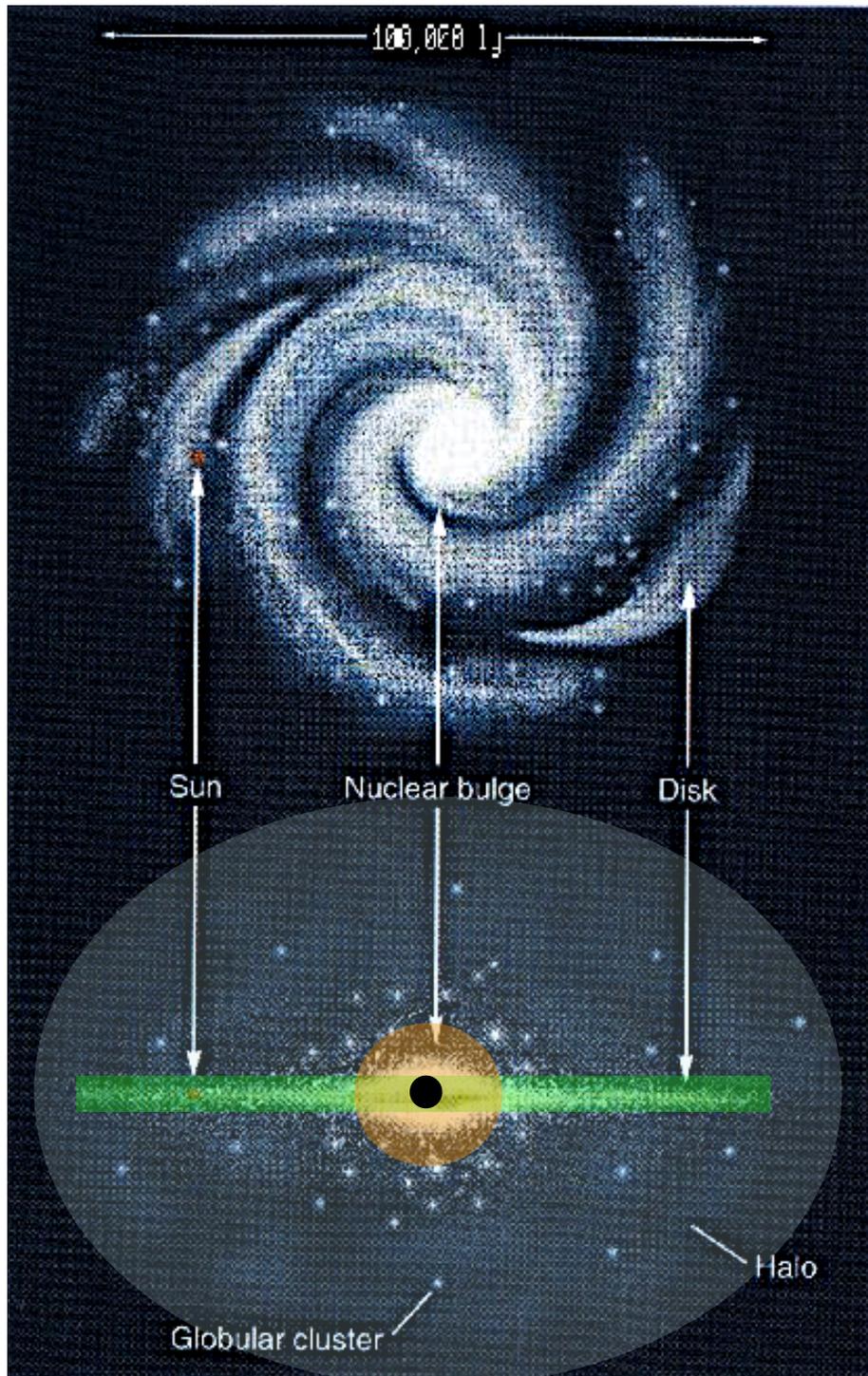


High-redshift massive black holes and AGN

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Galaxies

mass: 10^9 - 10^{12} solar masses

$$R_{\text{halo}} \sim GM_{\text{halo}}/\sigma^2 \quad \text{MEGAPARSEC}$$

$$R_{\text{bulge}} \sim GM_{\text{bulge}}/\sigma^2 \quad \text{KILOPARSEC}$$

1 parsec = 3.26 light years = 3×10^{18} cm

$\sigma \sim 50$ - 400 km/s for most galaxies

Massive Black Holes

mass: 10^5 - 10^9 solar masses

$$R_{\text{bondi}} \sim GM_{\text{BH}}/c_s^2 \quad \text{PARSEC}$$

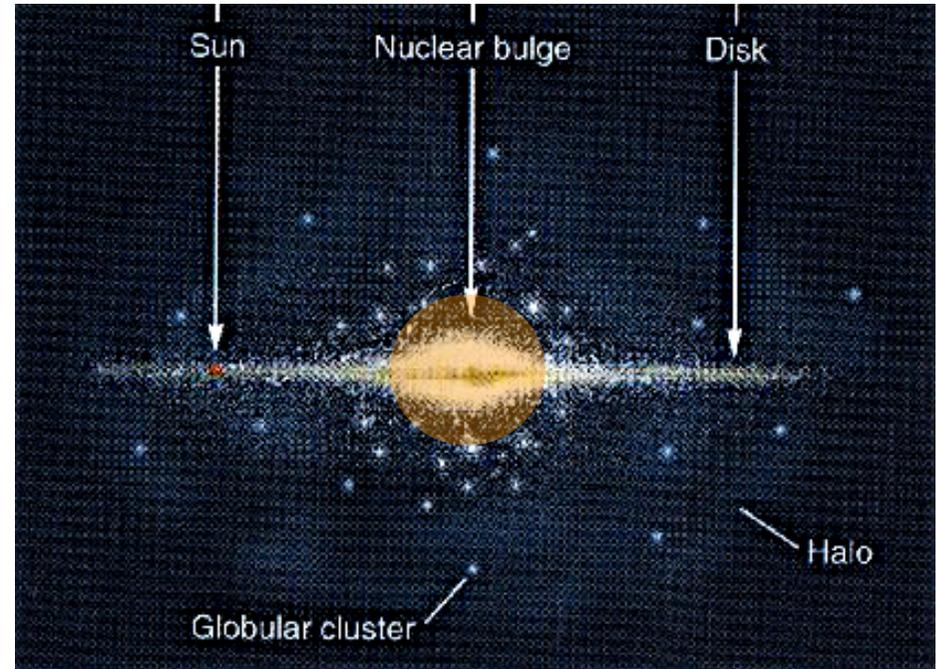
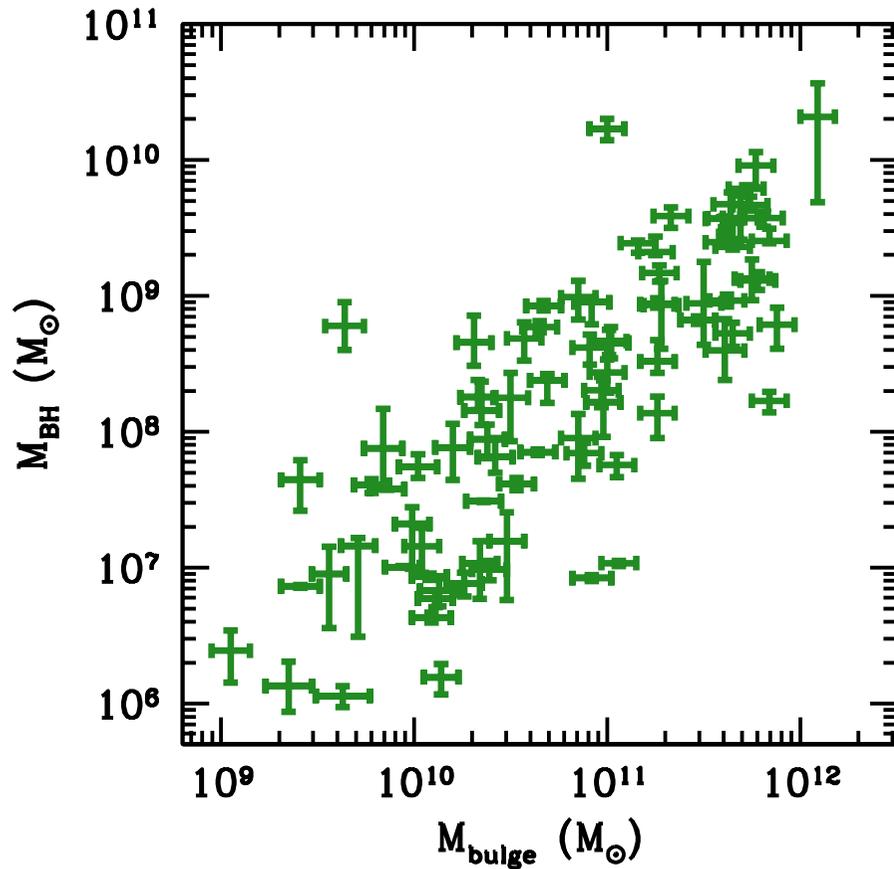
$$R_{\text{inf}} \sim GM_{\text{BH}}/\sigma^2 \quad \text{PARSEC}$$

$$R_{\text{sch}} = 2GM_{\text{BH}}/c^2 \quad \text{MICROPARSEC}$$

$c_s \sim 10$ - 100 km/s for most galaxies

$c = 3 \times 10^5$ km/s

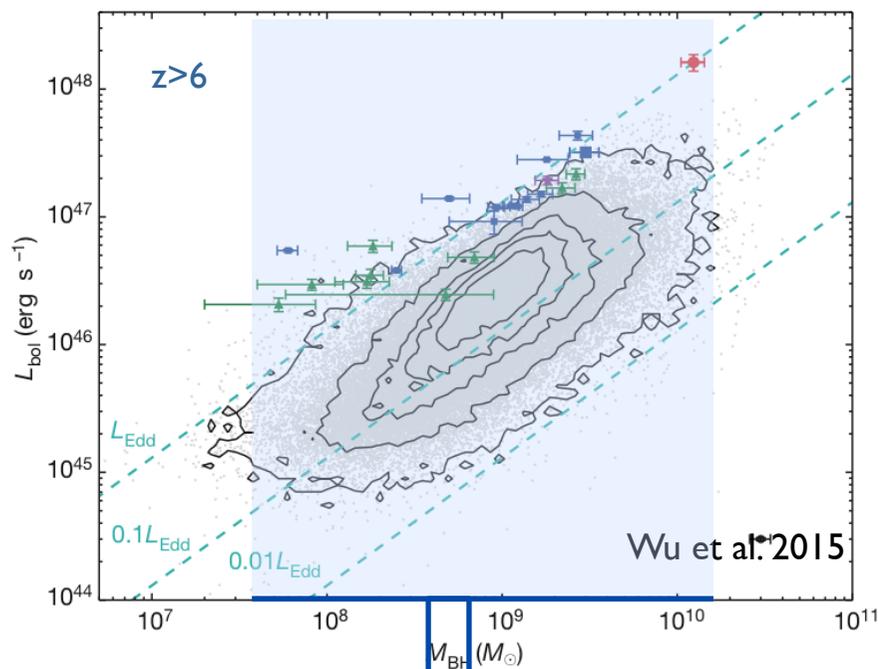
Local samples



~80 MBHs detected in nearby galaxies to-date

Black hole masses correlate with galaxy properties. This may mean their growth/evolution are intimately connected.

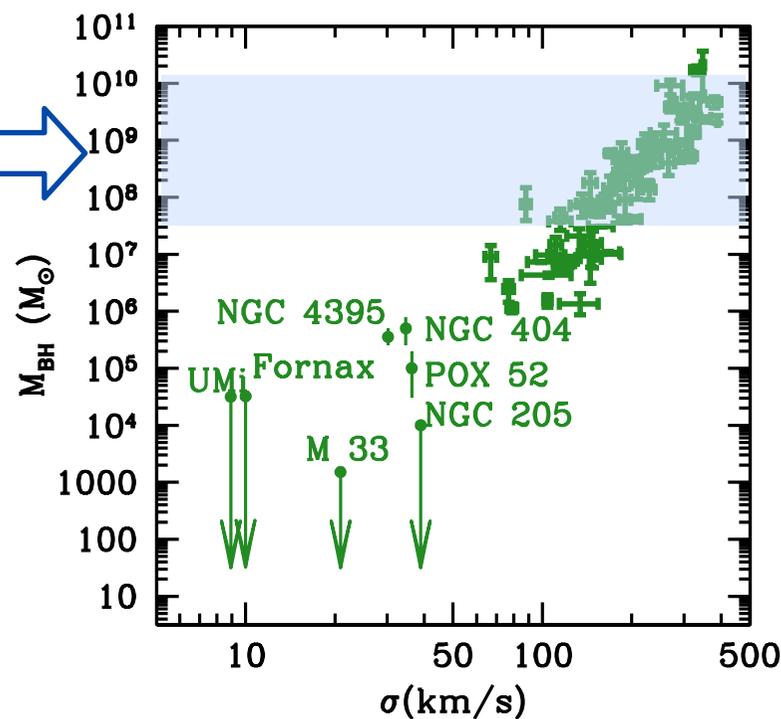
High-redshift quasars and local MBHs



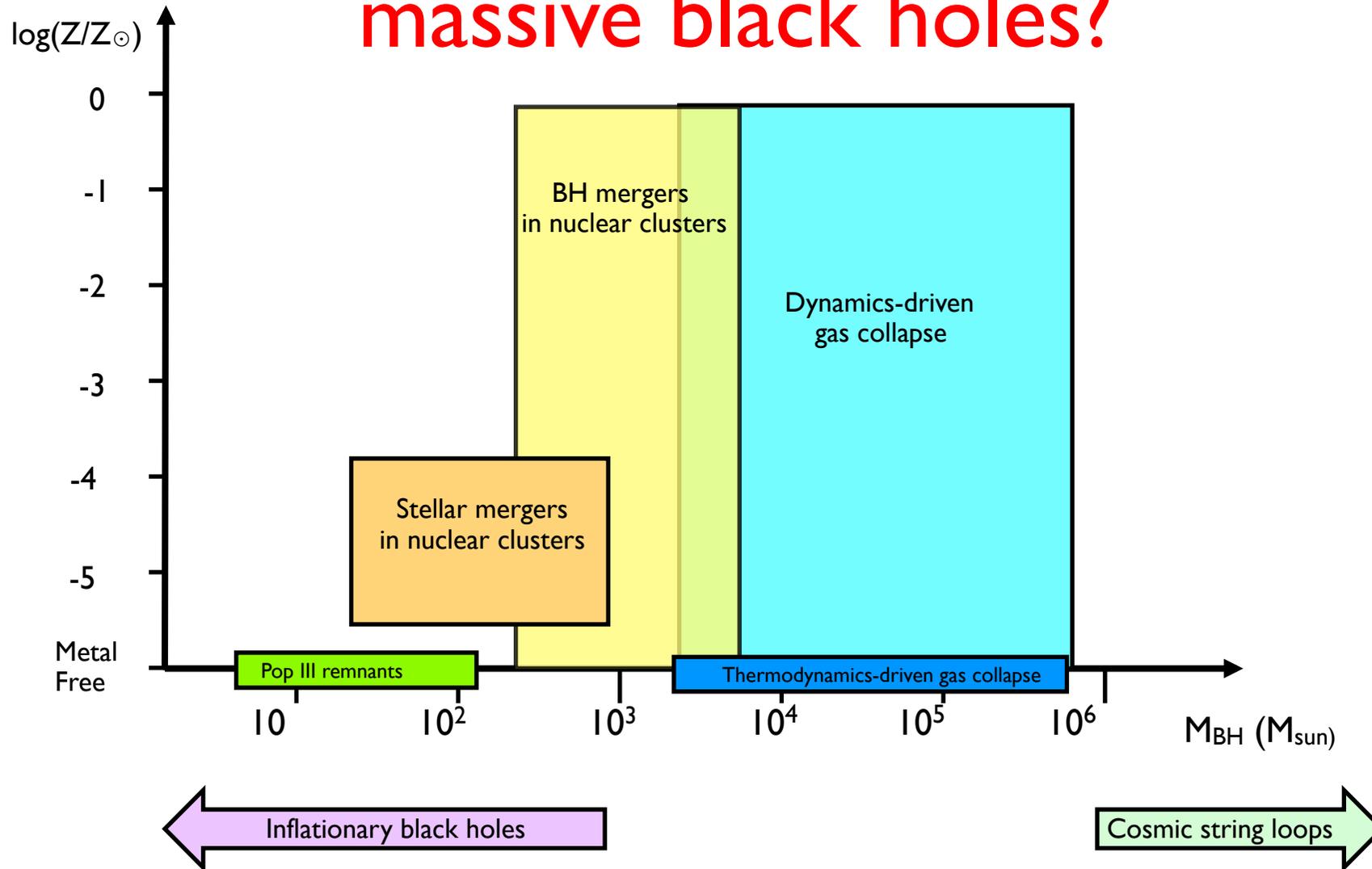
As massive as the largest MBHs today, but when the Universe was \sim Gyr old!

POX 52, NGC 4395: stellar mass $4 \times 10^8 M_{\text{sun}}$, MBH mass $3 \times 10^5 M_{\text{sun}}$

Galaxies without MBHs too



HOW can you make the first massive black holes?

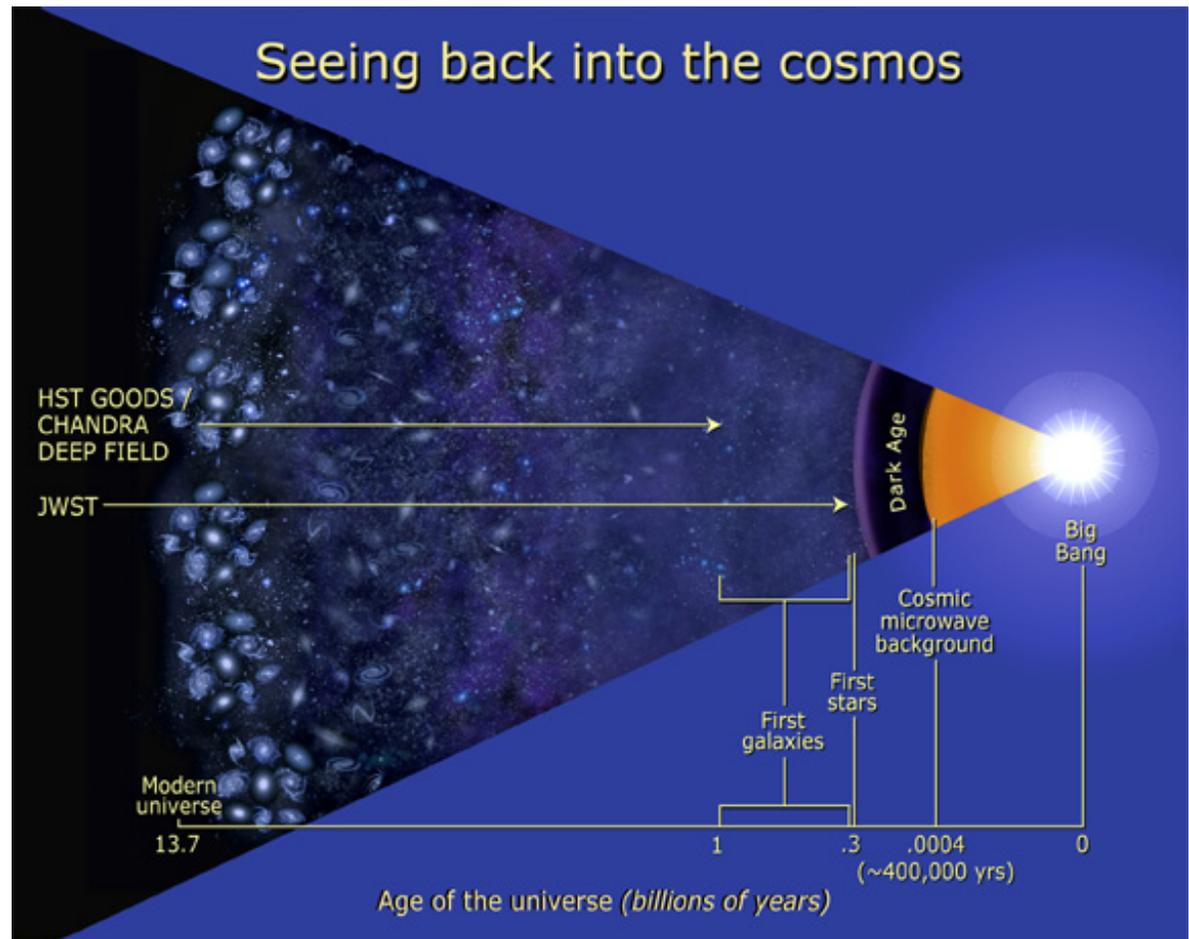


*Seed black holes and cosmological
structure formation*

How can you make the first galaxies?

The universe after the Big Bang was not completely uniform

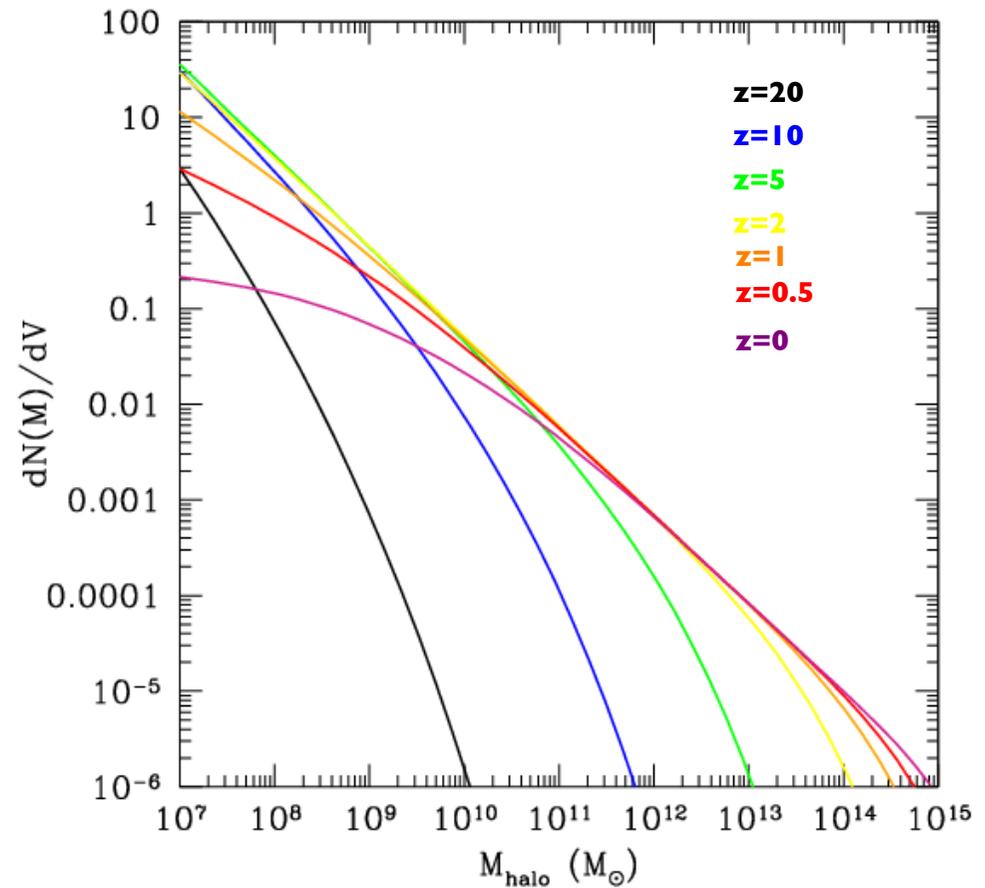
Gravitational instability caused matter to condense until small regions become gravitationally bound



They then break away from the global expansion, collapse down on themselves, and form a galaxy at the center

The typical halo mass is an increasing function of time: bottom-up or hierarchical structure formation

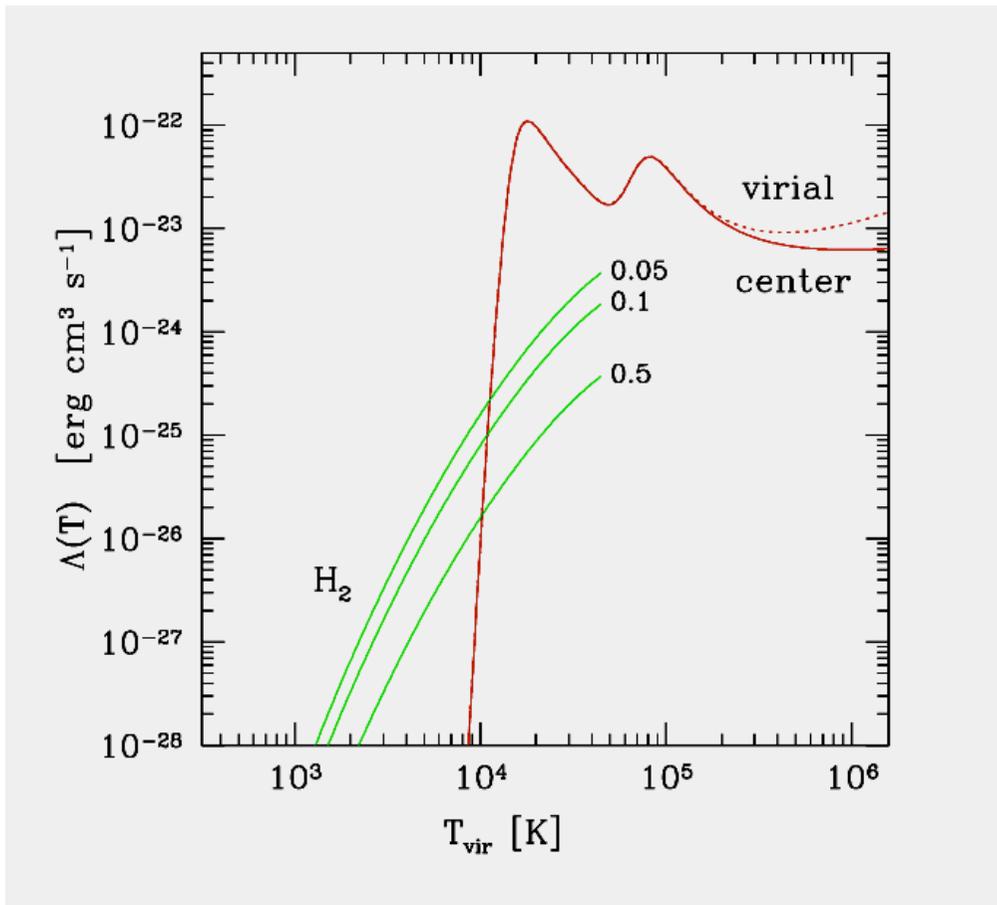
The mass functions of halos has a strong evolution with time



This is fine for collapsing dark matter... what about gas and stars?

Gas needs to cool down in order to reach the density and temperatures required for star formation

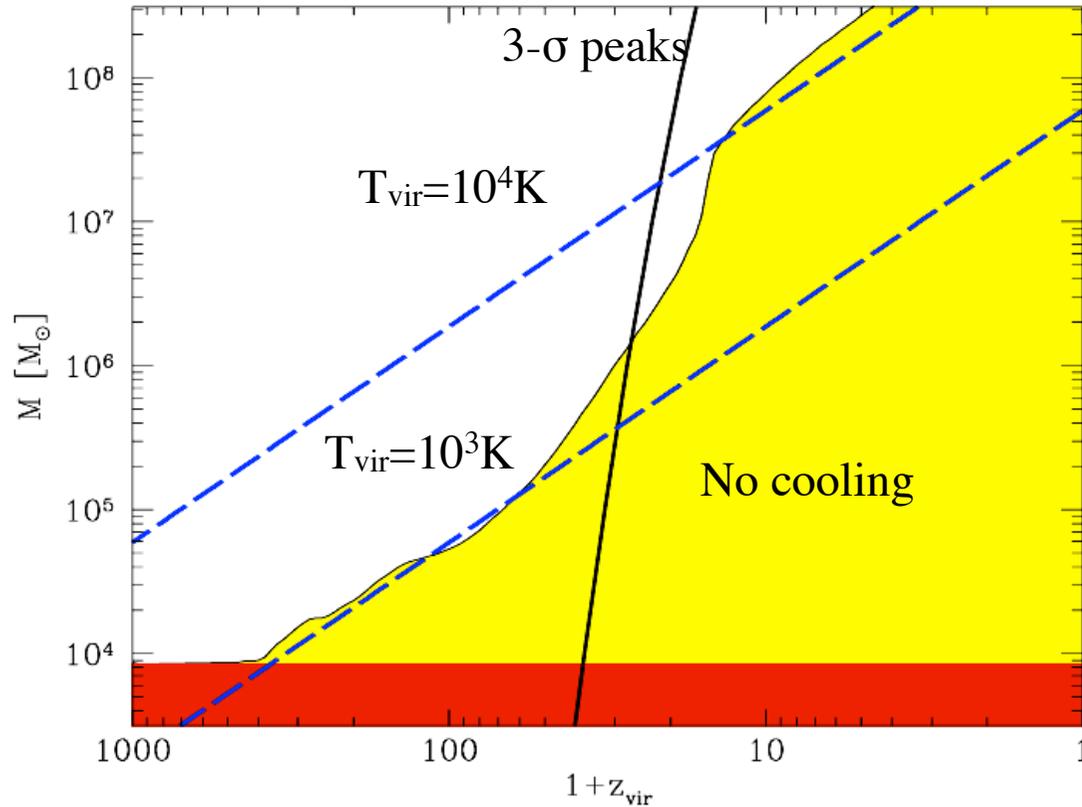
BEFORE the first generation of stars, the Universe is metal free: metal line cooling does not exist!



The atomic H cooling curve drops at temperatures below 10^4 K

Halos with $T_{\text{vir}} < 10^4 \text{ K}$ have to rely on molecular hydrogen cooling

Tegmark et al.

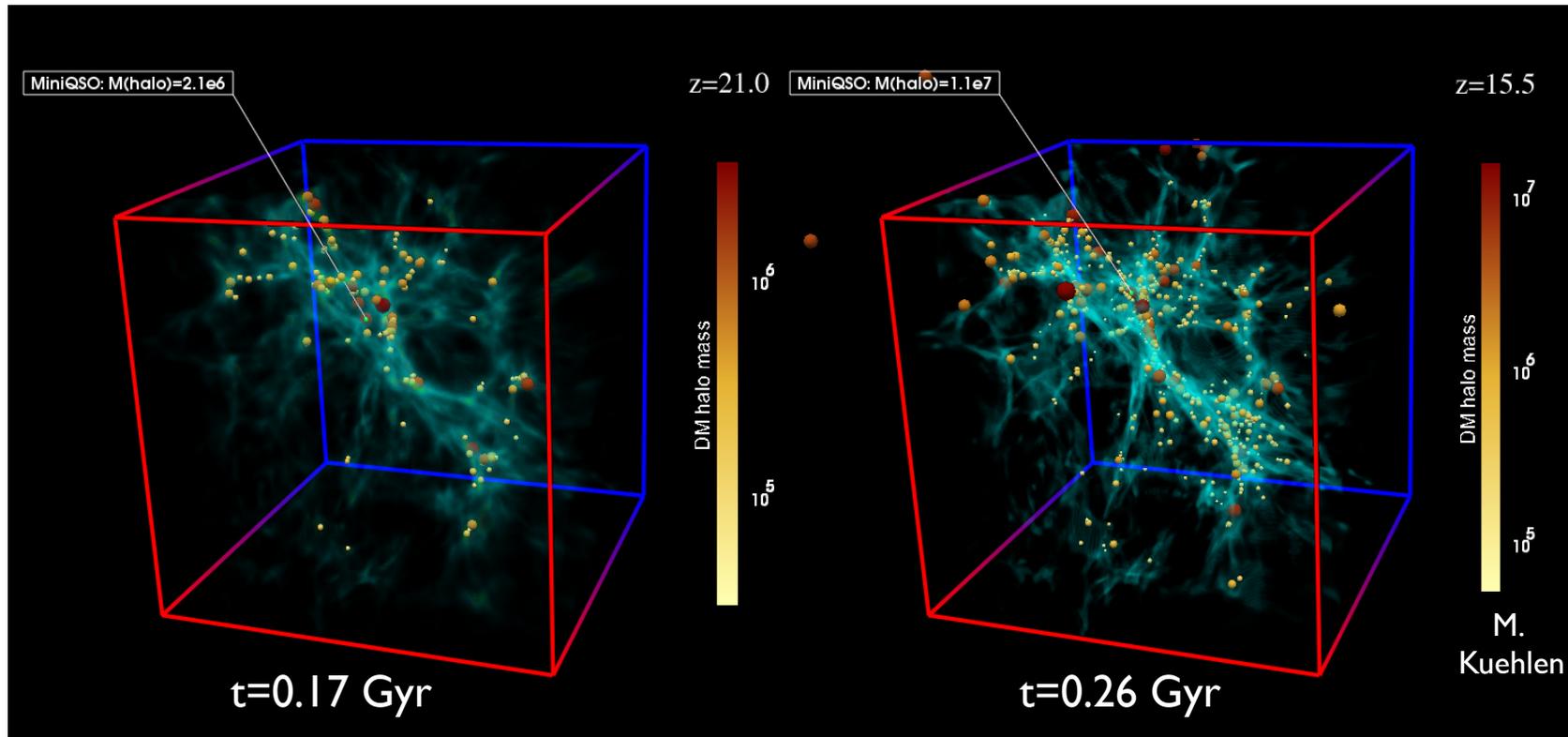


At high- z ($z > 20$) most of the halos are small ($T_{\text{vir}} < 10^4\text{K}$)

But only massive enough halos can cool, even with the aid of H_2

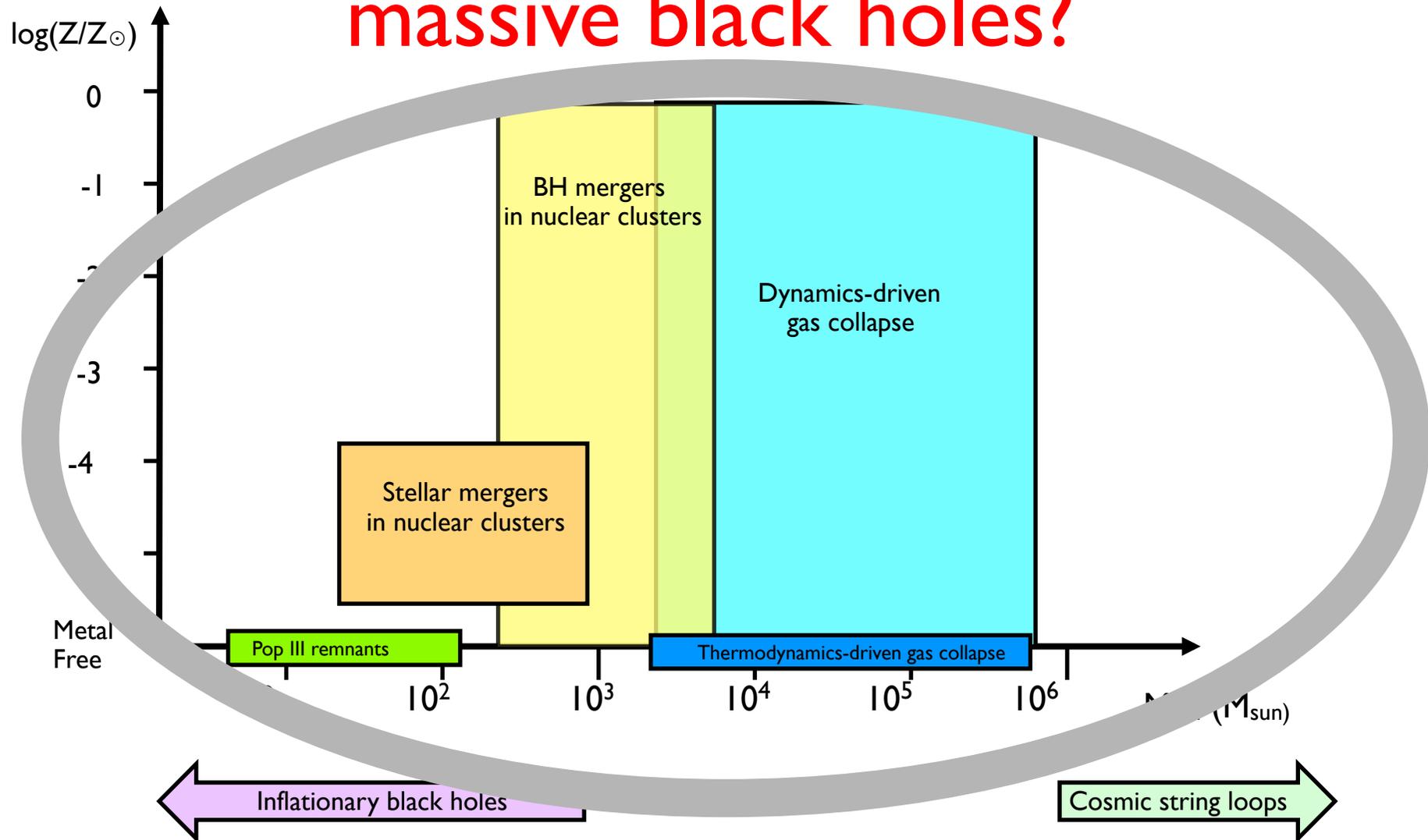
Only a small fraction of halos at early times - the most massive ones - can host cold gas and eventually star forming clouds

Hierarchical Galaxy Formation



Milky Way's dark matter halo mass $\sim 10^{12}$ solar masses

HOW can you make the first massive black holes?



Periodic Table of the Elements

© www.elementsdatabase.com

1 H																	2 He
3 Li	4 Be											5 B	6 C	7 N	8 O	9 F	10 Ne
11 Na	12 Mg											13 Al	14 Si	15 P	16 S	17 Cl	18 Ar
19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr
37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe
55 Cs	56 Ba	57 La	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn
87 Fr	88 Ra	89 Ac	104 Unq	105 Unp	106 Unh	107 Uns	108 Uno	109 Une	110 Unn								

- hydrogen
- alkali metals
- alkali earth metals
- transition metals
- poor metals
- nonmetals
- noble gases
- rare earth metals

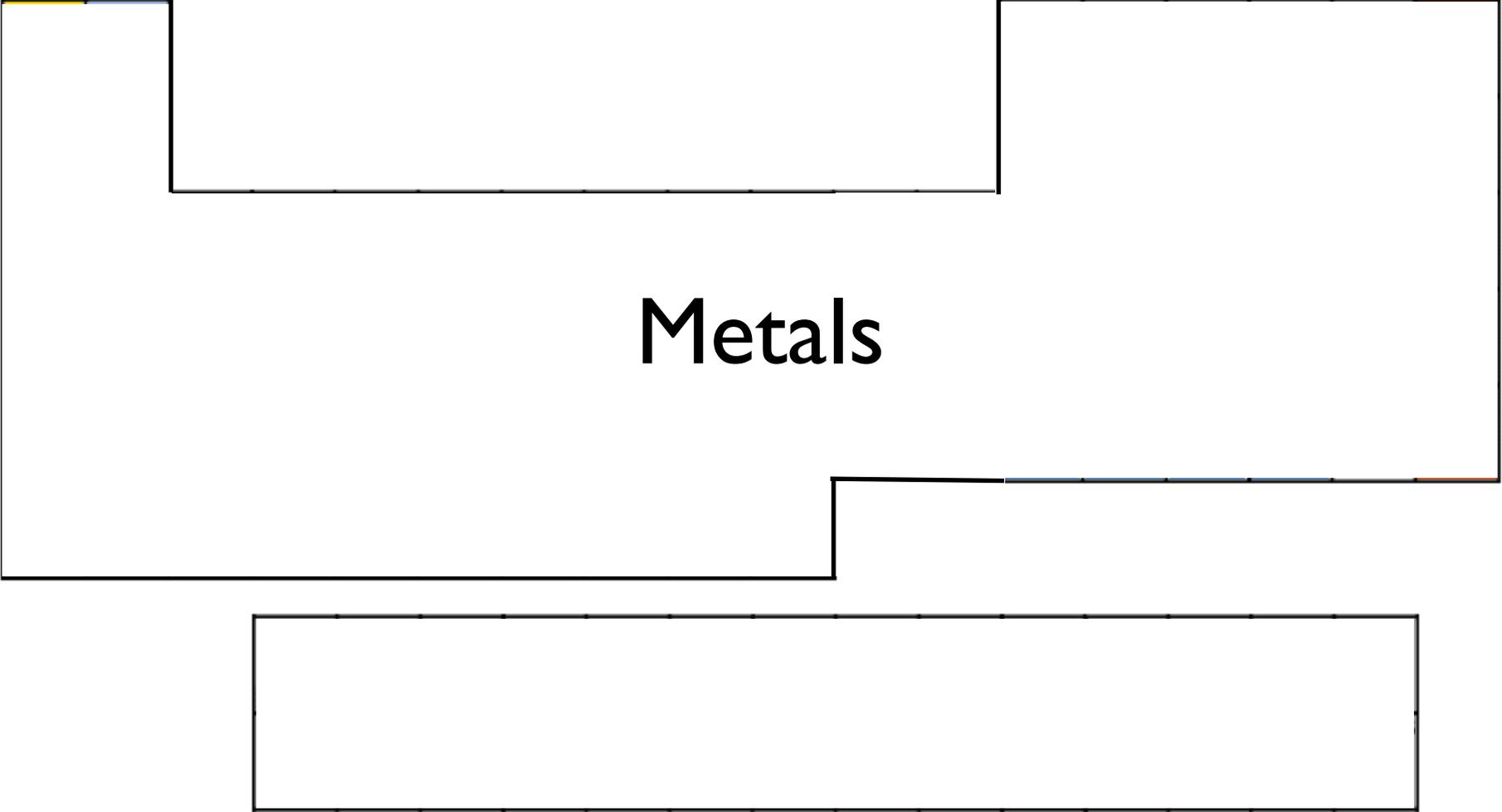
58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb	71 Lu
90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No	103 Lr

Periodic Table of the Elements of the Astrophysicist

H¹

He²

Metals

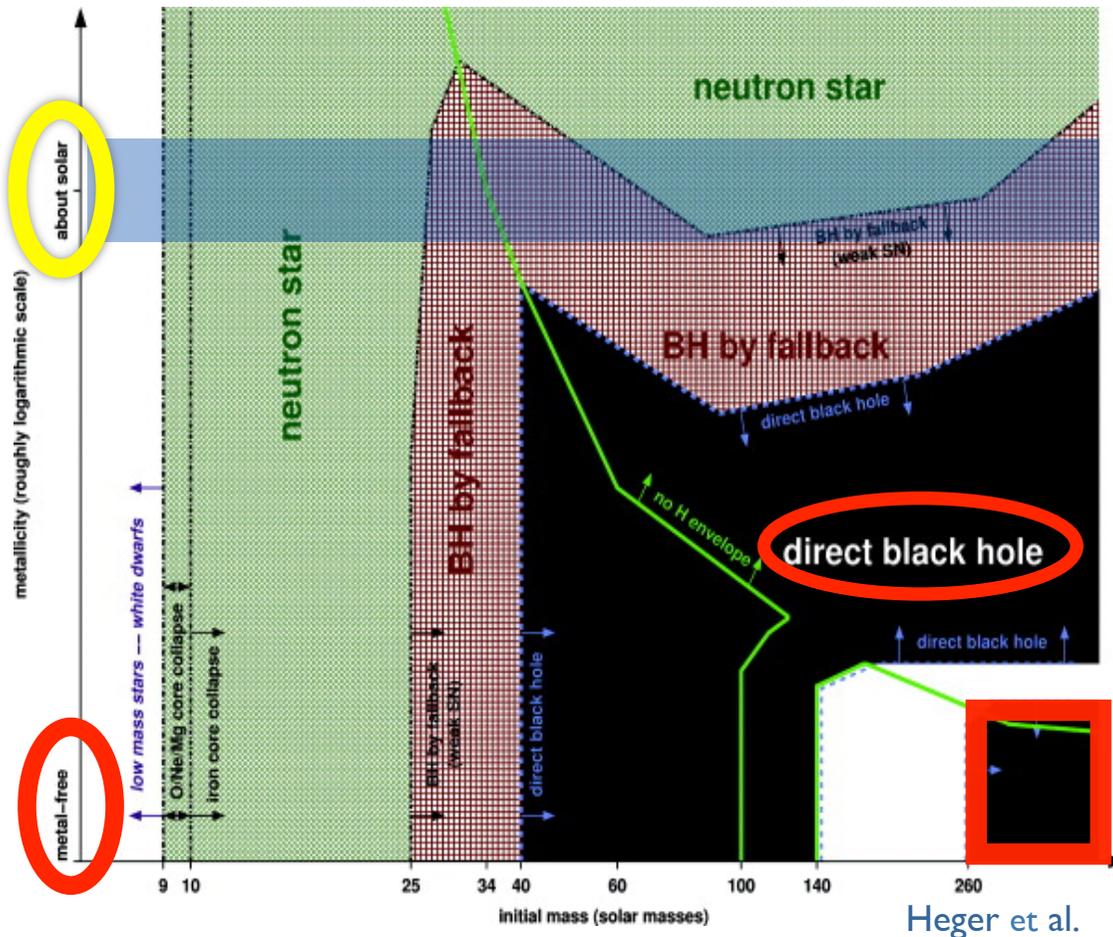


Why low metallicity?

(e.g. Bromm & Loeb 2003, Spaans & Silk 2006, Begelman, MV & Rees 2006, Shang et al. 2010, Latif et al. 2013)

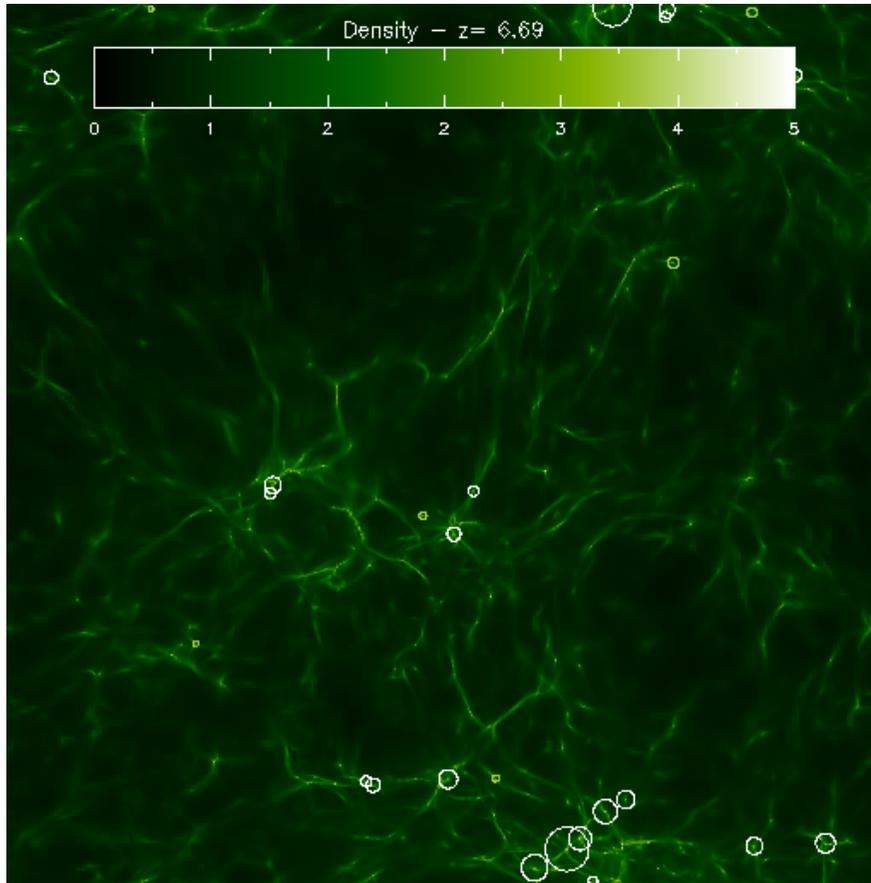
- ✓ Forming a single very massive star makes it easier to form a single very massive BH
- ✓ Key parameter is the inflow rate on the central object: $\dot{M} > 0.01 - 0.1 \text{ M}_{\text{sun}}/\text{yr}$
- ✓ Primordial gas composition and suppression of H_2 formation by dissociating UV flux help
- ✓ But they are *not* necessary conditions

Why low metallicity?

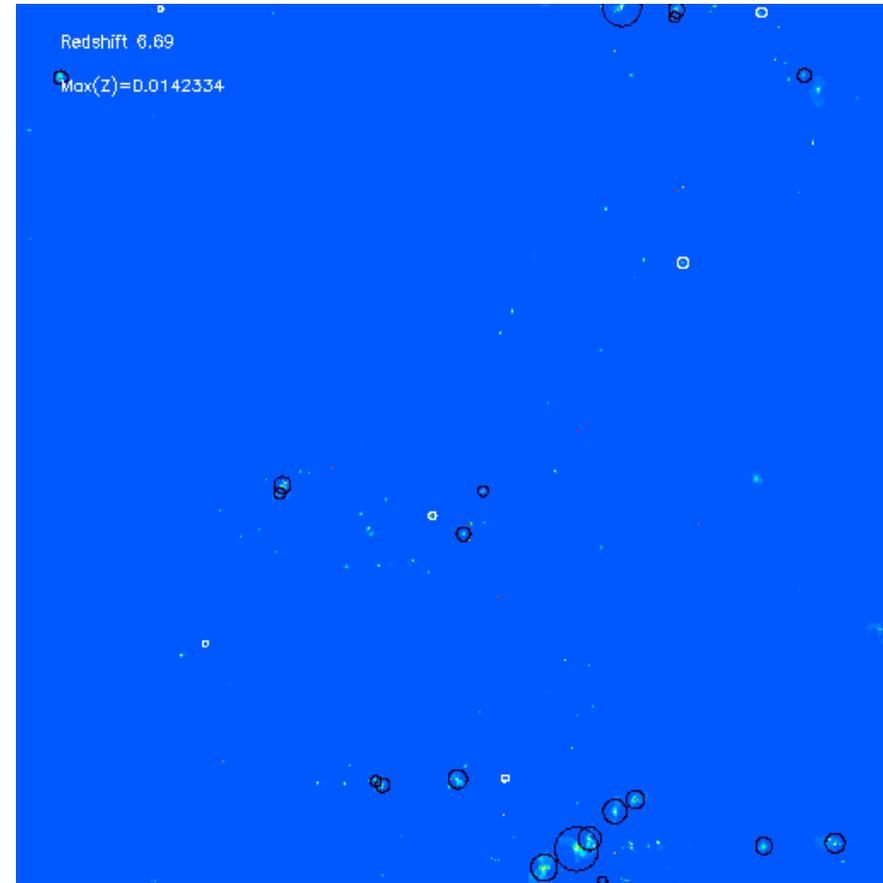


Low metallicity is important if going through a supermassive star phase: models with quasistars or stellar mass BH mergers do not care about metallicity*

*powered by accretion on an embedded BH created by core collapse



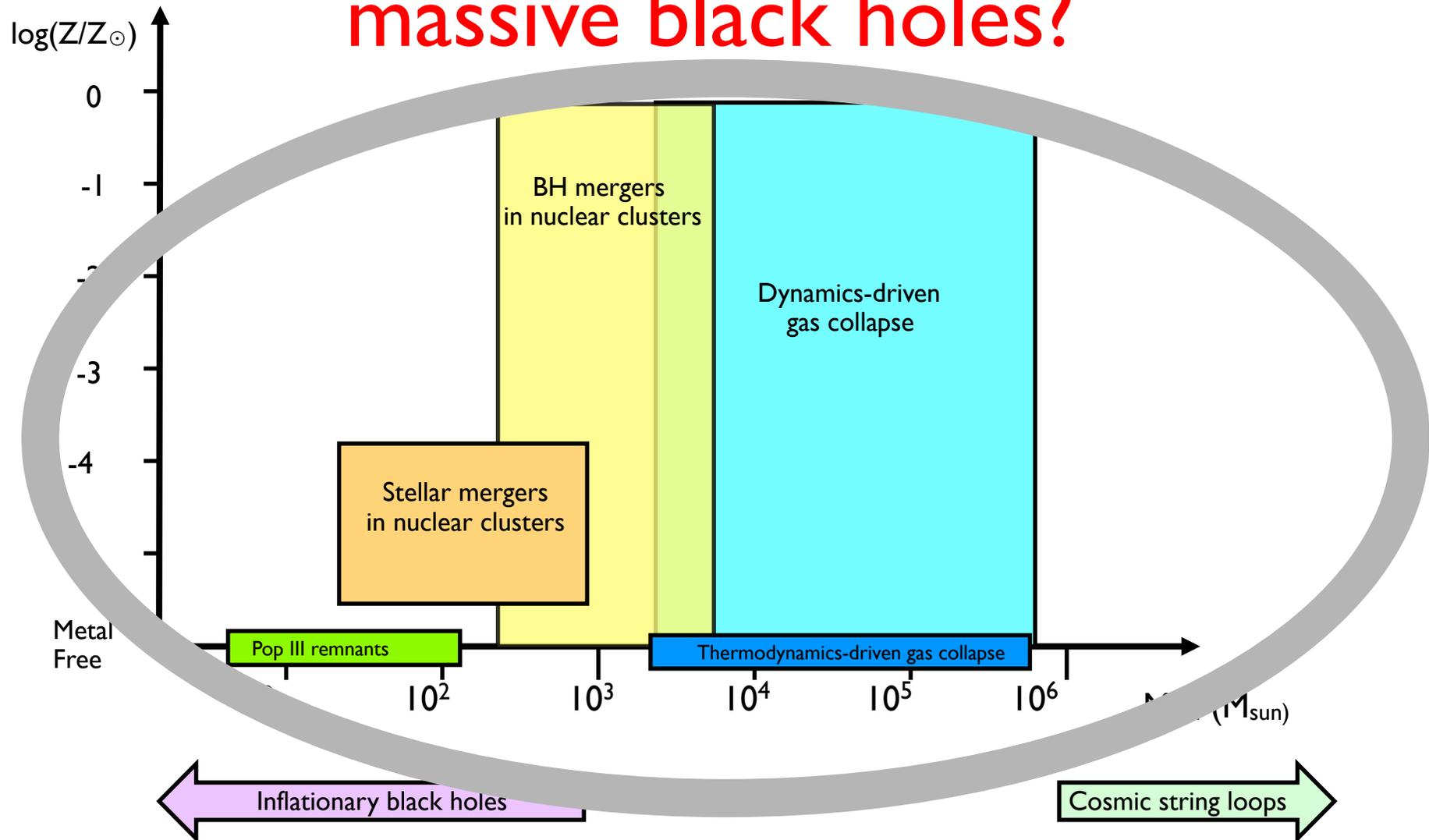
Density map
BHs form only in high gas-density regions



Metallicity map
BHs form in low-metallicity regions just before they get enriched

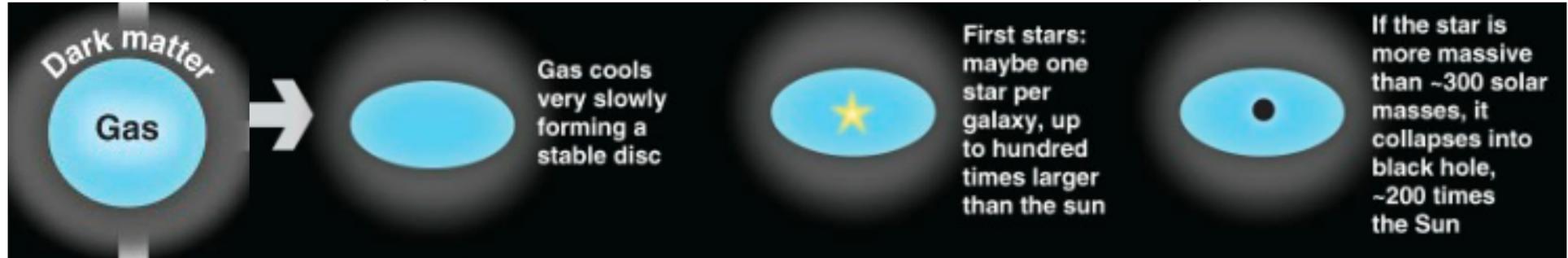
Circles: galaxies with BHs

HOW can you make the first massive black holes?



PopII stars remnants

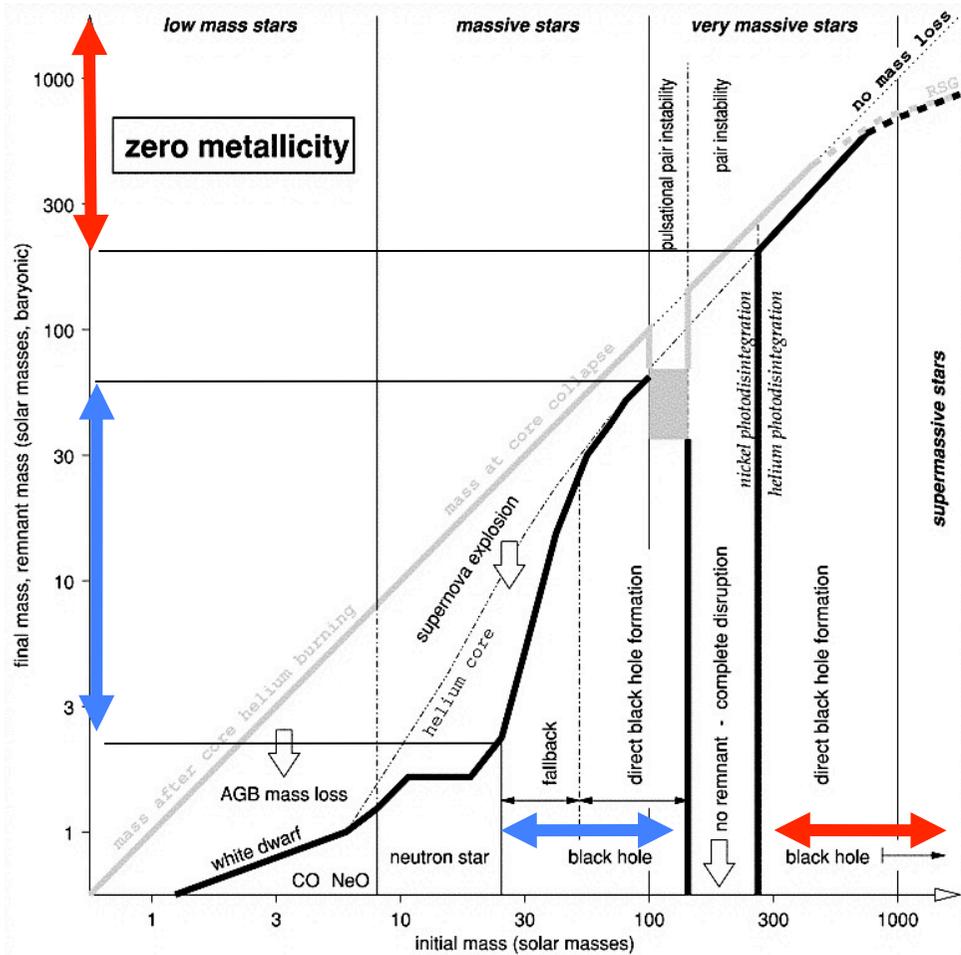
(e.g., Madau & Rees 2001; MV, Haardt & Madau 2003)



✓ Some simulations suggest that the first stars are massive $M \sim 100-600 M_{\text{sun}}$ (e.g., Abel et al. 2002; Bromm et al. 2003)

✓ Metal free dying stars with $M > 260 M_{\text{sun}}$ leave remnant BHs with $M_{\text{seed}} \geq 100 M_{\text{sun}}$ (Fryer, Woosley & Heger 2003)

Problem: are the first stars massive enough?



$$M_* > 260 M_{\text{sun}} \rightarrow M_{\text{BH}} > 150 M_{\text{sun}}$$

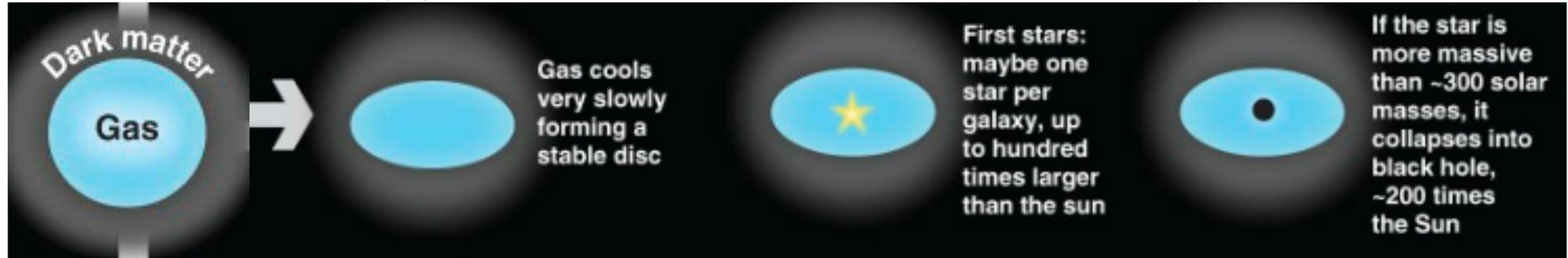
Recent simulations revise the initial estimates of the stellar masses to possibly much lower values, just a few tens M_{sun}

$$M_* \sim 30-150 M_{\text{sun}} \rightarrow M_{\text{BH}} \ll 100 M_{\text{sun}}$$

If BH mass too small difficult to settle down into galaxy center => dynamics suppresses accretion/growth opportunities

PopII stars remnants

(e.g., Madau & Rees 2001; MV, Haardt & Madau 2003)

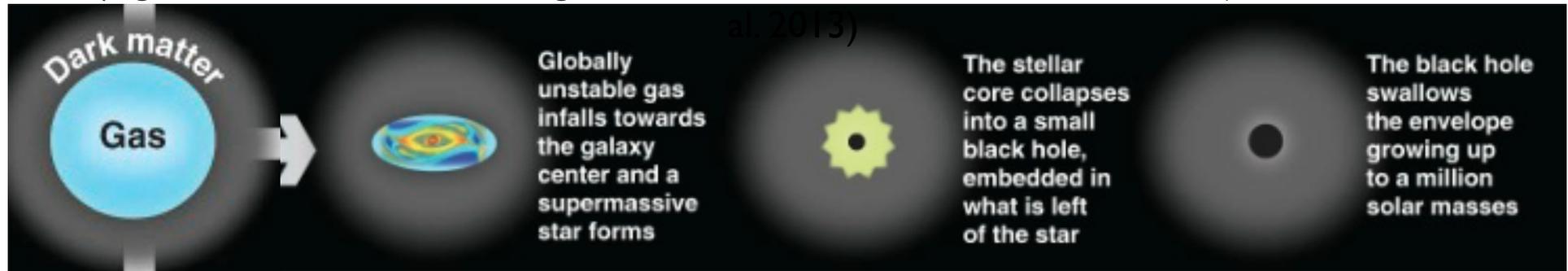


- ✓ Recent estimates suggest lower star masses. If BH mass too small may be difficult to grow (but see Alexander & Natarajan 2014)
- ✓ A few sufficiently massive stars would do (Hosokawa+15)

Gas-driven collapse

(e.g. Bromm & Loeb 2003, Begelman, MV & Rees 2006, Lodato & Natarajan 2006, Latif et

al 2013)



- ✓ Formation of supermassive star collapsing into a MBH of $\sim 10^4 - 10^6 M_{\text{sun}}$
- ✓ Feasible if star formation is suppressed, and most of the gas is accreted onto the central protostar
- ✓ Key parameter: inflow rate on the central object. If $\dot{M} > 0.1 M_{\text{sun}}/\text{yr} \Rightarrow$ supermassive star or quasi star*

*powered by accretion on an embedded BH created by core collapse

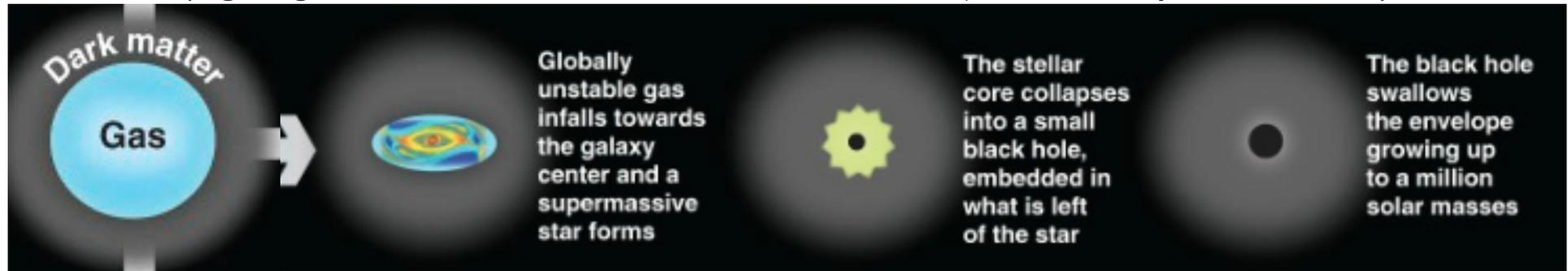
Star formation: enemy of direct collapse

- ✓ competition in gas consumption (i.e. part of the gas goes into stars instead of BH formation)
- ✓ collisionless stars do not dissipate angular momentum efficiently
- ✓ SNe can blow away the gas reservoir

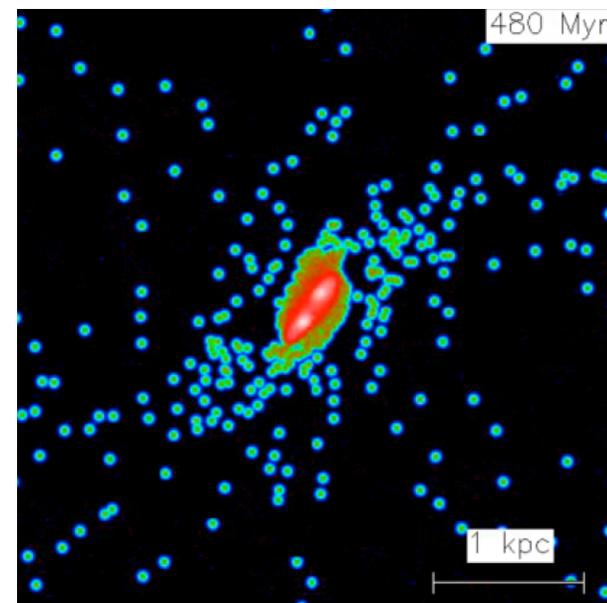
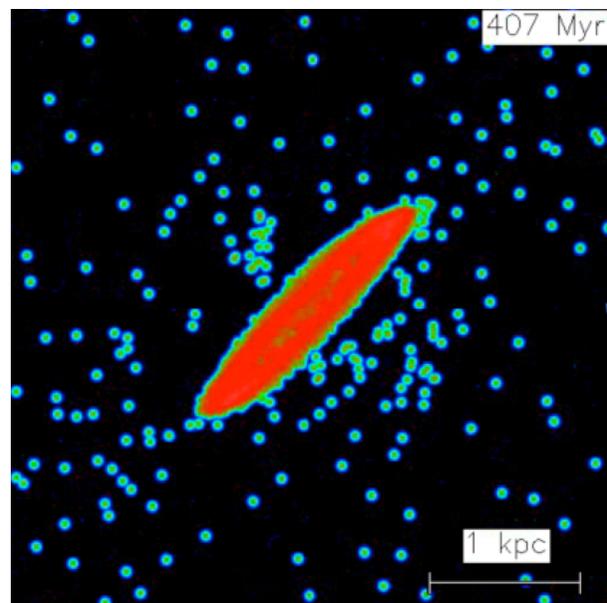
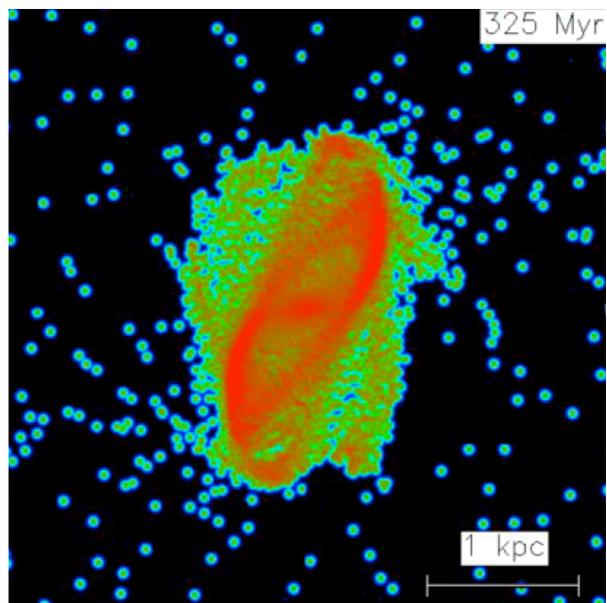
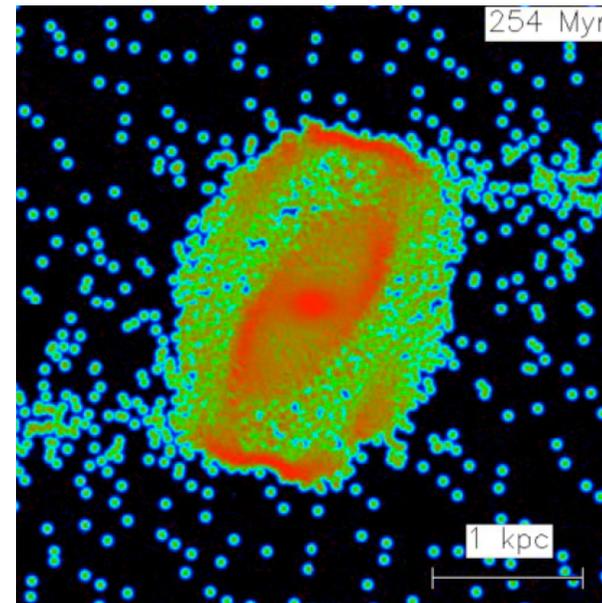
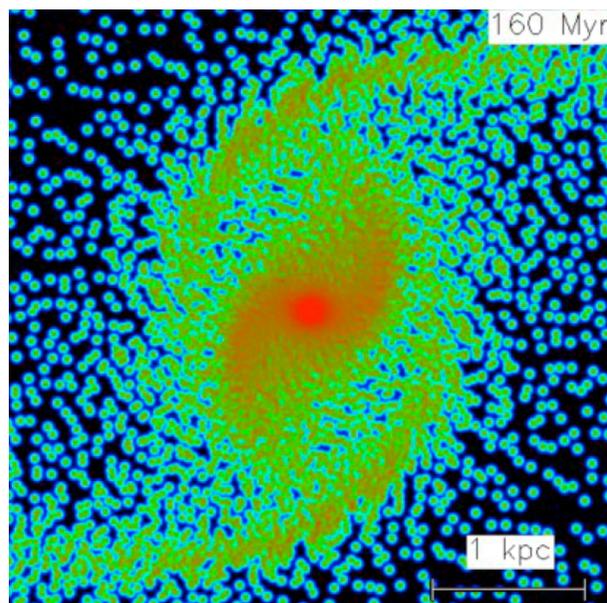
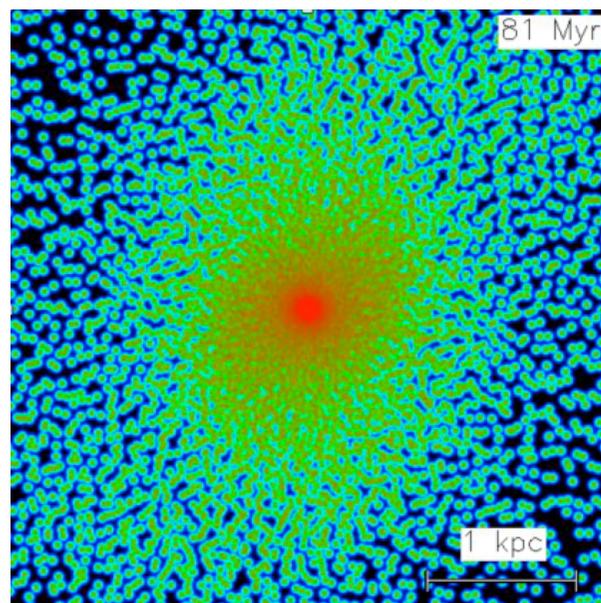
Gas-driven collapse: dynamics

Gas-driven collapse: dynamics

(e.g. Begelman, MV & Rees 2006, Lodato & Natarajan 2006, Mayer et al. 2010)



- ✓ \dot{M} is high: if global dynamical instabilities trigger inflow and dissipate angular momentum on timescales shorter than star formation (Begelman, MV & Rees 2006)
- ✓ No metallicity threshold



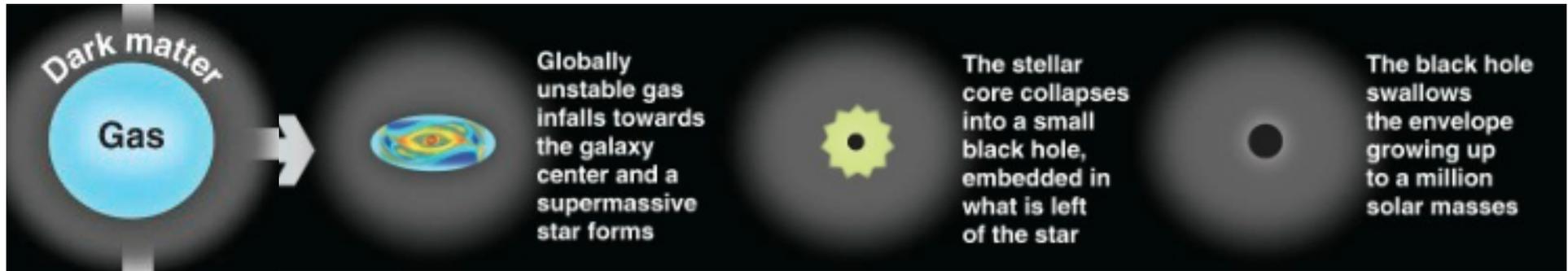
Peter Englmaier
Astronomisches Institut
Uni Basel, Switzerland

Isaac Shlosman
University of Kentucky
USA

Gas-dynamical collapse: thermodynamics

Gas-driven collapse: thermodynamics

(e.g. Bromm & Loeb 2003, Spaans & Silk 2006, Begelman, MV & Rees 2006, Shang et al. 2010, Latif et al. 2013)

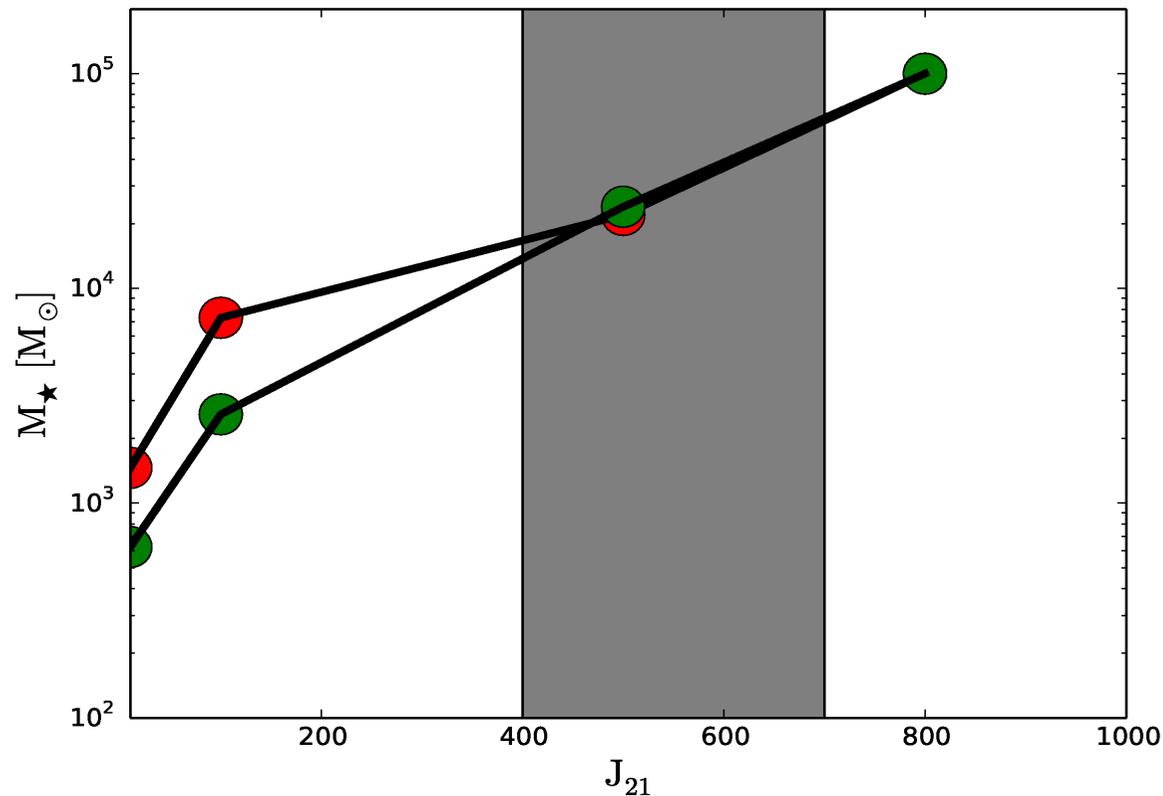


✓ \dot{M} is high: if star formation delayed, e.g.,

-primordial gas composition \Rightarrow radiative cooling inefficient

- H_2 formation suppressed by strong dissociating UV flux \Rightarrow UV background flux (J_{21})

UV background vs stellar mass



Average
background
 $J_{21} < 10!!!$ Need
strong
local sources

$$J_{21,\text{bg}} = 0.3 \left(\frac{1+z}{16} \right)^3 \left(\frac{\rho_{\star,\text{II}}}{10^{-3} M_{\odot} \text{ yr}^{-1} \text{ Mpc}^{-3}} \right)$$

$$J_{21,\text{local}} = 3 \sum_{i, \text{stars} < 5 \text{ Myr}} \left(\frac{r_i}{1 \text{ kpc}} \right)^{-2} \left(\frac{m_i}{10^3 M_{\odot}} \right)$$

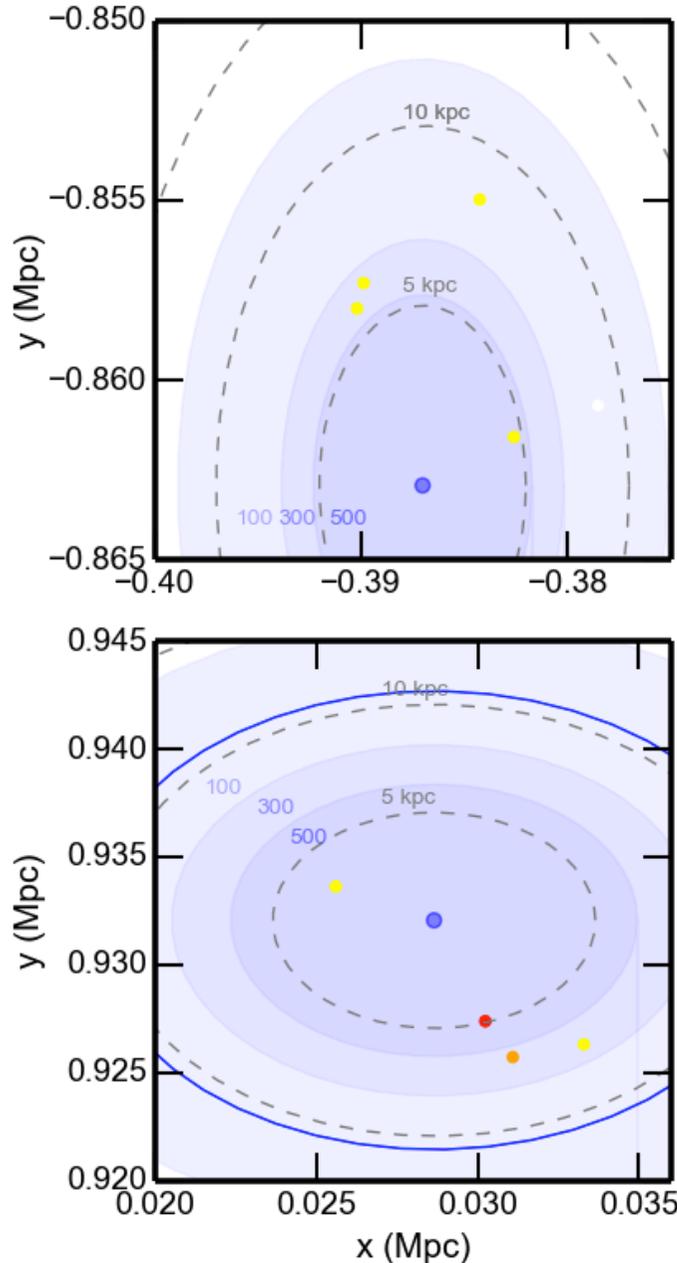
UV background vs metal enrichment

Need strong local stellar sources to provide dissociating radiation throughout collapse ~ 10 - 100 Myr

BUT

Stars explode in ~ 10 Myr and pollute the environment

Z=0 direct collapse: tough conditions



Contours: strength of the dissociating field:

yellow $J21 \geq 100$

orange $J21 \geq 300$

red $J21 \geq 500$

Blue ellipse: expansion of the metal bubble

Timesteps: 1 Myr

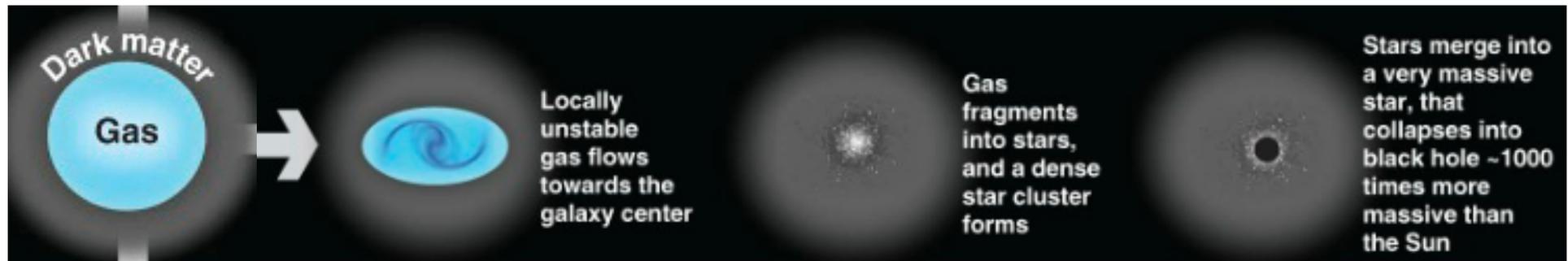
Within 10 Myr no galaxy meets the metal/ H_2 requirements

Collapse, free-fall time ~ 10 -100 Myr

Stellar-dynamical processes: stellar mergers

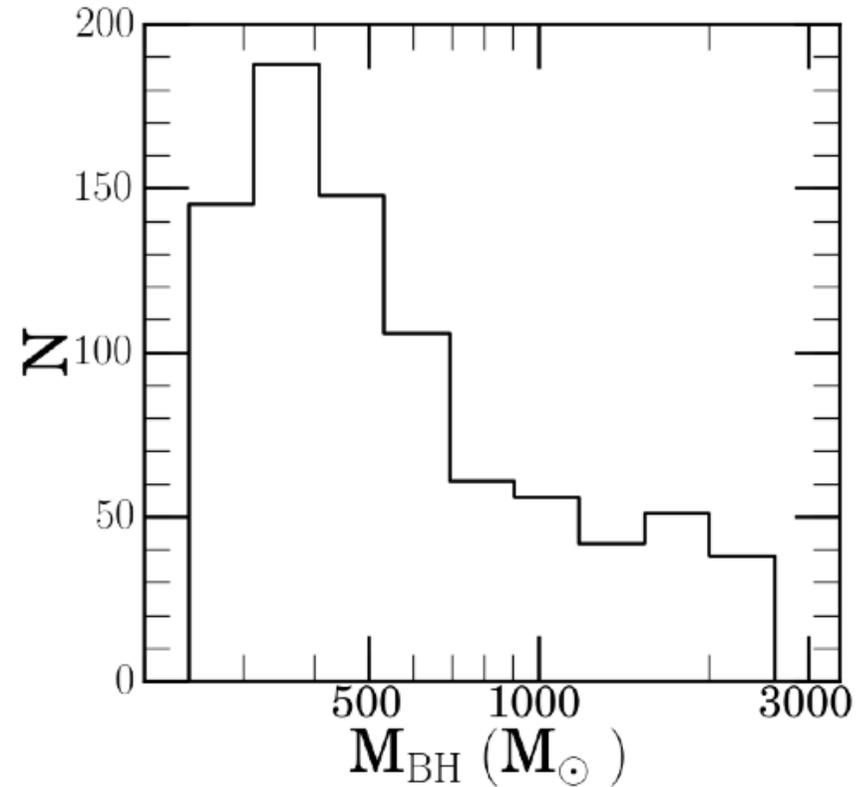
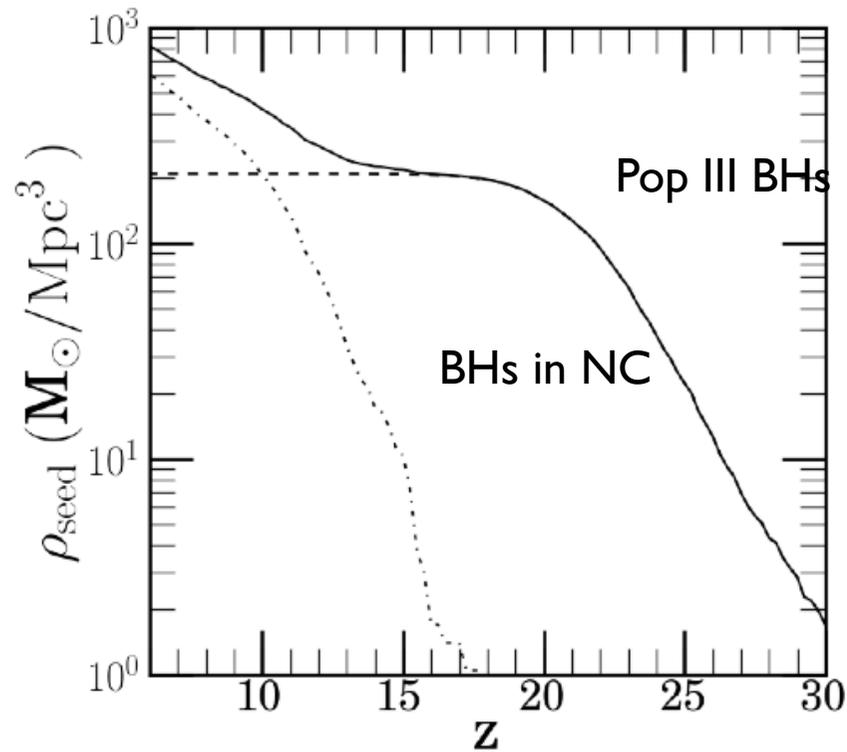
Stellar-dynamical processes: stellar mergers

Omukai et al. 2009; Devecchi & MV 2009, Devecchi et al. 10, 12; Katz et al. 2015



- ✓ Mass segregation in nuclear star cluster: massive stars sink to the center
- ✓ Stellar collisions form a very massive star
- ✓ At low metallicity \Rightarrow massive black hole $\sim 10^3 M_{\text{sun}}$

Stellar-dynamical processes: stellar mergers

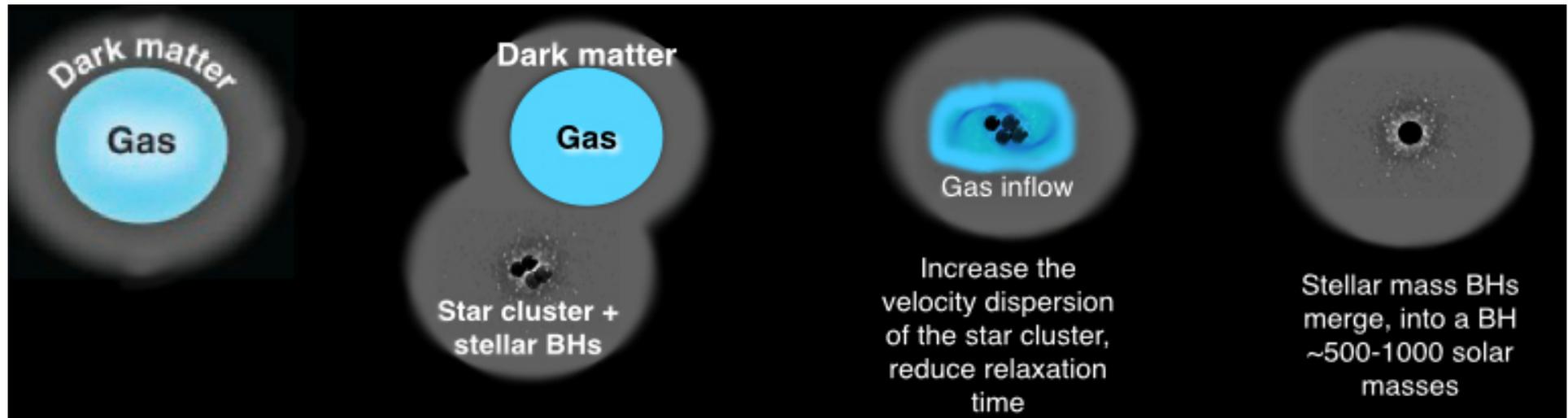


$$10^{-5} Z_{\odot} < Z < 10^{-3} Z_{\odot}$$

Stellar-dynamical processes: stellar BH mergers

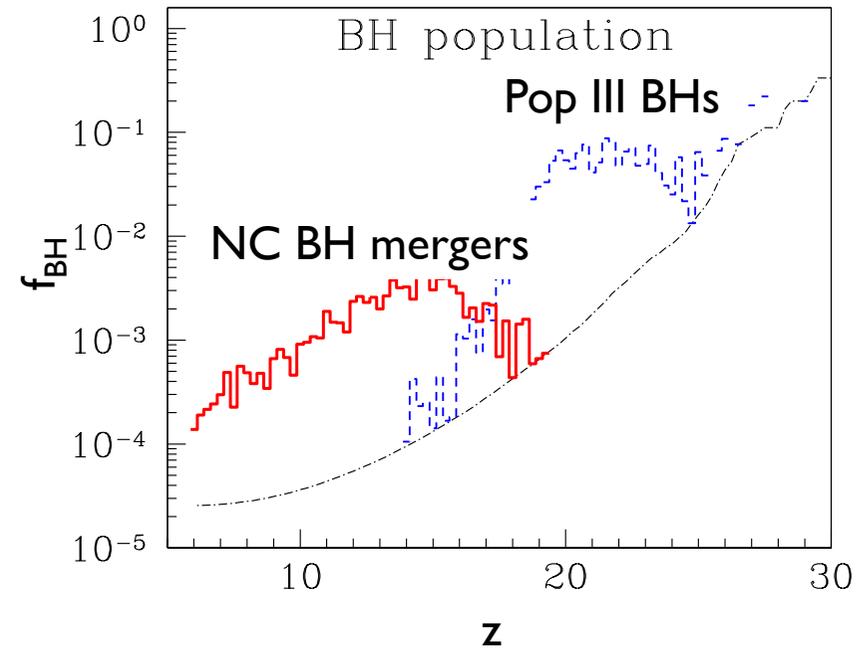
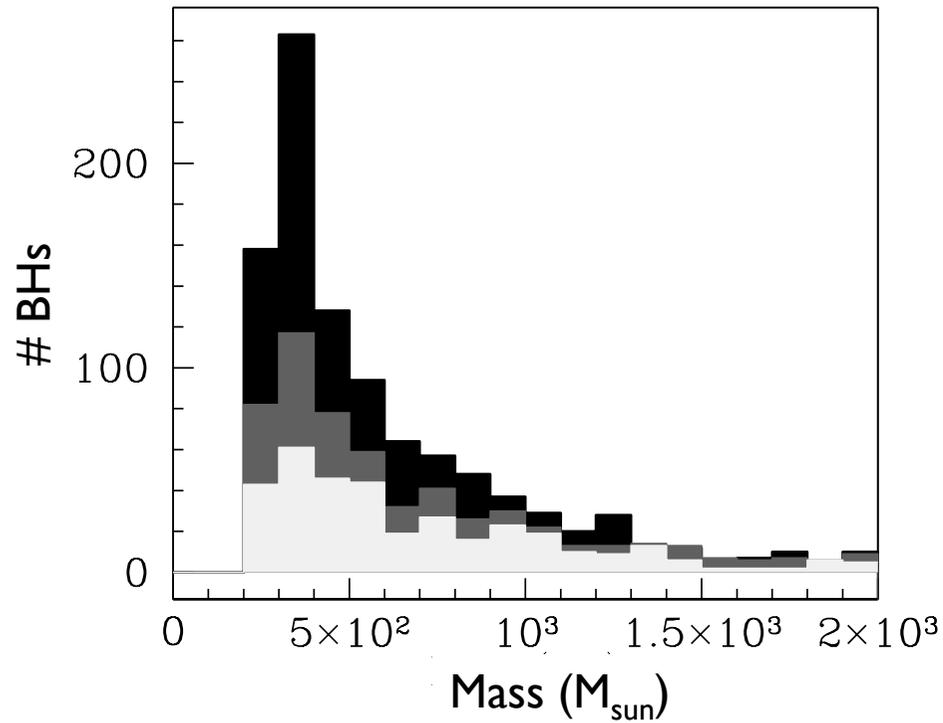
Stellar-dynamical processes: stellar BH mergers

Davies, Miller & Bellovary 2011, Miller & Davies 2012; Lupi et al. 2014

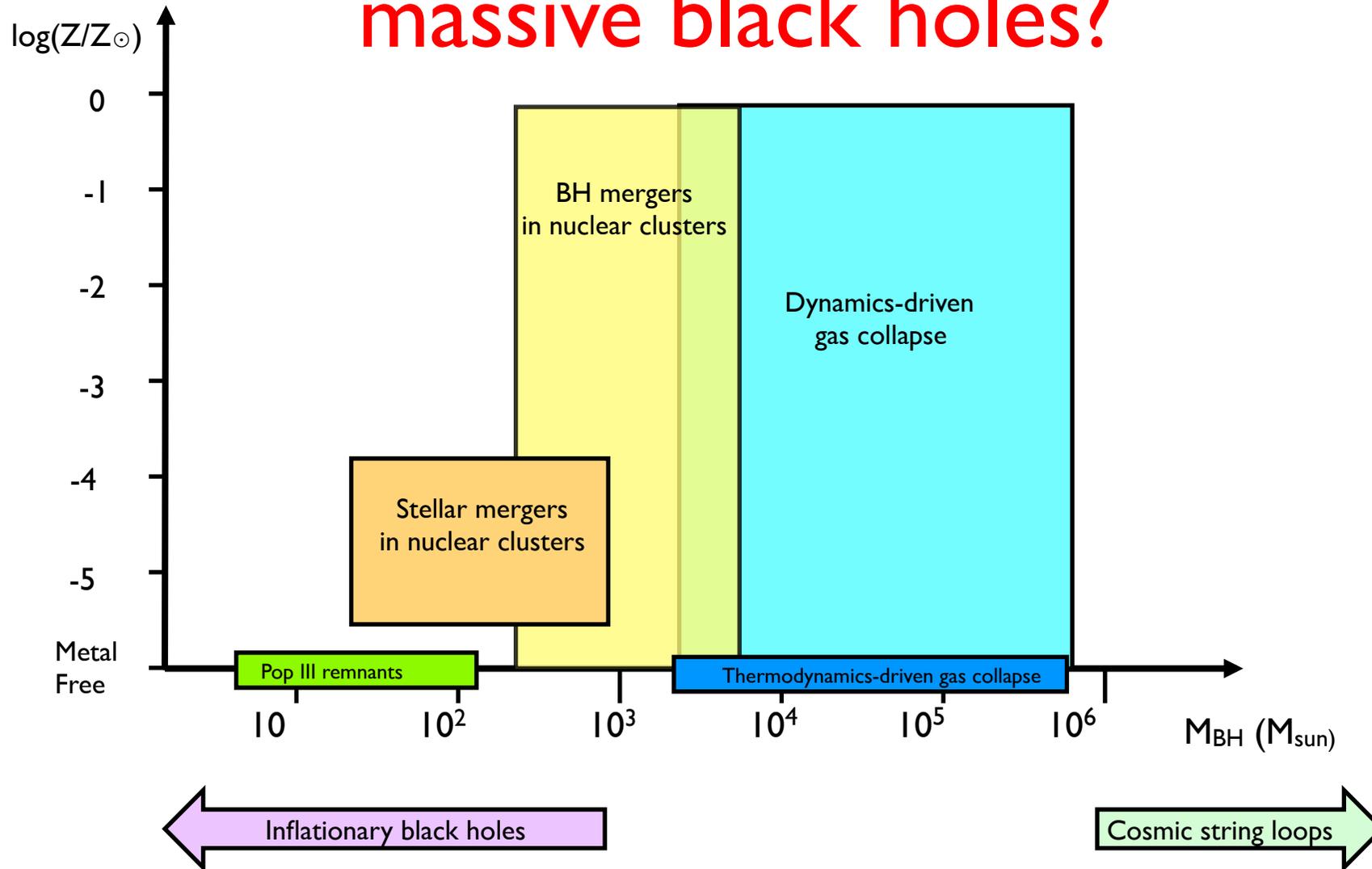


- ✓ Merging stellar BHs normally ejected (3-body, GW)
- ✓ Merger-driven gas inflow increases velocity dispersion
- ✓ BHs merge \Rightarrow massive black hole $\sim 10^3 M_{\text{sun}}$
- ✓ No metallicity threshold

Stellar-dynamical processes: stellar BH mergers



HOW can you make the first massive black holes?



How do the seeds grow?

