# Instruments for High-Energy Astrophysics (part I)

partly adapted from V. Fioretti's and A. Bulgarelli's presentations

#### The ideal detector for satellite-born X-ray astronomy

It would possess high spatial resolution, with a large useful area, excellent temporal resolution with the ability to handle large count rates, good energy resolution with unit quantum efficiency over a large bandwidth.

Its output would be stable on timescales of years and its internal background of spurious signals would be negligibly low.

It would be immune to damage by the in-orbit radiation environment and would require no consumables.

It would be simple, rugged, and cheap to construct, light in weight and have a minimal power consumption.

It would have no moving parts and a low output data rate.

(X-ray Detectors in Astronomy by G. W. Fraser)

# Angular resolution



Orion: ROSAT HRI (47 ks)

Orion: Chandra ACIS (13.7 ks)

# Bandwidth

Characteristic Seyfert 1 X-ray Spectrum



 Observations in the "canonical" 2-10 keV band is not optimal to provide a complete characterization of the source properties and emission mechanisms at work

 The 0.1-300 keV band provided by BeppoSAX has been unique to provide a broad-band view of the sources

# Bandwidth + high photon statistics

BeppoSAX spectra of 3C 273 and Mkn 3  $\,$ 



### The electromagnetic spectrum. I.



#### The electromagnetic spectrum. II.

- Atmospheric absorption  $\rightarrow$  Astrophysics from the space
- First detection of cosmic  $\gamma$ -rays: Explorer XI, 1961, ~100 photons



X-ray +  $\gamma$ -ray bands

Wavelength

## The electromagnetic spectrum. III.

E > 10 GeV (Very High Energy γ-rays): interaction of with the atmosphere producing Cherenkov radiation (observable from the ground)



Wavelength

# X-ray/γ-ray telescopes

- Collimation systems/coded-masks/focusing photons with current X-ray optics
- Detectors: primary photons interact with the detector and originate an electric signal
- Shielding the background: active vs. passive systems
- Different materials/layouts depeding on the scientific objectives of a mission: spectral/spatial resolution, energy coverage, satellite orbit, etc.

# X-ray/γ-ray detectors

Interaction of the primary photon with the detector

Production of secondary particles (photons, e<sup>-</sup>, e<sup>+</sup>, etc.), then conversion into an electric signal

Amplitude of the signal: spectroscopy Position of the interaction: imaging



# Radiation-matter interaction at high energies: basic notions

# Radiation-matter interaction. I

#### MAIN PROCESSES:

- Photoelectric absorption
- Elastic scattering (Thomson, Rayleigh) and inelastic scattering (Compton)
- Pair production

At *different energies* and for *different materials*, the probability of a particular process of interaction changes. This probability is given by the **cross section** 





#### **Radiation-matter interaction through three main processes**





Primary competing processes and some radiative and non-radiative decay processes

# Radiation-matter interaction. II Absorption processes



#### Photoelectric absorption or photoionization:

Atomic electrons are removed from their nuclei. Dominant below 100 keV

#### **Compton scattering:**

Electrons are hit by photons and absorb part of the energy. Dominant in the 0.1 - 4 MeV region

#### **Pair creation:**

In the presence of nuclei, gamma rays can produce pairs of particles and antiparticles

# Radiation-matter interaction. III

The interaction between the radiation (photons) and the material of the detector may provide the following information:

- Energy of the incoming radiation
- Sky position
- Arrival time
- Polarization

These are needed to provide spectra, imaging, light curves for source variability, ...

# Radiation-matter interaction. IV The photoelectric effect

**Photon-atom interaction**: a photon is completely absorbed and its energy is transferred to one of the electrons of the atom. This electron becomes free and is emitted by the atom (the so-called photo-electron) or, alternatively, can go to a more external shell.

The kinetic energy of the electron is given by the difference between the energy of the incoming photon and the bounding energy of the electron.

About 80% of the absorptions in the X-ray band happen in the innermost K shell, with the emission of an electron of that shell.



# Radiation-matter interaction. V The photoelectric effect

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photoelectric cross section

elements are preferred

$$E_{e-} = h\nu - E_b$$
higher Z elements are preferred  
to maximize this effect  
binding energy of the electron  
energy of the incoming (absorbed) photon

kinetic energy of the photo-electron

## Radiation-matter interaction. VI The photoelectric effect

As a consequence of the interaction, the atom is an excited state  $\rightarrow$  electrons rearrange from the external shells and *either* 

• an X-ray photon (**fluorescence**), typically corresponding to Kα emission, is emitted. Its energy is the difference in energy between the two involved shells, *or* 

• an electron from an external shell (**Auger electron**) is emitted. Its energy is equal to the difference between the energy of the two shells and the ionization energy of the Auger electron.

The probability is given by the *fluorescence yield*:  $Y_7^K \approx \frac{N_K}{N_K}$ 

 ← number of K-shell X-ray photons emitted
 ← number of primary vacancies



Radiation-matter interaction. VII Electron scattering

**Thomson scattering:** 

$$h\nu << m_e c^2$$

- Elastic scattering
- The photon energy is preserved
- It happens when  $h_V << m_e c^2$

**Compton scattering:** 

$$h \mathbf{v} \approx m_e c^2$$

- Anelastic scattering
- The photon loses energy, which is transferred to the e<sup>-</sup>
- It happens when  $hv \approx m_e c^2$

Radiation-matter interaction. VIII Thomson scattering



The process is "regulated" by the **Thomson cross section**:

$$\sigma_T = (8/3)\pi \ r_e^2 = (8/3)\pi \ (e^2/m_ec^2)^2 = 6.65 \ 10^{-25}cm^2$$
radius of the electron

Radiation-matter interaction. IX Compton scattering (diffusion)

Here the photon after the interaction has a longer wavelength (i.e., lower frequency, hence energy) wrt. the incident photon



Energy of the photon before interaction: hv Energy of the photon after interaction: hv' Rest energy of the electron:  $m_ec^2$  (511 keV)

$$h\nu' = \frac{h\nu}{1 + \frac{h\nu}{m_0 c^2} (1 - \cos\theta)}$$

Energy transmitted to the electron (recoil):

$$E_{e^-} = h\nu - h\nu' = h\nu \left(\frac{\frac{h\nu}{m_0c^2}(1 - \cos\theta)}{1 + \frac{h\nu}{m_0c^2}(1 - \cos\theta)}\right)$$





higher energy  $\rightarrow$  more "directional" radiation

The angular distribution of the diffuse high-energy photons is given by the Klein-Nishina differential cross section

$$\frac{d\sigma}{d\Omega} = Zr_0^2 \left(\frac{1}{1+\alpha(1-\cos\theta)}\right)^2 \left(\frac{1+\cos^2\theta}{2}\right) \left(1+\frac{\alpha^2(1-\cos\theta)^2}{(1+\cos^2\theta)[1+\alpha(1-\cos\theta)]}\right)$$

where  $\alpha = hv/m_0c^2$ .  $\sigma_{K-N} = \sigma_T$  when  $E_{\gamma} < < m_ec^2$ 

Decreasing cross section at increasing energies as  $\approx$ (1/E)

Low-energy (e.g., visible) light will scatter over all angles X-rays will scatter preferentially forward (but back-scattering may occur) Higher energy (≈MeV) photons will rarely back-scatter



**Figure 2-19** A polar plot of the number of photons (incident from the left) Compton scattered into a unit solid angle at the scattering angle  $\theta$ . The curves are shown for the indicated initial energies.

Radiation-matter interaction. X Compton backscattering

- $\theta=0^{\circ} \rightarrow hv'=hv, E_{e}=0$
- θ=180° → maximum energy transferred to the electron (hence, deposited on the detector) Backscattering

$$E_{e^{-}}|_{\theta=\pi} = h\nu \left(\frac{2h\nu/m_{0}c^{2}}{1+2h\nu/m_{0}c^{2}}\right)$$

Energy of the backscattered photon:

$$h\nu'\big|_{\theta=\pi} = \frac{h\nu}{1+2h\nu/m_0c^2}$$

## Radiation-matter interaction. XI Pair production

- Energetically possible when  $E_{\gamma}$ >1.02 MeV
- The photon interacts with the electric field of the atomic nucleus and produces (is 'converted') into an *electron-positron pair*, which takes all the 'excess' energy
- The e<sup>+</sup> annihilates with an e<sup>-</sup> of the absorber and two γ-ray photons of 511 keV each are emitted in opposite directions
- Dominant process above 10 MeV (depending on the Z of the material)



#### Probability of interaction



## Radiation-matter interaction. XII Cross section and attenuation coefficient

The **cross section**  $\sigma$  is the area which is "offered" by the material to the photon for the interaction, and represents the probability of occurrence of a given interaction



µ=linear attenuation coefficient [cm<sup>-1</sup>] =σ×n (n: density of the material [cm<sup>-3</sup>])

probability of interaction of the photon with the material

I=output flux x: thickness of the material

Free mean path:  $\lambda = 1/\mu$ =mean path of the photon before interaction





Radiation-matter interaction. XIII Cross section and attenuation coefficient

 µ/p=mass attenuation coefficient [cm<sup>2</sup>/g]: capability of a material of absorbing/scattering photons of a given energy per mass unit



The attenuation coefficient (linear, mass) of a material is the sum of the probabilities of the attenuation coefficients of the three possible mechanisms of interaction (each contributing mostly in a given energy range) (see http://physics.nist.gov/PhysRefData/Xcom/html/xcom1.html)



#### Radiation-matter interaction. XIV Cross section and attenuation coefficient

Silicon Z=14

Thallium Z=81





## Radiation-matter interaction. XV Parameter determination of the incoming photon

#### **Determination of energy and position**



The X-ray photon interacts directly with the material of the detector via ionization (or photoionization)

The electrons thus produced collide with the atoms producing further electrons

The final outcome is an electric signal whose voltage is **proportional** to the energy deposited by the incoming photon

Esamples: proportional counters, solid-state semiconductors, scintillators...

The γ-ray photon interacts with a tracker which produces electrons/positrons via pair production and Compton scattering

**INDIRECT** 

The secondary particles are traced and absorbed

The study of the traces left by e-/e+ and of their energy allows the *indirect* reconstruction of energy, arrival time and position of the original photon

Esamples: Si tracker + calorimeter

## Radiation-matter interaction. XVI How detectors work



Figure 9: "Small" detector



Figure 10: "Large" detector



Figure 11: Intermediately sized detector

### Radiation-matter interaction. XVII Ideal detector



Figure 10.3 The "large detector" extreme in gamma-ray spectroscopy. All gammaray photons, no matter how complex their mode of interaction, ultimately deposit all their energy in the detector. Some representative histories are shown at the top.

F. Knoll

## Radiation-matter interaction. XVIII 'Real-life' detector



The photon interacts also with the detector but also with the surrounding material. Fluorescence photons from it can significantly limit the detection of the source signal

**Figure 10.6** Influence of surrounding materials on detector response. In addition to the expected spectrum (shown as a dashed line), the representative histories shown at the top lead to the indicated corresponding features in the response function.

## Radiation-matter interaction. XIX Continuum and Compton shoulder



Compton continuum (CC): a continuum of energy can be transferrred to electrons, hence to the detector. The CC is 'averaged' over all angles The energy deposited in the detector (Ee-) is the difference between the incident and the scattered photon energy: Ee-=hv-hv', where Ee- can take any value between 0 and  $E|_{\theta=\pi}$ 

**E**<sub>c</sub>=separation between the energy of the incident photon (photopeak) and the energy of the **Compton edge (\theta=\pi)**, related to the Compton shoulder:

$$E_{C} \equiv h\nu' = h\nu - E_{e-}\Big|_{\theta=\pi} = \frac{h\nu}{1 + 2h\nu / m_{0}c^{2}}$$

$$h\nu > m_{0}c^{2}/2 \qquad \qquad E_{C} = \frac{m_{0}c^{2}h\nu}{m_{0}c^{2} + 2h\nu} \Rightarrow \frac{m_{0}c^{2}h\nu}{2h\nu} = \frac{m_{0}c^{2}}{2} \quad (\cong 256 \text{ keV}) \text{ if } (2h\nu) \rangle m_{0}c^{2})$$

### Radiation-matter interaction. XX Single- and double-escape peaks



## Radiation-matter interaction. XXI Single- and double-escape peaks



Case of intermediate-size detector (Knoll)

Photo-peak (full-energy peak): all photoelectric events remain in the detector and produce an energy deposit at the energy of the incoming photon

Single-escape peak: one annihilation photon (i.e., produced by positron annihilation) leaves the detector without further interaction

Double-escape peak: both annihilation photons leave the detector (escape)

### Radiation-matter interaction. XXII Final spectrum



High-Energy Spectroscopic Astrophysics, SAAS-Fee Adv. Course 30, 2000

Detecting signals in the X-ray and γ-ray band. Some parameters of interest: angular and spectral resolution, sensitivity, SNR

# Effective area. I



- Effective area in cm<sup>2</sup>
- **Geometric area** 'cross section' of the telescope
- **Reflectivity** fraction of photons reflected by the mirrors
- Vignetting fraction of photons lost as a function of the distance wrt. the optical axis
   (θ)
- **Quantum Efficiency** fraction of incident photons registered by the detector. (x,y) represents the position on the detector

The effective area represents the capability of the telescope+detector to collect photons

### Effective area. II



#### XMM-Newton on-axis effective area (all instruments)

Energy [keV]

# Vignetting



More challenging to focus hard X-ray photons

# Vignetting and offset



# Mirrors and PSF (angular resolution). I



# Mirrors and PSF (angular resolution). II

110 arcsec



XMM-*Newton* EPIC camera (pn, MOS1, MOS2) on-axis PSF MOS2 in particular is far from being Gaussian



 $\label{eq:XMM-Newton pn+MOS1+MOS2 3Ms exposure in the CDF-S} Sources at large off-axis (far from the center) appear larger because of the PSF degradation as a function of <math display="inline">\theta$ 

#### Mirrors and PSF (angular resolution). III



Angular resolution is often 'replaced' by the **encircled energy fraction**, i.e. the fraction of photons collected within a given aperture (extraction radius). It depends on the energy and the position  $(x,y, \theta)$  in the detector. It is also referred to as the bi-dimensional PSF

# Spectral (energy) resolution. I

#### Capability of discriminating photons at at different energies (i.e., features at close energies)





Figure 4.4 Examples of response functions for detectors with relatively good resolution and relatively poor resolution.

H<sub>0</sub>=pulse proportional to the number of charge carriers (photons)=KN

$$R_{Poisson} = \Delta \lambda / \lambda = \frac{FWHM}{H_0} = \frac{2.35\sigma}{KN} = \frac{2.35K\sqrt{N}}{KN} = 2.35/\sqrt{N}$$

$$F = Fano \ factor: \ not \ a \ purely Poissonian \ distribution$$

$$F = Fano \ factor: \ not \ a \ purely Poissonian \ distribution$$

$$Energy \ (spectral) \ resolution \ depends \ on \ the \ inverse \ square root \ of \ the \ number \ of \ charge \ carriers$$

## Spectral (energy) resolution. II

Situation can be more complex when all the relevant terms concurring to the energy resolution are properly considered

$$R^{2} = R_{np}^{2} + R_{inh}^{2} + R_{tr}^{2} + R_{lim}^{2}$$

- R<sub>np</sub> = in some scintillators, the number of emitted photons can be not proportional to the deposited energy
- R<sub>inh</sub> = crystal inhomogeneity inducing local light efficiency variations
- R<sub>tr</sub> = light collection efficiency
- $R_{lim} = 2.35^* [(1 + \sigma_{PM})/N_{phe}]^{1/2}$ , where:
  - $\sigma_{PM}$ = PMT gain variance
  - N<sub>phe</sub> = no of photo-e- emitted by PMT

### Spectral (energy) resolution. III

Energy resolution depends on the energy of the photons and on other stuff



#### Spectral (energy) resolution. IV

Energy resolution depends on the energy of the photons and on other stuff



Kaspi et al. 2002 - NGC 3783 - Sey 1 Chandra, grating observation

#### Sensitivity and signal-to-noise ratio. I

#### Sensitivity: minimum detectable flux

(continuum emission: photons/cm<sup>2</sup>/s/keV; line emission: photons/cm<sup>2</sup>/s)

- C<sub>s</sub>= source counts
- **C**<sub>bkg</sub>= background counts

$$SNR = n_{\sigma} = \frac{C_S}{\sqrt{C_S + C_{Bkg}}}$$

$$S = (S + B) - B \longrightarrow \sigma_s^2 = \sigma_{S+B}^2 + \sigma_B^2 =$$
$$= (\sqrt{(S + B)})^2 + (\sqrt{B})^2 = S + B + B = S + 2B$$
$$SNR = S/\sigma_s = S/\sqrt{(S + 2B)}$$

#### Sensitivity and signal-to-noise ratio. II

S=ε A T ΔE 
$$F_{src}$$
  
B= A T ΔE  $F_{bkg}$ 

 $\epsilon$ =efficiency in source count detection A=effective area T=exposure time  $\Delta E$ =observing band F<sub>src</sub>=source flux F<sub>bkg</sub>=background flux

B<<ε F<sub>src</sub> source dominates the signal

$$SNR = \frac{S}{\sqrt{S+2B}} \approx \sqrt{S} \propto \sqrt{F_{src}T}$$

 $\mathbf{V} \mathbf{A} \cdot \mathbf{I} \cdot \Delta \mathbf{E}$ 

B>>ε F<sub>src</sub> back dominates the signal

$$SNR = \frac{S}{\sqrt{S+2B}} \approx S/\sqrt{2B} \propto \sqrt{T} (F_{src}/\sqrt{2F_{bkg}})$$

$$SNR = n_{\sigma} \approx \frac{\varepsilon \cdot A \cdot T \cdot \Delta E \cdot F}{\sqrt{A \cdot T \cdot \Delta E \cdot B}} \rightarrow F_{Min} = \frac{n_{\sigma}}{\varepsilon} \sqrt{\frac{B}{A \cdot T \cdot \Delta E}}$$

## Sensitivity and signal-to-noise ratio. III

In the "real world", background comprises instrumental background + cosmic background

S=source flux density [counts/m<sup>2</sup> s] A=area of the detector  $\Omega$ =solid angle subtended by the beam of the telescope on the sky B<sub>1</sub>=instrumental (particle) background [counts/s] B<sub>2</sub>=cosmic background (XRB) [phot/m<sup>2</sup> s ster] T=exposure time SOURCE=S×A×T (photons related to the source) BACKGROUND=B<sub>1</sub>×T + B<sub>2</sub>×A×Ω×T (photons related to the backgrounds)

$$N = \sqrt{(B_1 + B_2 A \Omega) \times T}$$

$$S/N = \frac{SAT}{\sqrt{(B_1 + B_2 A \Omega) \times T}} = \frac{SA^{1/2} T^{1/2}}{\sqrt{\left(\frac{B_1}{A}\right) + \Omega B_2}}$$

$$S/N = 5 \Rightarrow S_{\min} = 5\sqrt{\frac{B_1/A + \Omega B_2}{AT}}$$

#### Sensitivity and signal-to-noise ratio. IV



#### Sensitivity and signal-to-noise ratio. V



Credits: V. Fioretti, A. Bulgarelli

# Source confusion

#### Source confusion. I

**Confusion:** the "sea" of undetected sources fainter than the detection limit creates a noise in the sky. This "creates" a limit to the depth of the observations (i.e., longer observations do not imply going deeper) = function (#src, PSF)

Standard rule-of-thumb: confusion is important at 30(40) beams per source (a sort of area of avoidance)

Beam=1- $\sigma$  radius of circle of the Gaussian PSF:  $\Omega_{\text{beam}}\text{=}\pi\sigma^2$ 

s/b=number of sources per beam at a given flux level S= number of sources N(>S)/number of beams in the solid angle of the image ( $\Omega_{image}/\Omega_{beam}$ )



#### Source confusion. II



#### Source confusion (and source blending). III XMM-Newton vs. Chandra



Case of confused (but still detectable) Consider all the cases where sources are below the X-ray flux limit

#### Source confusion. IV Sensitivity vs. confusion

