# Emission mechanisms Part I

partly derived and adapted from Prof. G. Matt's lesson for the 2008 high-energy school held in Urbino

Some reference textbooks + pubs:

- Bradt, "Astrophysics Processes", Cambridge University Press
- Rybicki & Lightman, "Radiative processes in astrophysics", Wiley
- Ghisellini, "Radiative processes in High-Energy Astrophysics" (arXiv:1202.5949) & Lecture Notes in Physics 873 (Springer)

## Outline of part I

- Radiative transfer: basics
- Black body radiation
- Bremsstrahlung emission
- Synchrotron emission
- Compton scattering (Inverse Compton)
- Cherenkov radiation

### Radiative transfer: basics. I

absorption

$$dI_{v} = -\alpha_{v} I_{v} ds$$

Decrement of radiation from a source while passing through an infinitesimal path of length ds

$$\alpha_v = n \sigma_v$$

**Absorption coefficient** [length<sup>-1</sup>]: density of absorbers times the cross section of the absorbing process

$$d\tau_{v} = \alpha_{v} \, ds = n \, \sigma_{v} \, ds$$

**Optical depth** (opacity) T<sub>u</sub>>>1: optically thick case

emission

$$dI_v = j_v ds$$

 $S_{\nu} = j_{\nu} / \alpha_{\nu} \rightarrow j_{\nu} = \alpha_{\nu} S_{\nu}$ 

Contribution from the emitters along ds

Source function: emissivity/opacity ratio Thermal equilibrium: S<sub>v</sub>=Planck function → Kirchcoff's Law

Absorption+Emission

$$\frac{dI_{v}}{ds} = -\alpha_{v} I_{v} + j_{v}$$

### Radiative transfer: basics. II

#### Only absorption

$$dI_{v} = -\alpha_{v} I_{v} ds \rightarrow \int_{I_{0}}^{I} \frac{1}{I_{v}} dI_{v} = -\int_{0}^{\tau} d\tau'_{v}$$
$$\left[\ln I_{v}\right]_{I_{0}}^{I} = -\left[\tau'_{v}\right]_{0}^{\tau} \Rightarrow I_{v} = I_{0,v} e^{-\tau_{v}}$$

Absorption coefficient assumed constant across the width of the layer

I<sub>0,v</sub>=intensity of the radiation before passing the absorbing layer

Only emission  

$$dI_v = j_v \, ds \, \rightarrow I_v = I_{0,v} + \int_0^S j_v \, ds$$

S=total emitting path

I<sub>0,v</sub>=intensity of the radiation before passing the emitting layer

### Radiative transfer: basics. III

Both terms and thermal equilibrium

$$\Rightarrow \frac{dI_{v}}{ds} = -\alpha_{v} I_{v} + j_{v} \Rightarrow \frac{dI_{v}}{\alpha_{v} ds} = \frac{dI_{v}}{d\tau_{v}} = -I_{v} + \frac{j_{v}}{\alpha_{v}} = -I_{v} + S_{v}$$
$$I_{v}(\tau_{v}) = I_{v,0} e^{-\tau_{v}} + \int_{0}^{\tau_{v}} e^{-(\tau_{v} - \tau'_{v})} S_{v}(\tau'_{v}) d\tau'_{v} = I_{v,0} e^{-\tau_{v}} + S_{v}(1 - e^{-\tau_{v}})$$
$$\frac{dI_{v}}{d\tau_{v}} = -I_{v} + S_{v} : \text{if TE, LTE:} \quad \frac{dI_{v}}{d\tau_{v}} = 0 \Rightarrow I_{v} = S_{v} = \text{Planck function}$$

$$\Rightarrow \frac{dI_{v}}{ds} = -\alpha_{v} I_{v} + j_{v} \Rightarrow I_{v} = I_{v,0} e^{-\tau_{v}} + S_{v}(1 - e^{-\tau_{v}})$$

### Radiative transfer: basics. IV

$$\begin{aligned} d\tau_v &= \alpha_v ds \qquad S_v = j_v / \alpha_v \\ dI_v &= -\alpha_v I_v ds + j_v ds = -I_v d\tau_v + S_v d\tau_v \\ dI_v &= I_v d\tau_v = S_v d\tau_v \\ e^{\tau_v} (dI_v + I_v d\tau_v) &= e^{\tau_v} (S_v d\tau_v) \\ d(e^{\tau_v} I_v) &= e^{\tau_v} dI_v + I_v e^{\tau_v} d\tau_v \\ &\rightarrow d(e^{\tau_v} I_v) = e^{\tau_v} S_v d\tau_v \\ \text{starting point for the integration: } \tau_v, I_{v,0} \\ e^{\tau_v} I_v - I_{v,0} &= \int_0^{\tau_v} e^{\tau_v'} S_v d\tau_v' \\ e^{-\tau_v} (e^{\tau_v} I_v - I_{v,0}) &= I_v - I_{v,0} e^{-\tau_v} = \int_0^{\tau_v} e^{-(\tau_v - \tau'_v)} S_v d\tau_v' = S_v - S_v e^{-\tau_v} = S_v (1 - e^{-\tau_v}) \\ &\rightarrow I_v(\tau_v) = I_{v,0} e^{-\tau_v} + \int_0^{\tau_v} e^{-(\tau_v - \tau'_v)} S_v d\tau_v' = I_{v,0} e^{-\tau_v} + S_v (1 - e^{-\tau_v}) \end{aligned}$$

### Radiative transfer: basics. V

$$I_{v,0} = 0 \rightarrow I_v(\tau_v) = S_v(1 - e^{-\tau_v}) = \frac{j_v S_0}{\alpha_v S_0}(1 - e^{-\tau_v}) = j_v S_0 \frac{1 - e^{-\tau_v}}{\tau_v}$$

$$\begin{aligned} \tau_{v} >> 1 &\rightarrow I_{v} = \frac{j_{v} S_{0}}{\tau_{v}} \\ \tau_{v} << 1 &\rightarrow I_{v} = j_{v} S_{0} \end{aligned}$$

Optically thick regime typically at low v

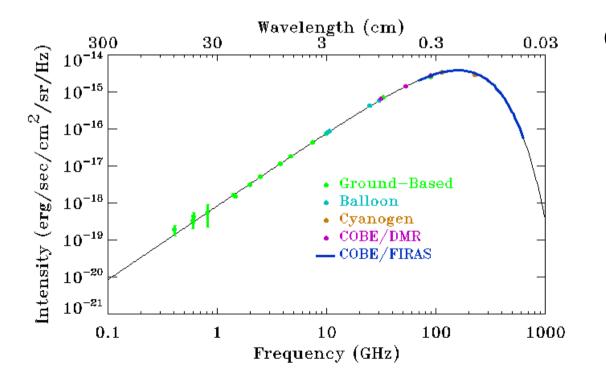
Optically thin regime typically at high v

From a thick source we are seeing the emission from a layer of width  $S_0/\tau_v$ , which is optically thin

## **Blackbody radiation**

Black body radiation arises when matter is optically thick and photons scatter many times before encountering the observer. Particles and photons share their kinetic energy continually.

In perfect thermal equilibrium: (3/2) kT=<1/2 mv<sup>2</sup>>=h<v> Local thermodynamic equilibrium (LTE) in the atmospheres of stars.



CMB spectrum measured by COBE (adapted from Mather+1990)

$$I(\upsilon,T) = \frac{2h\upsilon^3}{c^2} \frac{1}{e^{h\upsilon/kT} - 1}$$

#### Planck radiation law (Planck function) Specific intensity [W/m²/Hz/sr]

Rayleigh-Jeans approximation hv<<kT (Taylor expansion: e<sup>hv/kT</sup>≈1+hv/kT)

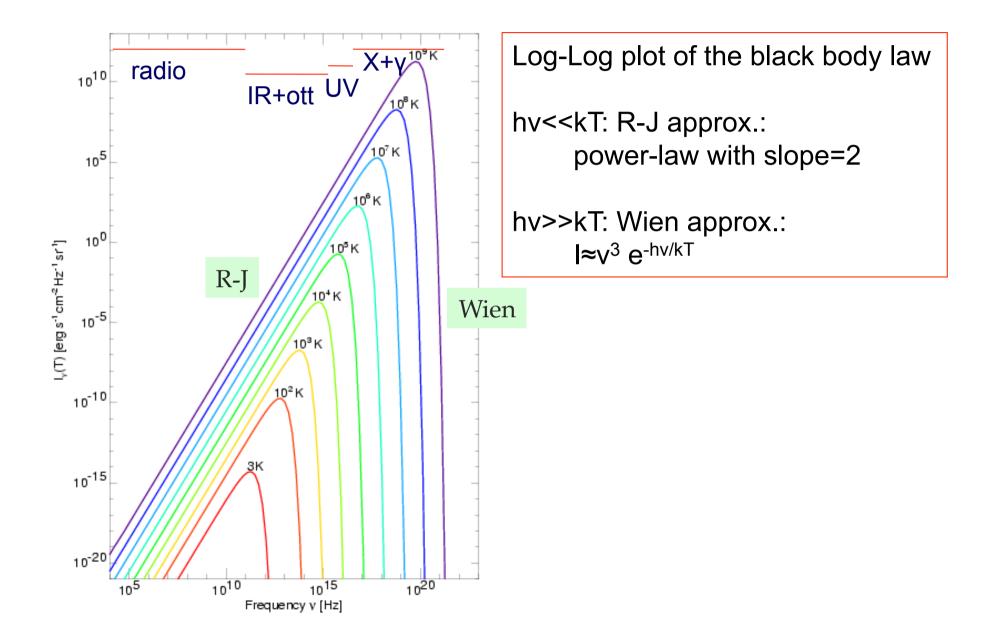
$$I(\upsilon,T) = \frac{2\upsilon^2}{c^2} kT \propto \upsilon^2 T$$

Wien approximation (law) hv>>kT, e<sup>hv/kT</sup>>>1

$$I(\upsilon,T) = \frac{2h\upsilon^3}{c^2} e^{-h\upsilon/kT}$$

Peak frequency hv<sub>peak</sub>=2.82kT v<sub>peak</sub>(Hz)=5.88×10<sup>10</sup> T(K)

CMB peak=1.06 mm

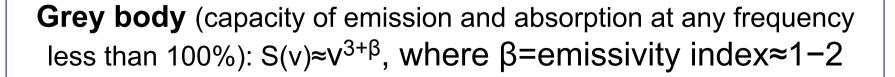


**Spectral energy density** = sum of the energies of photons contained in a 1 m<sup>3</sup> at a fixed time [J/m<sup>3</sup>/Hz] or impimping on a 1-m<sup>2</sup> surface in 1 s

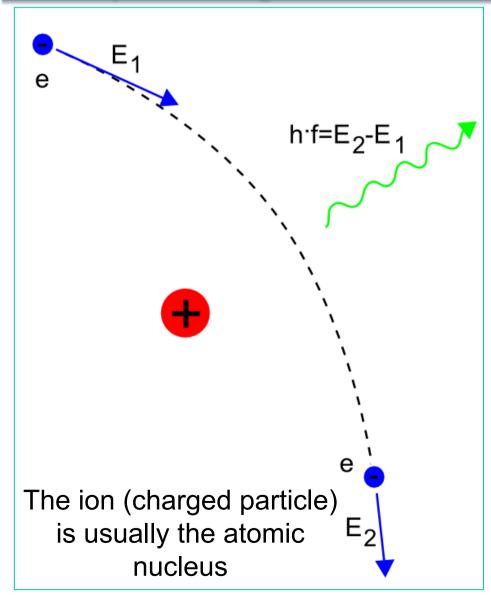
$$\mu(\upsilon,T) = I(\upsilon,T) \frac{4\pi}{c} = \frac{8\pi h \upsilon^3}{c^3} \frac{1}{e^{h\upsilon/kT} - 1}$$

isotropic: I≈µ/4π

Real objects never behave as full-ideal black bodies, and instead the emitted radiation at a given frequency is a fraction of what the ideal (black body) emission would be.
Black body emission occurs when τ→∞, deviations are due to finite opacities and surface layers effects



## Bremsstrahlung ("braking radiation" – free-free emission)



Coulomb collisions between electrons and ions in a plasma produce photons Electrons are accelerated by the Coulomb forces and lose energy via radiation

This is the **bremsstrahlung** (or **free free**) **emission** (because the electron is free before and after the collision) → deflection (acceleration) of the e<sup>-</sup> If the gas is in thermal equilibrium, ion and electron velocities obey to the Maxwell-Boltzmann distribution.

 $j_v(v,T) \propto g(v,T) n_e^2 T^{-1/2} e^{-hv/kT}$ 

h=Planck constant k=Boltzmann constant G(v,T)=Gaunt factor: takes into account exact quantum mechanics calculations (quantum effects+Debye screening)  $\approx$ unity for a large interval of param.  $\approx \sqrt{3}/\pi \ln(2.25 \text{ kT/hv})$ if Z=1, T>3×10<sup>5</sup> K and hv<<kT Volume emissivity: power emitted per unit volume of the plasma at some frequency in unit of frequency interval

> hydrogen plasma [W/m<sup>3</sup>/Hz]

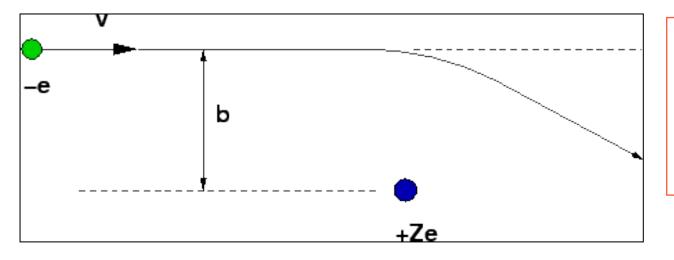
 $I(v,T) \propto g(v,T) n_e^2 T^{-1/2} e^{-hv/kT} \Lambda$ 

 $\begin{array}{l} \Lambda = \mbox{thickness of the plasma} \\ \mbox{along the line of sight} \\ 4\pi \mbox{I} = \mbox{j}_v \Lambda \end{array}$ 

Specific intensity hydrogen plasma [W/m²/Hz/sr] *Classical approximation*: the energy loss of an electron, integrated over an entire collision, is only a small fraction of its kinetic energy

- T=optical depth <<1 (optically thin plasma)</li>
- ion acceleration<<electron acceleration:
  - e<sup>-</sup> traveling through a region of static electric field (ions move slowly)

The interaction can be treated as elastic collision of duration  $\Delta t \approx (2)b/v$ The power radiated by the accelerating particle is given by the Larmor formula



**b=impact parameter:** projected distance of the closest approach of the e<sup>-</sup> to the ion at a given encounter *Larmor formula*: emitted power (integrated over all angles)

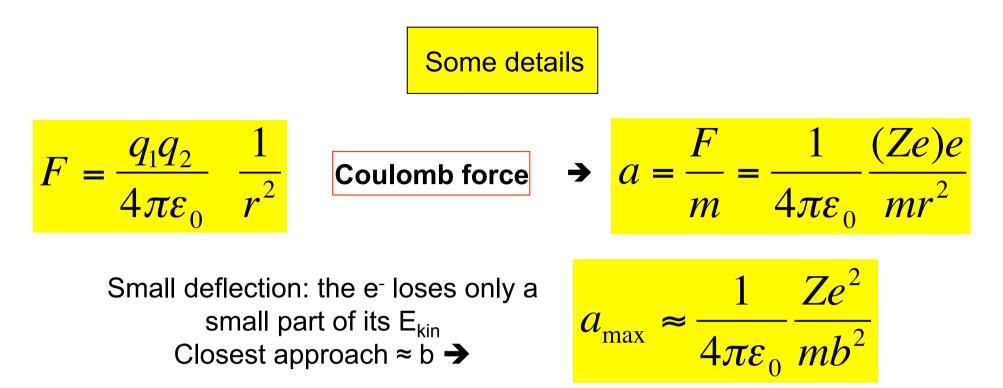
$$P(t) = \frac{1}{6\pi\varepsilon_0} q^2 a(t)^2 / c^3$$

Total power [W=J/s=energy/time] v<<c

 $\epsilon_0$ =permissivity of the vacuum q=charge of the particle a(t)=acceleration of the particle

 $Q(b,v) = \frac{1}{(4\pi\varepsilon_0)^3} \frac{2}{3} \frac{Z^2 e^6}{c^3 m^2 b^3 v}$ 

Total energy (J/collision) radiated by a single electron of speed v as it passes an ion of charge Ze (Z=atomic number) with impact parameter b Faster e<sup>-</sup> spend less time close to the ion

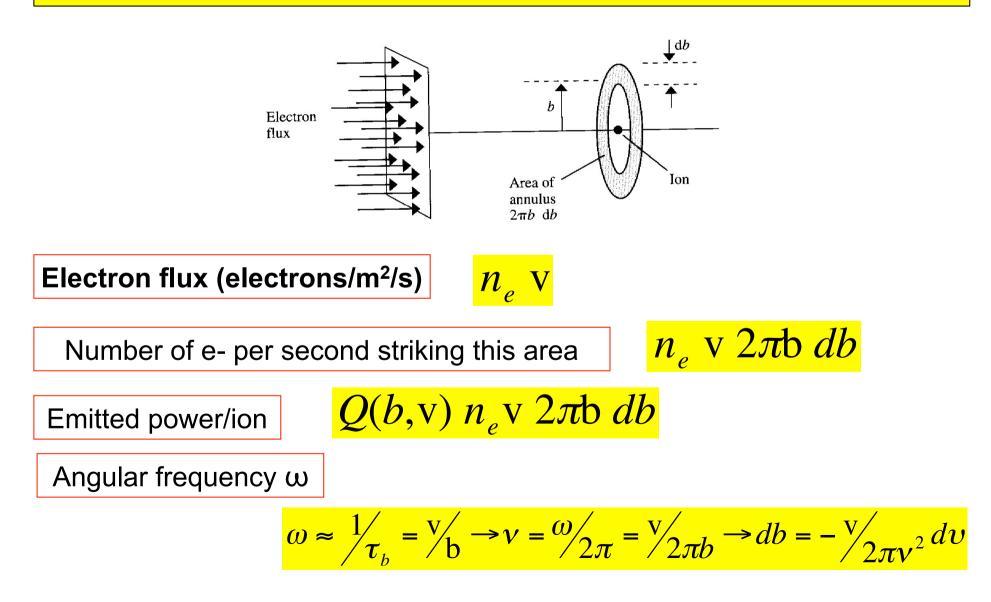


Collision time: T<sub>b</sub>≈b/v assuming constant acceleration=max all happens at small distances (but Debye screening from other electrons…)

$$Q(b,v) = \int_{-\infty}^{\infty} P(t)dt = \frac{1}{6\pi\varepsilon_0} \frac{e^2}{c^3} \int_{-\infty}^{\infty} a^2(t)dt \approx \frac{1}{6\pi\varepsilon_0} \frac{e^2}{c^3} a_{\max}^2 \tau_b$$
  
$$\implies Q(b,v) = \frac{1}{(4\pi\varepsilon_0)^3} \frac{2}{3} \frac{Z^2 e^6}{c^3 m^2 b^3 v}$$
  
Energy radiated per collision

#### Case of single-speed electron beam

Case of one ion and a parallel beam of electrons of speed v intersecting a narrow annulus of radius b and width db



#### Case of electrons of many speeds

Maxwell-Boltzmann distribution describes the probability of having e<sup>-</sup> of speed v for a non-degenerate gas

$$P(\mathbf{v}) = \left(\frac{m}{2\pi kT}\right)^{3/2} e^{-m\mathbf{v}^2/2kT}$$

Probability of an e<sup>-</sup> having speed v in the interval dv  $P(v) \times (volume of a shell of velocity v)$ =  $P(v) \times 4\pi v^2 dv$ 

Total power summed over all speeds= power emanating from each ion at frequency v in the band dv...

$$Q(b,v) n_e v 2\pi b db \approx \frac{1}{(4\pi\varepsilon_0)^3} \frac{2}{3} \frac{Z^2 e^6}{c^3 m^2 b^3 v} n_e v 2\pi \frac{v}{2\pi v} \frac{v}{2\pi v^2}$$

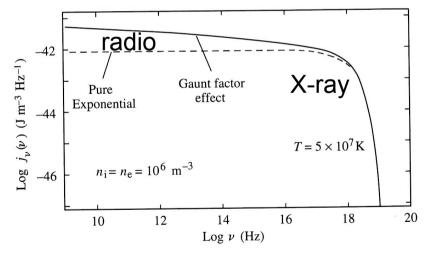
 $\cdots \times$  Maxwell-Boltzmann distribution of velocities

### **Spectrum of emitted photons**

Multiple ions and electrons, having a Maxwell-Boltzmann distribution of speeds

$$j_{v}(v)dv = g(v,T,Z)\frac{1}{(4\pi\varepsilon_{0})^{3}}\frac{32}{3}\left(\frac{2\pi^{3}}{3m^{3}k}\right)^{1/2}\frac{Z^{2}e^{6}}{c^{3}}n_{e}n_{i}\frac{e^{-hv/kT}}{T^{1/2}}dv$$

#### Volume emissivity [W/m<sup>3</sup>/Hz] – power emitted in all directions



Theoretical continuum thermal bremsstrahlung emission (from H. Bradt, "Astrophysics Processes")

If  $\tau >>1 \rightarrow$  black body emission

Figure 5.5. Theoretical continuum thermal bremsstrahlung spectrum. The volume emissivity (37) is plotted from radio to x-ray frequencies on a log-log plot with the Gaunt factor (38) included. The specific intensity  $I(\nu, T)$  would have the same form. Note the gradual rise toward low frequencies due to the Gaunt factor. We assume a hydrogen plasma (Z = 1) of temperature  $T = 5 \times 10^7$  K with number densities  $n_i = n_e = 10^6$  m<sup>-3</sup>.

### **Integrated volume emissivity**

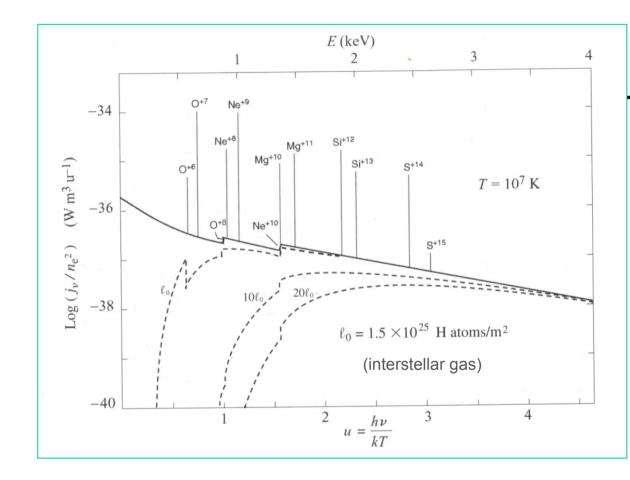
Total power from unit volume integrated over frequencies

$$j_{v}(v,T) \propto g(v,T,Z)Z^{2}n_{e}n_{i}e^{-hv/kT}T^{-1/2}$$

$$j(T) = \int_{0}^{\infty} j_{v}(v) dv = cons \times \overline{g}(T,Z)Z^{2}n_{e}n_{i}T^{-1/2}\int_{0}^{\infty} e^{-hv/kT} dv$$

$$j(T) = \int_{0}^{\infty} j_{v}(v) dv \propto \overline{g}(T,Z) Z^{2} n_{e} n_{i} T^{1/2} \qquad [W/m^{3}]$$

#### An example of bremsstrahlung spectrum



Theoretical calculation of the volume emissivity/n<sup>2</sup><sub>e</sub> bremsstrahlung emission (from H. Bradt, "Astrophysics Processes")

## Free-free absorption

A photon can be absorbed by a free electron in the Coulombian field of an atom: it is the free-free absorption, which is the absorption mechanism corresponding to bremsstrahlung. Thus, for thermal electrons (equilibrium):

$$j_v = \alpha_v S_v = \alpha_v B_v$$

<u>Kirchhoff's Law (relating emission to</u> absorption for a thermal emitter) + <u>Planck function (B<sub>v</sub>)</u>

$$\alpha_{v}(v,T) = j_{br}(v,T) / B(v,T) \propto Z^{2} n_{e} n_{i} T^{-1/2} (1 - e^{-hv/kT}) v^{-3}$$

Absorption coefficient for a plasma emitting via Bremsstrahlung (how effectively the gas absorbs its own radiation)

hv > kT  $\alpha$ 

$$\alpha_v \propto v^{-3}T^{-1/3}$$

 $-2\pi -3/2$ 

At low frequencies, matter in thermal equilibrium is optically thick to free-free aborption, becoming thin at high frequencies.

RJ regime
$$\alpha_v \propto v = 1$$
optical depth $\tau_v = \int \alpha_v dl$ 

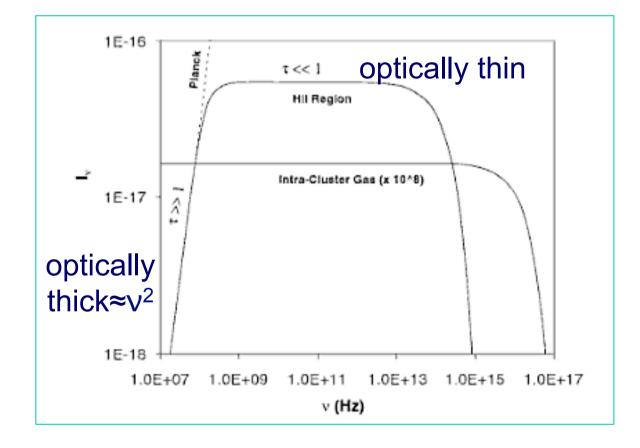
#### Bremsstrahlung + Equation of transport (I)

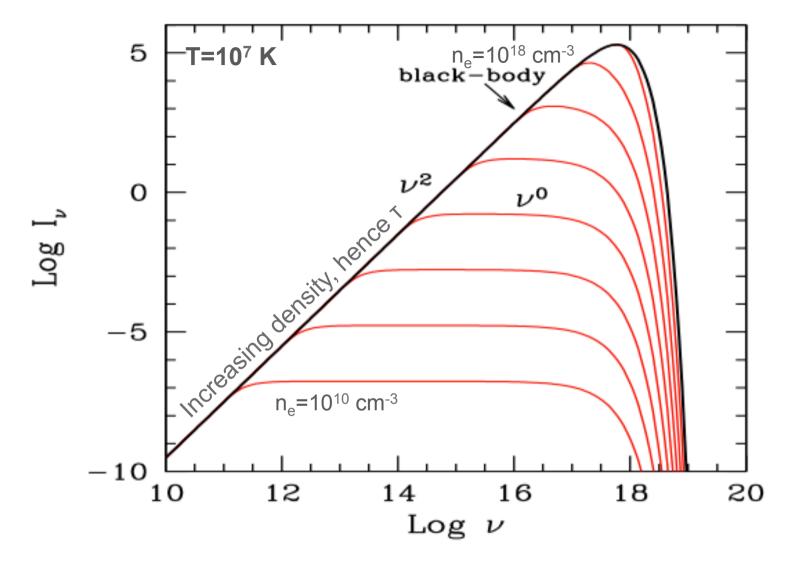
$$I_{v,brem} = B_v(T)(1 - e^{-\tau_v}) \qquad \begin{array}{l} \tau_v >> 1 \rightarrow I_v = B_v \\ \tau_v << 1 \rightarrow I_v = B_v \tau_v \end{array} \qquad \begin{array}{l} \text{Opt. thick regime} \\ \text{Opt. thin regime} \end{array}$$

hv/kT<<1, opt. thick
$$I_v = B_v \propto v^2$$
hv/kT<<1, opt. thin $I_v = B_v \tau_v \propto v^2 \tau_v \propto v^2 (v^{-2}T^{-3/2}) = \text{cons.}$ hv/kT>>1, opt. thin $I_v \approx B_v(T)\tau_v \approx (v^3 e^{-hv/kT}) (v^{-3}T^{-1/2}) \propto e^{-hv/kT}$ 

 $\tau_{\rm v}$  in the two regimes from previous slide

#### **Bremsstrahlung + Equation of transport (II)**





Absorption coefficient for a plasma emitting via Bremsstrahlung (how effectively the gas absorbs its own radiation)

At increasing densities, the spectrum is self-absorbed up to larger and larger frequencies

## Bremsstrahlung: cooling time

For any emission mechanism, the cooling time is defined as:

$$t_{cool} = \frac{E}{|dE/dt|} = \frac{3/2(n_e + n_i)kT}{j_v(T)}$$

where E is the energy of the emitting particle (thermal) and dE/dt the energy lost by radiation.

 $t_{cool} \approx \frac{6 \times 10^3}{n_e Z^2 \overline{g}_{ff}} T^{\frac{1}{2}} yr$  Bremsstrahlung emission



← Radio image of the Orion Nebula

X-ray emission of the Coma Cluster →

HII regions:  $t_{cool} \approx 1000$  years ( $n_e \approx 100-1000$  cm<sup>-3</sup>, T $\approx 1000-10000$  K) Clusters of galaxies: a few times 10<sup>10</sup> years ( $n_e \approx 10^{-3}$  cm<sup>-3</sup>, T $\approx 10^8$  K)

### **Bremsstrahlung:** polarization

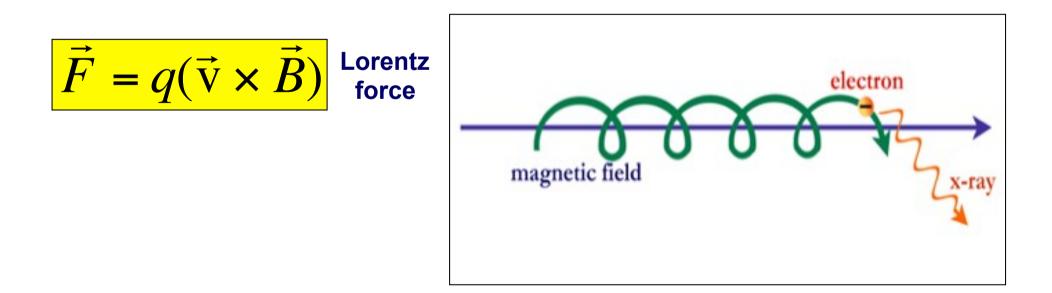
Bremsstrahlung photons are polarized with the electric vector perpendicular to the plane of interaction.

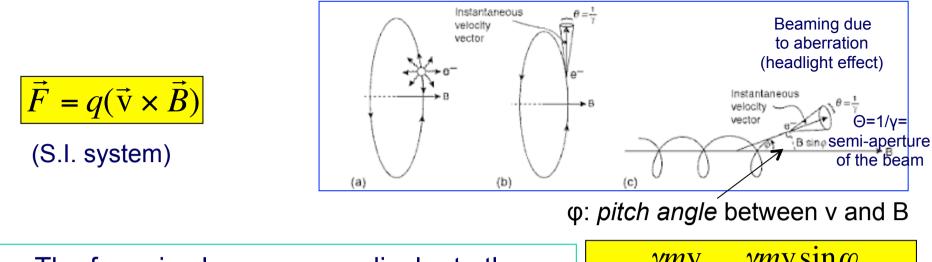
In most astrophysical situations, and certainly in case of thermal bremsstrahlung, the planes of interaction are randomly distributed, resulting in null net polarization.

For an anisotropic distribution of electrons, however, bremsstrahlung emission can be polarized.

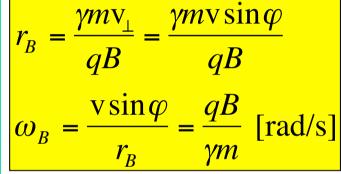
## Synchrotron emission

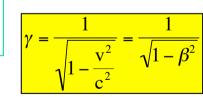
**Synchrotron radiation** (or magnetic bremsstrahlung) is due to relativistic electrons spiraling around magnetic fields. The magnetic force  $q(v \times B)$  causes the electrons to be accelerated, then to lose some of their kinetic energy via photon emission. While in bremsstrahlung emission the electric fields (Coulombian forces) provide the accelerating forces, in synchrotron emission magnetic fields do the same.





The force is always perpendicular to the particle velocity, so it does not do work. Therefore, the particle moves in a *helical path with constant* |*v*| (if energy losses by radiation are neglected). The *radius of gyration and* the *gyro-frequency* (**cyclotron frequency**) of the orbit are:





Lorenz factor

### **Synchrotron properties**

This emission depends on the electrons' energy properties

It is not possible to associate a temperature to the electrons → non-thermal emission The originating electrons are not in thermal equilibrium with the surroundings

### **Cyclotron radiation**

Radiation from a non-relativistic electron in a magnetic field (centripetal force)

$$P(t) = -dE/dt = (2/3)\frac{q^2}{c^3}a(t)^2$$

Larmour formula

Lorentz

$$a(t) = F/m = qv_{\perp}B/cm$$

(c.g.s. system)

$$-dE/dt = (2/3)\frac{q^4}{m^2c^5} v_{\perp}^2 B^2$$

### **Synchrotron radiation**

Radiation from a *relativistic* electron in a magnetic field

$$P(t) = -dE/dt = (2/3)\frac{q^2}{c^3}\gamma^2 a(t)^2$$
 Larmour (relativistic case)

2

$$-dE/dt = (2/3)\frac{q^4}{m^2c^3}\beta^2\gamma^2B_{\perp}^2 \quad \beta = v/c, B_{\perp} = B\sin(\varphi)$$

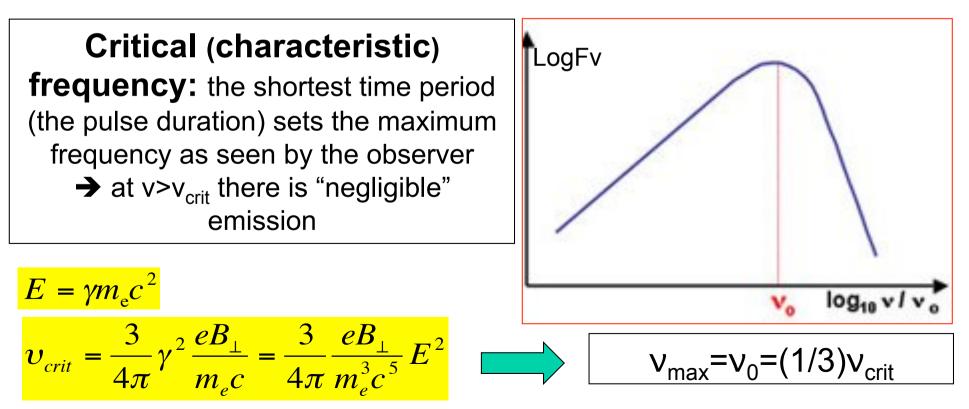
Thomson cross-section: 
$$\sigma_T = (8/3)\pi r_0^2 = (8/3)\pi \left(\frac{e^2}{m_e c^2}\right)^2$$

$$-dE/dt = (2/3)\frac{q^4}{m^2c^3}\beta^2\gamma^2B_{\perp}^2 = (c/4\pi)\sigma_T\beta^2\gamma^2B_{\perp}^2$$

$$\langle \sin_{\varphi}^2 \rangle_{av} = \frac{1}{4\pi} \int_{sphere} \sin_{\varphi}^2 d\Omega = 2/3 \qquad \qquad -dE/dt = \frac{c}{6\pi} \sigma_T \beta^2 \gamma^2 B^2$$

Energy loss by a relativistic electron in terms of magnetic energy density

$$P = -dE / dt = \frac{4}{3} \sigma_T c \beta^2 \gamma^2 U_B$$
$$U_B = B^2 / 8\pi \text{ magnetic energy density (J/m^3)}$$

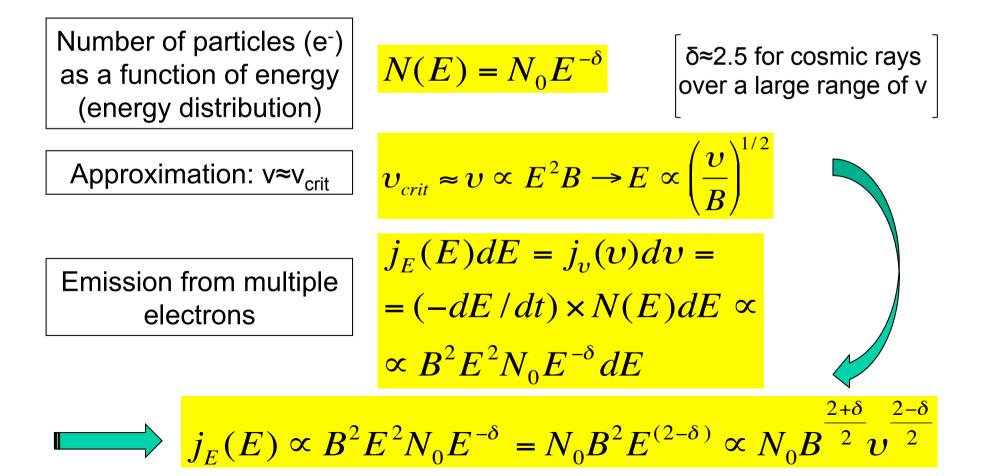


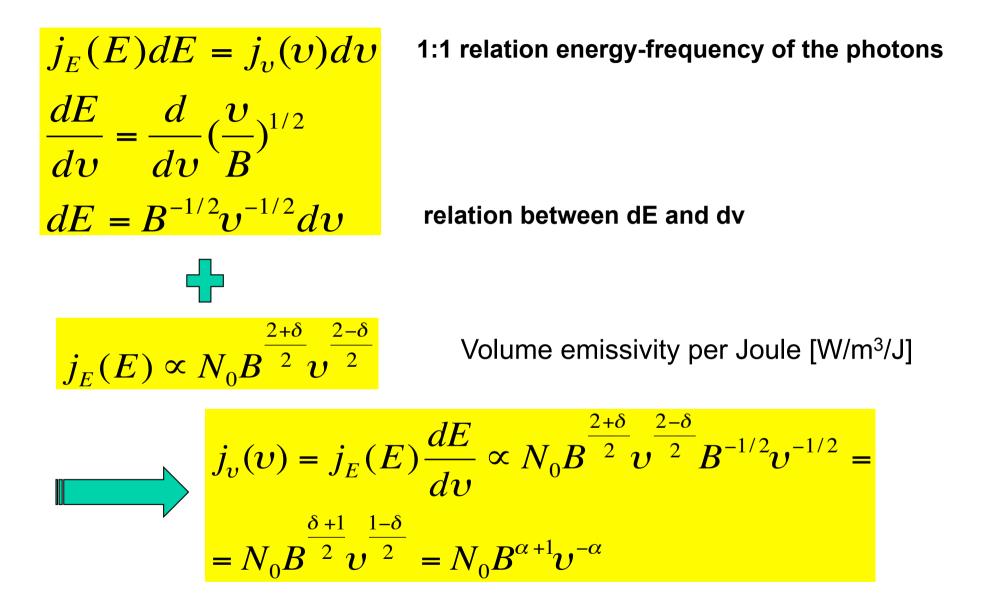
### Synchrotron emission from an ensamble of e<sup>-</sup>

Radiation from a *multiple relativistic* electrons in a magnetic field

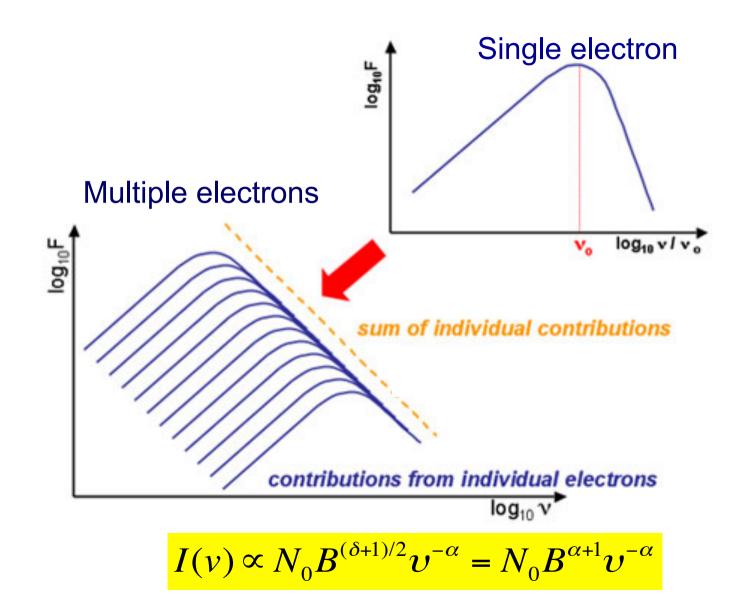
Emission from one electron

$$-dE/dt = \frac{4}{3}c\sigma_T\beta^2\gamma^2 U_B \propto E^2B^2$$



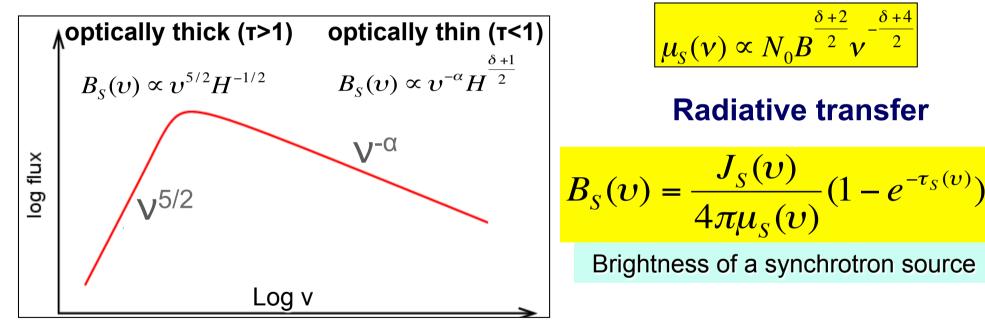


where  $\alpha = (\delta - 1)/2 = spectral index = log slope of the photon-energy spectrum$ 



## Synchrotron self-absorption

If the energy distribution of the electrons is non-thermal, e.g. a power law, N(E)=N<sub>0</sub>E<sup>-δ</sup>, the **absorption coefficient** cannot be derived from the Kirchhoff's law. The direct calculation using Einstein's coefficient yields, describing the transitions among energy levels in atoms, leads to:



In the optically thick region, the spectrum is independent of  $\boldsymbol{\delta}$ 

The transition frequency (thick/thin regime) is related to B and can be used to determine it

Optically-thick regime

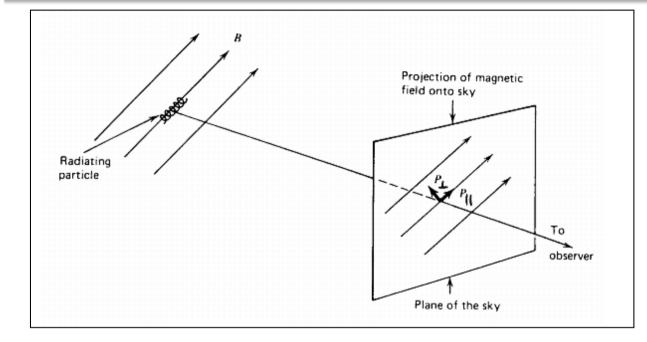
$$\tau_{v} >> 1 \rightarrow B_{S}(v) = I_{v} \propto \frac{B^{\alpha+1}v^{-\alpha}}{B^{\frac{\delta+2}{2}}v^{-\frac{\delta+4}{2}}} = \frac{B^{\frac{\delta+1}{2}}v^{\frac{1-\delta}{2}}}{B^{\frac{\delta+2}{2}}v^{-\frac{\delta+4}{2}}} = B^{-1/2}v^{5/2}$$

Optically-thin regime

$$\tau_{v} <<1 \rightarrow B_{S}(v) = I_{v} \propto \frac{B^{\alpha+1}v^{-\alpha}}{\mu_{S}(v)} \tau_{S}(v) = B^{\frac{\delta+1}{2}}v^{-\alpha}$$

$$\alpha = \frac{\delta - 1}{2}$$

### Synchrotron polarization

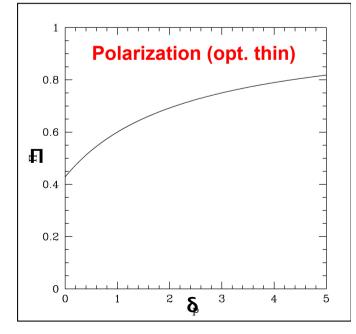


The radiation is (linearly) polarized perpendicularly to the projection of B on the plane of the sky

For a power law distribution of emitting particles, the degree of polarization is  $\Pi = P/I = (3\delta + 3)/(3\delta + 7)$  for the optically thin region

This is actually un upper limit, because the magnetic field is never perfectly ordered.

 $\Pi = 3/(16\delta + 13)$  for the optically thick region



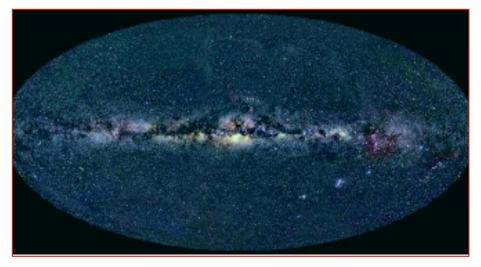
### Synchrotron cooling time

(Electron's rest mass is irreducible)

The cooling time is:

$$t_{cool} \approx \frac{(\gamma - 1)mc^2}{4} \approx (\gamma >> 1) \approx \frac{7.75 \times 10^8}{B^2 \gamma} s$$

For the interstellar matter (B ~ a few  $\mu$ G,  $\gamma$ ~10<sup>4</sup>):  $\tau$ ~10<sup>8</sup> yr





For a radio galaxy (B~10<sup>3</sup> G,  $\gamma$ ~10<sup>4</sup>):  $\tau$ ~0.1 s  $\rightarrow$  continuous acceleration

### Thomson scattering

**Thomson scattering:** elastic scattering of photons from free electrons in an ionized or partially ionized gas. The *scattering* is *elastic* provided the energy of the incoming photon is much less the rest-mass energy of the electron, otherwise some energy would be imparted to the electron. Thomson scattering cross-section is independent of wavelength ("grey").

$$E_{ph} << m_e c^2$$

#### Condition for Thomson scattering

**Thomson cross-section** 

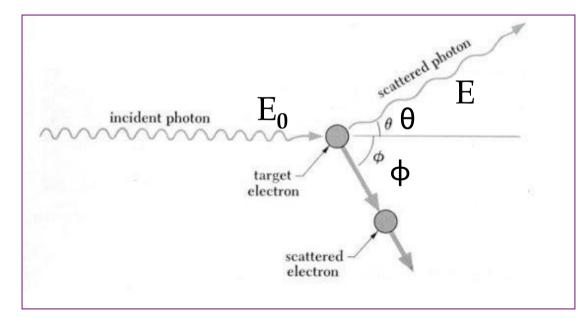
$$\sigma_T = (8/3)\pi r_0^2 = (8/3)\pi \left(\frac{e^2}{m_e c^2}\right)^2 =$$
  
= 6.65 × 10<sup>-25</sup> cm<sup>2</sup>

## Compton scattering

**Compton scattering** treats the collision between an assumed stationary electron and a photon, which provides energy to the electron. The photon moves to longer wavelengths because it loses energy via the interaction.

$$E_{ph} \approx m_e c^2$$

### Condition for Compton scattering



Wavelength shift (energy/momentum conservation)

$$\lambda - \lambda_0 = \frac{h}{m_e c} (1 - \cos \vartheta)$$

Compton wavelength= =2.43×10<sup>-14</sup> cm

Fractional shift  $(\lambda - \lambda_0)/\lambda$  substantial only at short incoming wavelengths

**Energy conservation** (where the electron rest energy is  $m_ec^2$ , and its recoil energy is  $\gamma m_ec^2$ )

$$hv_0 + m_e c^2 = hv + \gamma m_e c^2$$

**Longitudinal momentum conservation** (where the momentum of the electron is  $p=\gamma m_e v=\gamma \beta m_e c$ )

$$\longrightarrow \frac{hv_0}{c} = \frac{hv}{c}\cos\vartheta + \gamma\beta m_e c\cos\Phi$$

**Transverse momentum conservation** 

$$0 = \frac{hv}{c}\sin\vartheta - \gamma\beta m_e c\sin\Phi$$

In the electron rest frame, the photon changes its energy as:

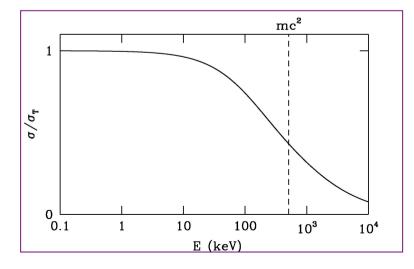
$$E = \frac{E_0}{1 + \frac{E_0}{m_e c^2} (1 - \cos \vartheta)} \quad \longleftarrow \quad \text{before scattering} \\ \leftarrow \qquad \text{larger } E_0 \text{ (comparable to } m_e c^2\text{),} \\ \text{larger scattering} \end{cases}$$

 $O_{KN}$ 

 $\boldsymbol{E}$ 

The cross section is the Klein-Nishina

$$\sigma_{KN} = \sigma_T \frac{3}{4} \left[ \frac{1+x}{x^3} \left\{ \frac{2x(1+x)}{1+2x} - \ln(1+2x) \right\} + \frac{\ln(1+2x)}{2x} - \frac{1+3x}{(1+2x)^2} \right]$$
$$x = \frac{E}{mc^2}$$



$$\sigma_{KN} \to \sigma_T \quad for \quad x \to 0$$
  
$$\sigma_{KN} \approx \frac{3}{8} \sigma_T \frac{1}{x} (\ln 2x + 1/2) \quad for \quad x >> 1$$

## Inverse Compton scattering

Inverse Compton scattering: a high-energy electron inelastically scatters off a lower energy photon, the result being a loss of kinetic energy for the electron and a gain of energy for the photon (moving to higher frequencies)
 → photon upscattering (mechanism at work in e.g. binaries and AGN)

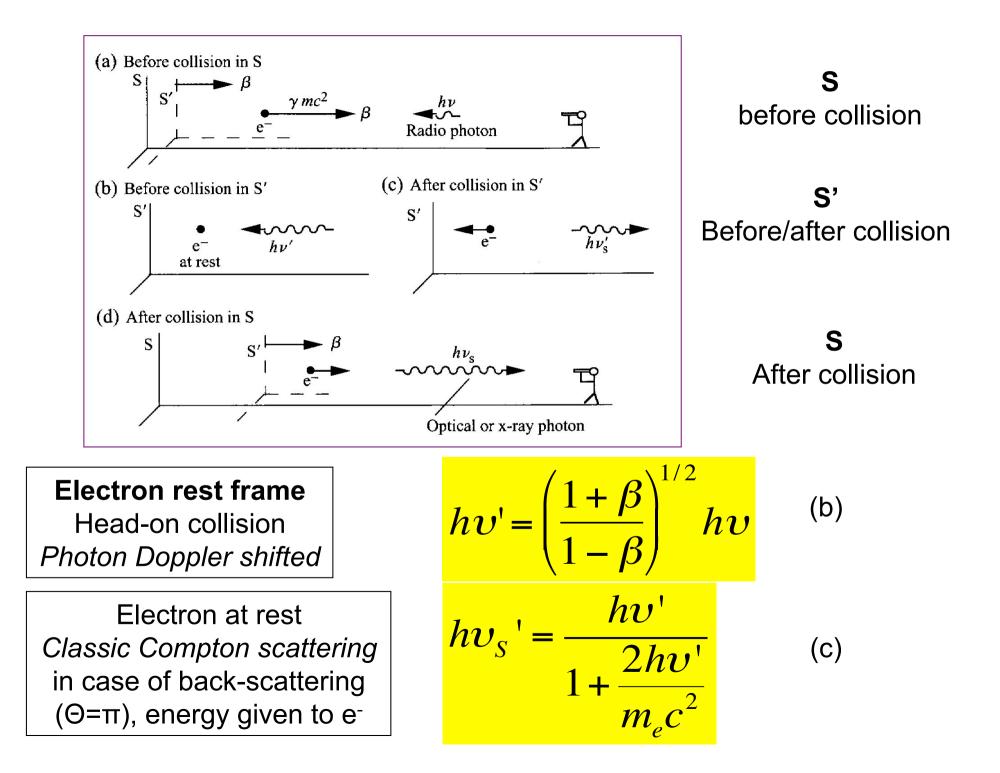
$$E_{ph} << \gamma m_e c^2$$

### **Condition for Inverse Compton scattering**

► Energy of the scattered photon determined

**Transformation back to the lab rest frame (S)** 

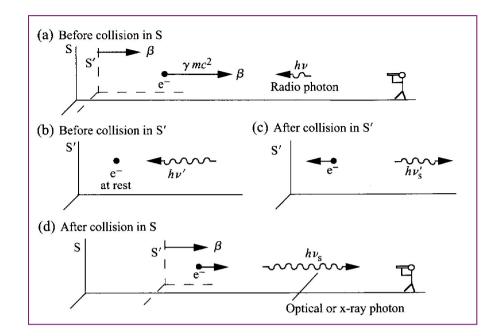
Final energy of the scattered photon



$$hv_{s} = \frac{hv}{1 + \frac{hv}{m_{e}c^{2}}(1 - \cos\vartheta)}$$
  
$$\xrightarrow{\theta = \pi} hv_{s} = \frac{hv}{1 + \frac{2hv}{m_{e}c^{2}}}$$
  
$$\Rightarrow hv_{s}' = \frac{hv'}{1 + \frac{2hv'}{m_{e}c^{2}}}$$

(C)

 $v_{\rm S}$ : frequency of the scattered photon



Lab rest frame Second Doppler shift (the scattered photon velocity is in the direction of S')

$$h\upsilon_{S} = \left(\frac{1+\beta}{1-\beta}\right)^{1/2} h\upsilon_{S}' \qquad (d)$$

Energy gain in the two Doppler shifts >> loss of energy

Highly relativistic electron (β≈1 or γ>>1)

$$\left(\frac{1+\beta}{1-\beta}\right)^{1/2} \xrightarrow[\beta \approx 1]{}^{2\gamma}$$

### Some calculations (I)

$$\left(\frac{1+\beta}{1-\beta}\right)^{1/2} = \frac{(1+\beta)^{1/2}}{(1-\beta)^{1/2}} \frac{(1+\beta)^{1/2}}{(1+\beta)^{1/2}} = \frac{1+\beta}{(1-\beta^2)^{1/2}} = \gamma(1+\beta) \underset{\beta=1}{\longrightarrow} 2\gamma$$

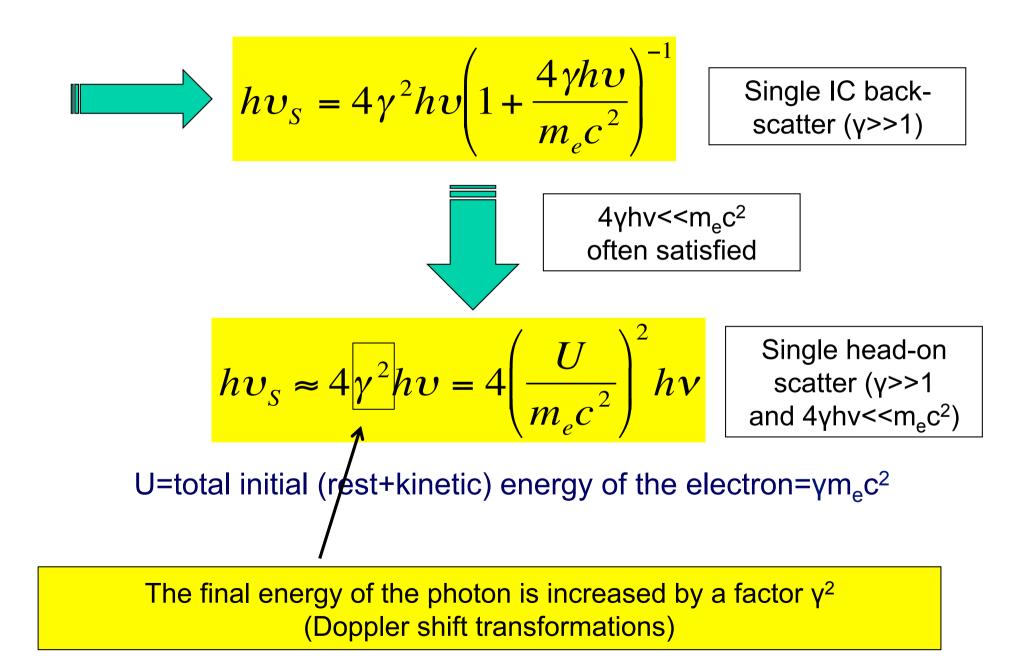
$$h\upsilon_s = \frac{h\upsilon'}{1+\frac{2h\upsilon'}{m_ec^2}} = \frac{h\upsilon'm_ec^2}{m_ec^2+2h\upsilon'} = \frac{\left(\frac{1+\beta}{1-\beta}\right)^{1/2}h\upsilon m_ec^2}{m_ec^2+2\left(\frac{1+\beta}{1-\beta}\right)^{1/2}h\upsilon}$$

$$h\upsilon_s = \left(\frac{1+\beta}{1-\beta}\right)^{1/2} \frac{h\upsilon_s}{(1-\beta)^2} = \left(\frac{1+\beta}{1-\beta}\right)^{1/2} \frac{\left(\frac{1+\beta}{1-\beta}\right)^{1/2}h\upsilon m_ec^2}{m_ec^2+2\left(\frac{1+\beta}{1-\beta}\right)^{1/2}h\upsilon} =$$

$$= \left(\frac{1+\beta}{1-\beta}\right) \frac{h\upsilon m_ec^2}{m_ec^2+2\left(\frac{1+\beta}{1-\beta}\right)^{1/2}h\upsilon} \approx 4\gamma^2 \frac{h\upsilon m_ec^2}{m_ec^2+2h\upsilon 2\gamma} = \frac{4\gamma^2h\upsilon m_ec^2}{m_ec^2+4\gamma h\upsilon}$$

### Some calculations (II)

$$h\upsilon_{s} = \frac{4\gamma^{2}h\upsilon m_{e}c^{2}}{m_{e}c^{2} + 4\gamma h\upsilon} = 4\gamma^{2}h\upsilon \left(\frac{m_{e}c^{2}}{m_{e}c^{2} + 4\gamma h\upsilon}\right) =$$
$$= 4\gamma^{2}h\upsilon \left(\frac{m_{e}c^{2} + 4\gamma h\upsilon}{m_{e}c^{2}}\right)^{-1} = 4\gamma^{2}h\upsilon \left(1 + \frac{4\gamma h\upsilon}{m_{e}c^{2}}\right)^{-1}$$



**Average over all directions:** in a real source, the electron will collide with many photons with various directions and impact parameters

$$h\upsilon_{S,iso} = \frac{4}{3}\gamma^2 h\upsilon$$

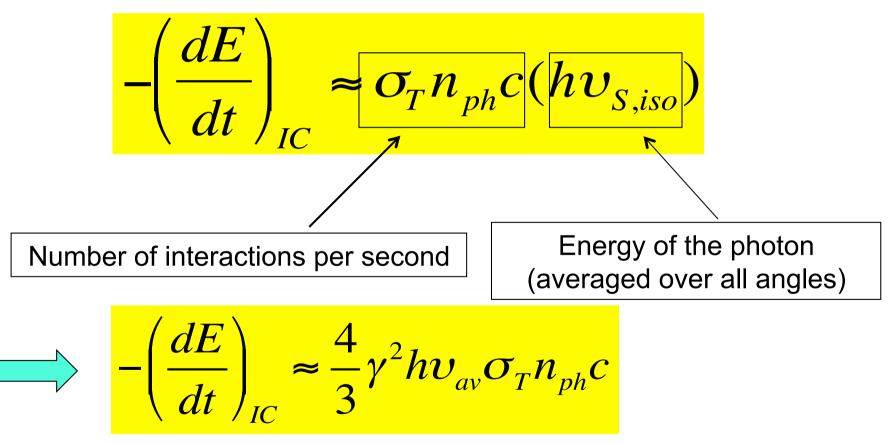
Scattered photon average energy (γ>>1 and 4γhv<<m<sub>e</sub>c<sup>2</sup>)

Example: Crab Nebula  $\gamma^2 \approx 4 \times 10^8$ milli-meter photon of v=300 GHz  $\rightarrow v_S \approx 1.6 \times 10^{20}$  Hz ( $\gamma$ -ray band)

### Electron up-scatters (boosts) the photon

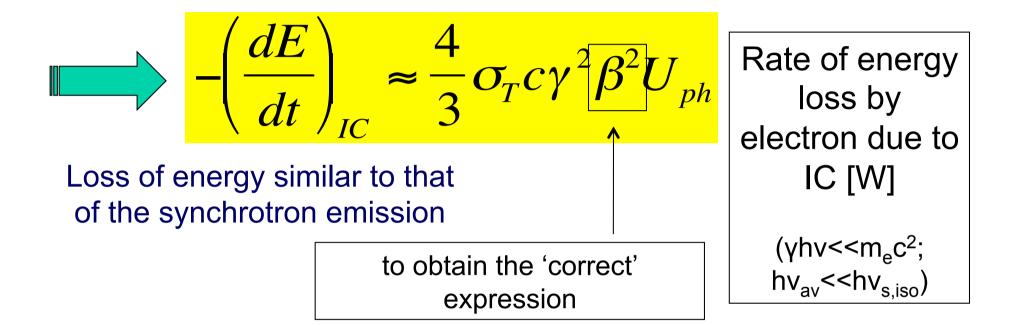
#### Rate of electron energy loss Single electron and many photons

Observer's frame (approximate case)

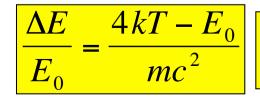


 $\sigma_T$  fine until  $E_{ph}$  in the electron rest frame S' <<  $m_ec^2$ :  $2\gamma hv << m_ec^2$  $h u_{av}$  to consider that photons have a distribution in energy Energy density of the photons

$$U_{ph} = n_{ph} h \upsilon_{av}$$



Assuming a thermal M-B distribution for the electrons ( $E_{kin}$ =<mv<sup>2</sup>>/2=3kT<sub>e</sub>/2), the mean percentage energy gain of the photons is



 $4kT > E_0 \rightarrow Energy transferred from electrons to photons$  $<math>4kT < E_0 \rightarrow Energy transferred from photons to electrons$ 

#### **Inverse Compton scattering: volume emissivity**

Population of relativistic electrons, each of energy  $\gamma m_e c^2$ , with  $\gamma >>1$ , in a sea of photons with energy density  $U_{ph}$ , and photon energies negligible compared with the IC upscattered energies

$$j_{IC} = \frac{4}{3} \sigma_T c \gamma^2 \beta^2 n_e U_{ph}$$
Integrated volume  
emissivity [W/m<sup>3</sup>]  
( $\gamma hv << m_e c^2$ ,  
 $hv_{av} << hv_{S,iso} \rightarrow significant$   
energy increase)  
 $N(E) = N_0 E^{-\delta} \implies j_{IC}(\gamma) \propto \gamma^{-(\delta-1)/2} = \gamma^{-\alpha}$ 

# IC cooling time

The formula for the energy losses by a single electron is identical to the synchrotron one, once  $U_{ph}$  replaces  $U_{B}$ . Therefore  $P_{IC}/P_{syn}=U_{ph}/U_{B}$ 

### IC losses dominate when the energy density of the radiation field is larger than that of the magnetic field $(U_{ph}>U_B=B^2/8\pi) -$ "Compton catastrophe"

T>10<sup>12</sup> K: the Compton luminosity dominates over the synchrotron luminosity: the source irradiates via Inverse Compton emission and  $t_{cool}$  is very short

Cooling time

$$t_{cool} \approx \frac{(\gamma - 1)mc^2}{\frac{4}{3}\sigma_T c U_{ph} \gamma^2 \beta^2 n_e}$$

U<sub>rad</sub>=U<sub>sync</sub>+U<sub>ph</sub>, which may be very short for relativistic electrons in a strong radiation field

### Comptonization

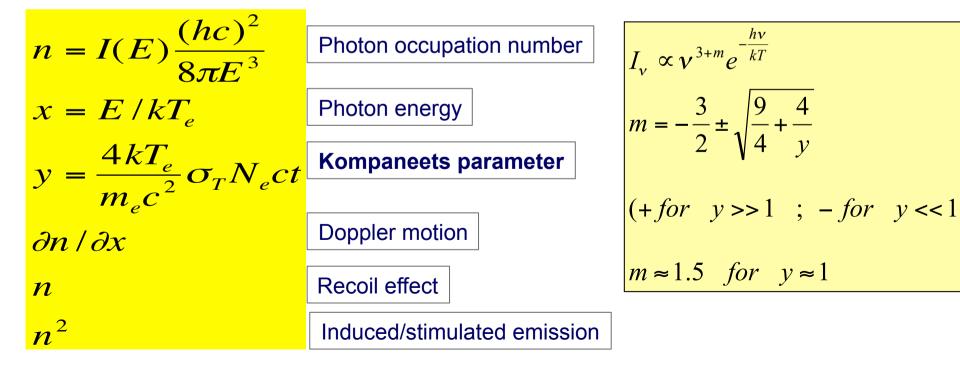
A population of photons encountering a region of free electrons will find its spectrum modified as a result of IC scatters.
If the electrons are more energetic, the photons will, on average, be scattered to higher energies.
If electrons are less energetic, photons will be down-scattered.
The modification of the photon spectrum by Compton scatters is called **Comptonization**.
Electrons may not be relativistic.

Let us define the *Comptonization parameter* as:  $y = \Delta E/E_0 \times N_{scatt}$ Assuming non relativistic electrons, the mean energy gain of the photons is  $E = E_0 e^y$ 

To derive the spectral shape, one has to solve the diffusion equation, also known as the *Kompaneets equation* 

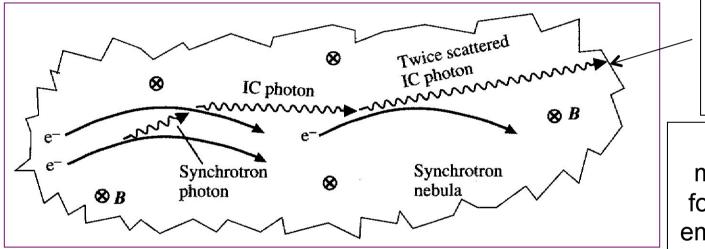
$$\frac{\partial n}{\partial y} = \frac{1}{x^2} \frac{\partial}{\partial x} x^4 \left(n + n^2 + \frac{\partial n}{\partial x}\right)$$

In general, it should be solved numerically. In case of *unsaturated Comptonization* (*i.e.*, not very optically thick matter):



# Synchrotron Self-Compton (SSC)

**SSC**: relativistic electrons in a magnetic field produce synchrotron radiation. If the radiation energy density of synchrotron photons is intense, the photons will undergo IC scattering by the same electrons that emitted them in the first place.

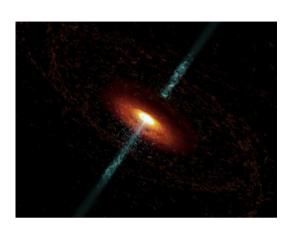


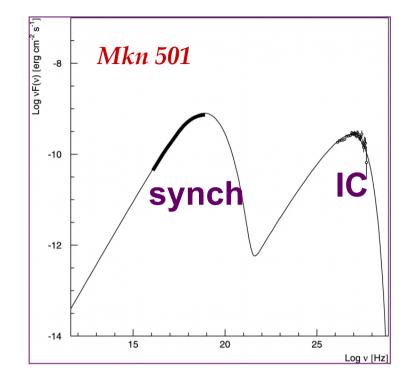
Twice scattered IC photons: the photon is boosted by a second γ<sup>2</sup> factor

T<sub>br</sub>=10<sup>12</sup> K is the maximum allowed T for a compact source emitting via incoherent synchrotron

synch

Limit to the maximum T of e<sup>-</sup> in the nebula emitting via synchrotron (T<sub>br</sub>=10<sup>12</sup> K) → Compton limit (catastrophe) → Inverse Compton dominates the radiative processes, the source emits most of the emission in X-rays, and the average life of electrons is very short → fast cooling



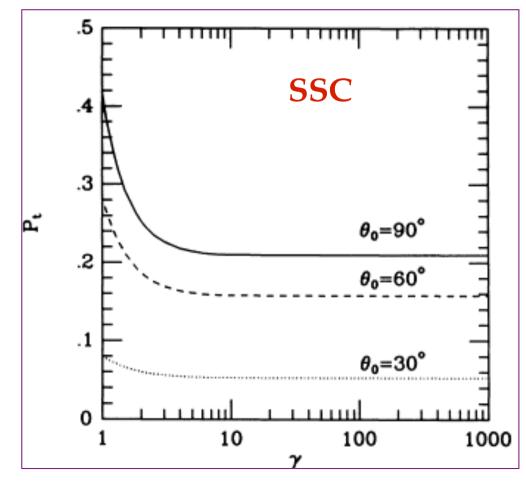


SSC emission may be relevant in *Blazars,* where two peaks are actually observed. The first peak is due to Synchrotron, the second to IC (either SSC or external IC, i.e., the "nature" of the photons can be varied)

## Compton scattering: polarization

Compton scattering radiation is polarized (but less than Thomson scattering. Polarization degree decreases with hv/mc<sup>2</sup> in the reference frame of the electron).

The degree of polarization depends on the geometry of the system.

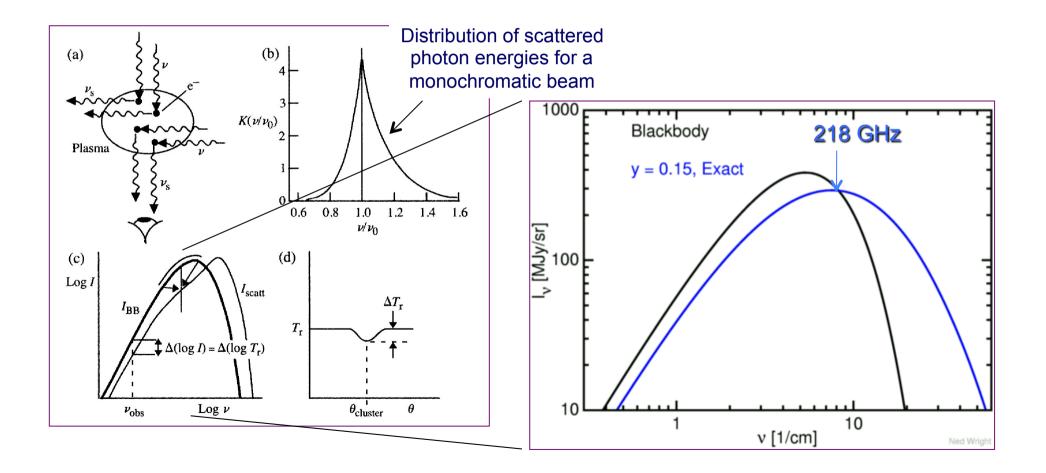


In *Blazars*, the radiation field may be either the synchrotron emission (SSC) or the thermal emission from the accretion disc (external IC).

The polarization properties are different in the two cases: while in the SSC the pol. angle of IC and Synch. are the same, in the external IC the two are no longer directly related.

## Sunyaev-Zeldovich effect

SZ effect: distortion of the black body spectrum of the CMB owing to IC interaction of the low-energy CMB photons with the energetic electrons in the plasma of a cluster of galaxies



CMB photons are Comptonized by the IGM in Clusters of Galaxies. As a result, the CMB spectrum in the direction of a cluster is shifted by  $\Delta I_v/I_v \approx -2y$ (R-J regime)

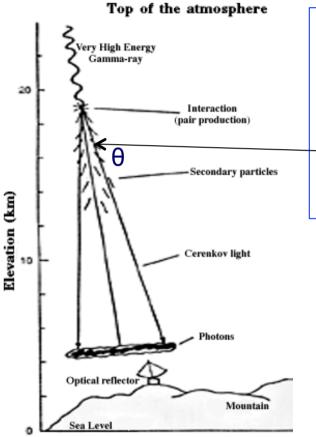
$$\tau \approx \sigma_T \langle n_e \rangle R \approx 10^{-2} - 10^{-3}$$
$$\frac{\Delta v}{v} \approx \frac{4kT}{mc^2} \approx 5 \times 10^{-2}$$
$$y = \int n_e \sigma_T dz \left(\frac{kTe}{m_e c^2}\right) \approx 10^{-3} - 10^{-4}$$

y=Comptonization parameter measures the strength of Compton scattering

The S-Z effect is potentially a very efficient tool to search for clusters and, when combined with X-ray observations, can be used to estimate the baryonic mass fraction and even the Hubble constant (see lessons on X-ray clusters of galaxies)

### Cherenkov radiation. I

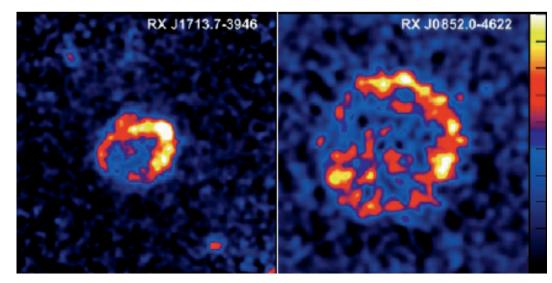
It occurs when a charged particle passes through a medium at a speed larger than the speed of light in that medium



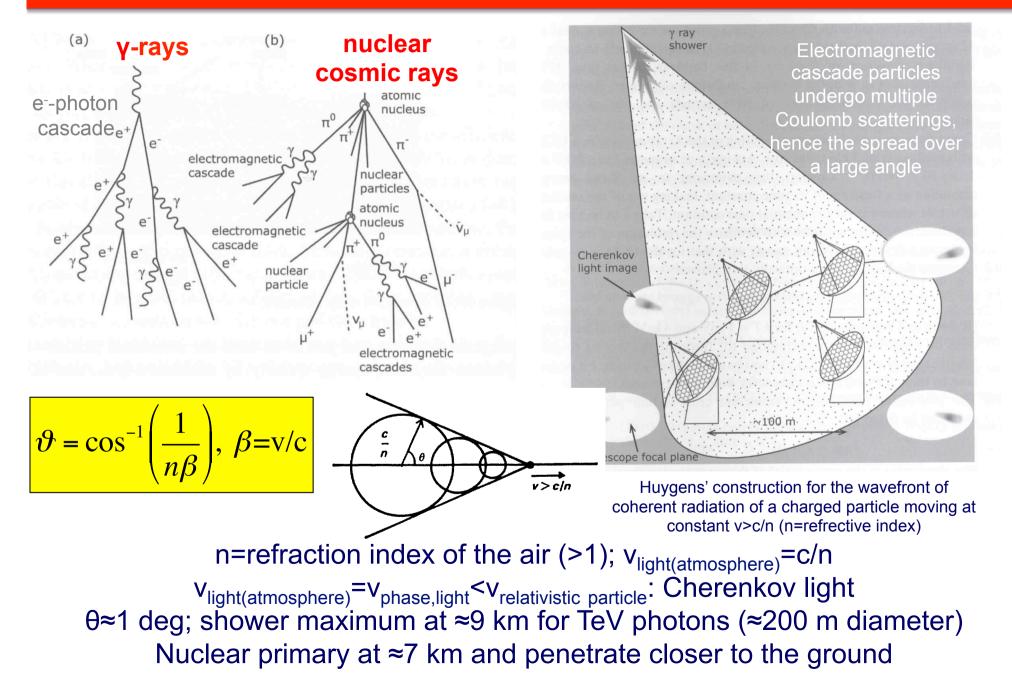


 $\gamma$ -ray → pair production and secondary particles (air shower) → faint short-lasting (3–5 ns) beam of blue light (Cherenkov radiation) detected by large telescopes on the ground  $\cos\theta=c/(v\times n)$  [v=velocity of e.g. the electron, n=refraction index in the atmosphere]

It is used to detect *high-energy* (~*TeV*) γ*-rays* (e.g., *Veritas, HESS* and *Magic telescopes*)



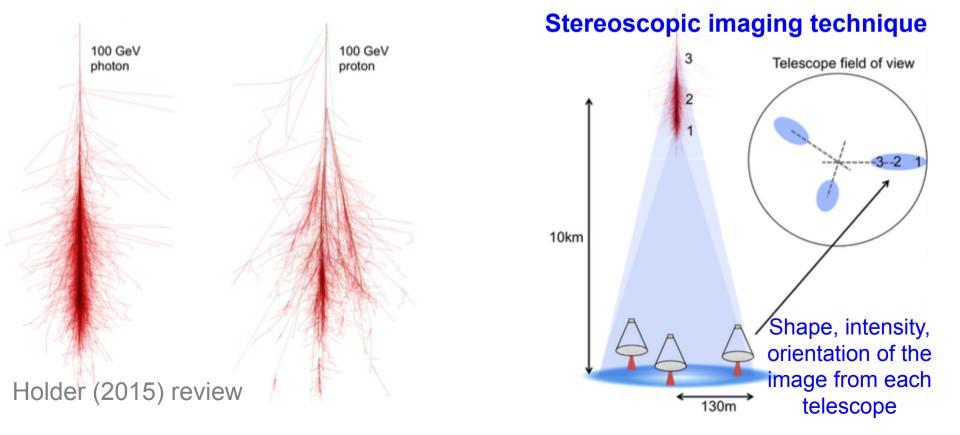
### Cherenkov radiation. II



## Cherenkov radiation. III

γ-ray photon (E<sub>0</sub>) → Electron-positron pair → Bremsstrahlung + pair production interactions lead to the generation of an electromagnetic cascade Below E<sub>C</sub>=84 MeV ionization losses dominate → mazimum number of particles in a cascade is given by E<sub>0</sub>/E<sub>C</sub>

Cosmic rays – charged, relativistic protons and nuclei – produce more complex cascade developments in the atmosphere



UV-optical reflecting mirrors focussing flashes of Cherenkov light produced by airshowers into nssensitive cameras.

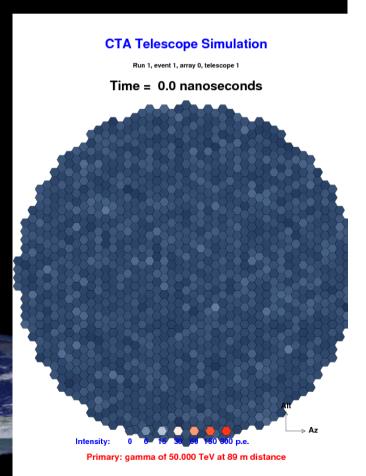
courtesy of A. Bulgarelli

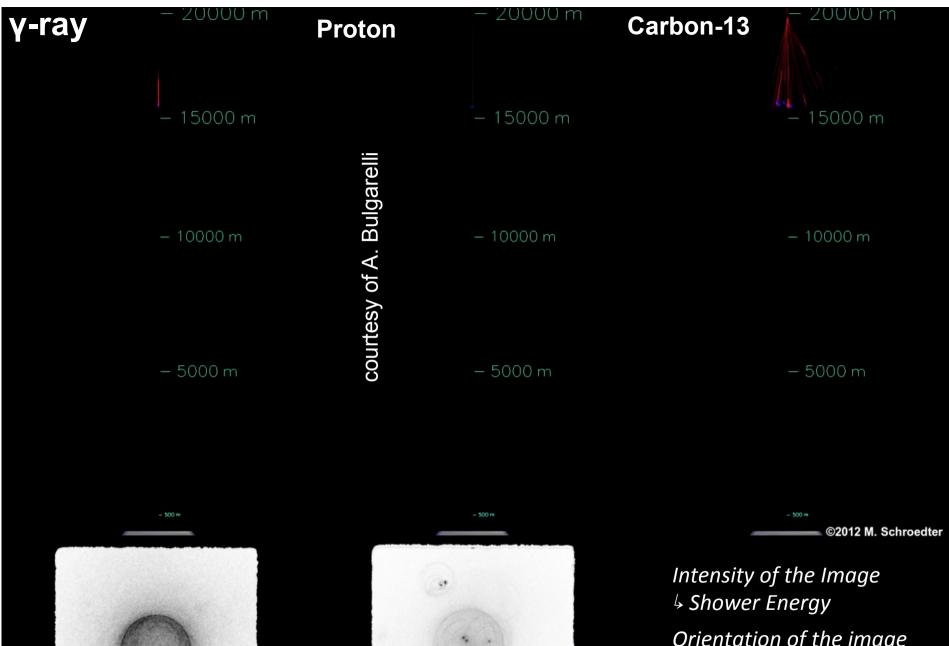
#### IACT = IMAGING AIR CHERENKOV TELESCOPES

"Shower"

140 m

For E=1 TeV ( $E_C \simeq 80$  MeV)  $X_{max} \simeq X_0$  In (E/E<sub>C</sub>) / In 2  $h_{max} = h_0 \ln(X_A/X_{max}) \rightarrow 5$  km





Orientation of the image 4 Shower Direction

Image Shape 4Particle type