Eddington rates, black holes are *jet-dominated* (not thermally dominated)
 - → Iow-state binaries ≅ BL Lacs, FRIs, LINERS

One prediction is that the region of the onset of particle acceleration in the jet is at \approx 100–1000 R_G





Winds in high/soft state



$$\dot{M} = 4\pi R^2 n m_p v_{out} \frac{L_X}{\xi} \frac{\Omega}{4\pi} \text{ Mdot~10^{19} g/s} L_W \sim 10^{35} \text{ erg/s}$$

 $\begin{array}{l} V_{out}: \mbox{ wind outflow} \\ \xi: \mbox{ ionization parameter (from abs. lines)} \\ \Omega: \mbox{ solid angle subtended by the wind} \\ Thermal pressure to launch, then radiative and magnetic pressure} \end{array}$

The mass outflow rate carried out by these winds may be higher than the inner accretion rate

→ Responsible for the quenching of the jet?

Ponti et al. 2012



Winds revealed via blueshifted iron features



Adapted from Neilsen et al. 2012 - see also Fender & Munoz-Darias 2016

Some "real" X-ray spectra

Soft vs. hard state in BHBs





Soft (high) state thermal disk emission + hard tail

Hard (low) state hard X-ray spectrum, little thermal disk

Quasi periodic oscillations in BHBs

Main properties of QPOs in BHBs

Low-frequency QPOs (LFQPO: 0.1–30 Hz): tied to the flow of matter in the AD $v_{LFQPO} \ll v_{Kepl,disc} \Rightarrow R \approx 100 R_g$

Typically they are stable and persistent

High-frequency QPOs (HFQPO: 40-450 Hz): tied to R≈R_{ISCO} stable, do not shift in frequency (vs. NS) 3:2 pairing in frequency (resonance)
→models explains such QPOs if the BH is rapidly spinning (Kerr BH) → all sources with such QPOs have jets
Transient and subtly variable

Possible models: global disk oscillations, radial oscillations associated with spiral shocks, oscillations in the region separating the cool disk from the hot corona

QPOs in BHBs and BHCs



BHBs vs. NS in the same state

High-state spectra of BHBs (thermal + PL tail)



High-state spectra of NS (MCD+BB component)



- NS have an additional ≈2 keV blackbody emission probably linked to the cooling of the NS surface (BH have no surface!) If not observed, possible obscuration by the corona
- No Type I bursts in BHBs

• kT_{in} prop $M_X^{-1/4} \rightarrow NS$ slightly "hotter" than BH (easier to appreciate BHs vs. SMBHs difference)

Broad (relativistic) iron lines

Broad (relativistic) iron Kα lines in BHCs



Miniutti et al. (2004)



and in NS LMXBs

LMXBs with Suzaku observations



How luminous can an accreting black hole be?

ASSUMPTION: spherically symmetric steady-state radial infall of ionized H



$$P_{\rm rad} = \frac{F_{\rm rad}}{c} = \frac{L}{4\pi R^2 c}$$

Outward momentum flux = pressure



Outward radiation force on a single electron

$$F_{\text{grav}} = \frac{G(m_p + m_e)M}{R^2} \approx \frac{Gm_pM}{R^2}$$

Gravitational energy acting on a proton

Eddington luminosity (limit) max luminosity allowed under the defined assumptions

$$F_{\rm rad} \le F_{\rm grav} \rightarrow \frac{L\sigma_T}{4\pi R^2 c} \le \frac{GMm_p}{R^2} \rightarrow L \le \frac{4\pi Gcm_p}{\sigma_T} M$$
$$\rightarrow L_{\rm Edd} = 1.26 \times 10^{38} \left(\frac{M}{M_{\rm sun}}\right) \, {\rm erg/s}$$

there are cases where this luminosity is likely exceeded

Accretion luminosity and accretion rate

Accretion luminosity: luminosity released by gravitational energy due to gas falling onto a star/compact object with mass M and radius R

$$L = \frac{\dot{GMM}}{R} = \eta \dot{M}c^2$$

 $\eta\text{=}\text{efficiency}$ of the conversion of gravitational energy into radiation

$$L_{\rm Edd} = \frac{4\pi G cm_p M}{\sigma_{\rm T}} = \frac{GMM_{\rm Edd}}{R} \rightarrow \dot{M}_{\rm Edd} = \frac{4\pi cm_p}{\sigma_{\rm T}}R$$
$$\rightarrow \dot{M}_{\rm Edd} \approx 1.5 \times 10^{-8} \left(\frac{R}{10 \text{ km}}\right) M_{\rm sun}/\text{yr}$$

[cgs system] $m_p=1.7 \times 10^{-24} \text{ g}$ $M_{\odot}=2 \times 10^{33} \text{ g}$ $\sigma_T=6.65 \times 10^{-25} \text{ cm}^2$ G=6.67 × 10⁻⁸ cm³/g/s²

All calculations

$$\dot{M}_{Edd} = \frac{4\pi cm_p}{\sigma_T} R = \frac{12.56 \ 3 \ 10^{10} (\text{cm/s}) \ 1.7 \ 10^{-24} (\text{g})}{6.65 \ 10^{-25} (\text{cm}^2)} R =$$

$$= 9.63 \ 10^{11} \ \text{R} \ (\text{g/cm s}) = 9.63 \ 10^{11} \ \left(\frac{R}{10 \ \text{km}}\right) \ 10^6 (\text{cm}) \ \frac{3600 \times 24 \times 365 \ (\text{s})}{2 \ 10^{33} \ (\text{g})} \ [M_{\text{sun}}/\text{yr}] =$$

$$= \dot{M}_{Edd} \approx 1.5 \times 10^{-8} \left(\frac{R}{10 \ \text{km}}\right) \ [M_{\text{sun}}/\text{yr}]$$

Accretion rate in a super-massive black hole (I)

$$\begin{split} &L_{\rm Edd} = \eta \,\dot{M} \,c^2 = 1.3 \times 10^{38} \left(\frac{M}{M_{\rm sun}}\right) \,{\rm erg/s} \\ &\eta_{0,1} = \eta \,/ \,0.1 \\ &{\rm erg=g \ cm^2/sec^2} \\ &\frac{g}{s} = \frac{M_{\rm sun}}{2 \times 10^{33}} \times \frac{365 \times 24 \times 3600}{\rm yr} = 1.58 \times 10^{-26} \ \frac{M_{\rm sun}}{\rm yr} \\ &\rightarrow \dot{M}_{\rm Edd} = \frac{L_{\rm Edd}}{\eta c^2} = \frac{1.3 \times 10^{38} (M \,/ \,M_{\rm sun})}{0.1 \times \eta_{0,1} \times 9 \times 10^{20}} \left[\frac{{\rm erg/s}}{{\rm cm^2/s^2}} \right] = \\ &= \frac{1.44 \times 10^{18}}{\eta_{0,1}} \left(\frac{M}{M_{\rm sun}} \right) \left[g/s \right] = \frac{1.44 \times 10^{18}}{\eta_{0,1}} \times 1.58 \times 10^{-26} \ \left[\frac{M_{\rm sun}}{\rm yr} \right] = \\ &\approx \frac{2.2 \times 10^{-8}}{\eta_{0,1}} \ \left[\frac{M_{\rm sun}}{\rm yr} \right] = 2.2 \ M_8/\eta_{0,1} \ \left[M_{\rm sun}/\rm{yr} \right] \\ &\rightarrow \dot{M}_{\rm Edd} = 2.2M_8/\eta_{0,1} \ \left[M_{\rm sun}/\rm{yr} \right] \end{split}$$

Accretion rate in a super-massive black hole (II) Eddington time

Eddington time: time taken by a body to radiate its entire mass at the Eddington rate

$$\dot{M}_{\rm Edd} = \frac{M}{t_{\rm Edd}} \rightarrow t_{\rm Edd} = \frac{M}{\dot{M}_{\rm Edd}} = \frac{M}{2.2M_8/\eta_{0.1}} = \frac{10^8}{2.2}\eta_{0.1} = 4.5 \times 10^7 \eta_{0.1} \,\,{\rm yr}$$

Rate of increase in mass for a black hole

$$\frac{dM}{dt} = \dot{M}_{Edd} = \frac{M}{t_{Edd}} \rightarrow \int_{M_0}^{M} \frac{1}{M'} dM = \frac{1}{t_{Edd}} \int_{t_0}^{t} dt' = \frac{1}{t_{Edd}} (t - t_0)^{t_0 = 0} \frac{t}{t_{Edd}}$$
$$\rightarrow \ln(M) - \ln(M_0) = \frac{t}{t_{Edd}} \rightarrow M = M_0 e^{(t/t_{Edd})}$$

 M_0 is often referred to as the mass of the **BH seed** (see lesson on the high-redshift AGN at the end of the course and the topic concerning the nature of their progenitors)

T(R) in accretion discs (I)



Half of the $E_{\mbox{\tiny qrav}}$ is radiated, half goes into heating of the gas

$$L = 2\pi R^2 \sigma_{SB} T^4$$

πR²=area of the disc (×2: both surfaces) Stefan-Boltzmann $\sigma_{SB}T^4$: flux passing through the surface





see also Done 2010, arXiv:1008:2287

All calculations

$$T = \left(\frac{3GM\dot{M}}{8\pi\sigma_{SB}}\right)^{1/4} \left(\frac{R}{R_S}\right)^{-3/4} \left(\frac{2GM}{c^2}\right)^{-3/4} =$$

$$= \left(\frac{3G}{8\pi\sigma_{SB}}\right)^{1/4} \dot{M}^{1/4} \dot{M}^{1/4} \left(\frac{R}{R_S}\right)^{-3/4} \left(\frac{c^2}{2G}\right)^{3/4} \dot{M}^{-3/4} =$$

$$= \left(\frac{3GG^{-3}c^6}{8\pi\sigma_{SB}2^3}\right)^{1/4} \dot{M}^{-1/2} \dot{M}^{1/4} \left(\frac{R}{R_S}\right)^{-3/4} =$$

$$= \left(\frac{3G^2c^6}{8\pi\sigma_{SB}2^3}\right)^{1/4} \dot{M}^{-1/2} \dot{M}^{1/4} \left(\frac{R}{R_S}\right)^{-3/4} =$$

$$= \left(\frac{3c^6}{64\pi\sigma_{SB}G^2}\right)^{1/4} \dot{M}^{-1/2} \dot{M}^{1/4} \left(\frac{R}{R_S}\right)^{-3/4} =$$

T(R) in accretion discs (II)

T_{BB}(**R**)≈**M**^{-1/4}: thermal (disk) emission mostly emitting in UV for AGN (*big blue bump*), in soft X-rays in BHBs

T(R) in accretion discs (III)

- Disc annuli not blackbody too hot, so little true opacity. Compton scattering important.
- Modified blackbody (Shakura & Sunyaev 1973)
- Describe by colour temperature f_{col}
- Relativistic smearing effects on the spectra at each radius

as already seen in the lesson on accreting NS with discs

